

**JOURNAL
OF
THE BOARD OF FINANCE
OF
THE METROPOLITAN DISTRICT
COMMISSION**

FOR THE YEAR
2016

Published by authority of the Commission
And compiled by the
Office of the District Clerk

Membership of the District is made up of the City of Hartford and
The Towns of Bloomfield, Newington, Wethersfield, Windsor,
East Hartford, Rocky Hill and West Hartford

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MEMBERSHIP
OF
THE BOARD OF FINANCE
2016

PASQUALE J. SALEMI Chairman, Board of Finance
RICHARD MULREADY Vice Chairman, Board of Finance

LUIS CABAN

RONALD ANGELO*

ALLEN HOFFMAN

MARTIN B. COURNEEN*

WILLIAM P. HORAN

LINDA KING-CORBIN*

PASQUALE J. SALEMI

RICHARD MULREADY*

*Citizen Member; two-year term expires December 31, 2017

MINUTES

OF

MEETINGS OF THE BOARD OF FINANCE

HELD IN 2016

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, January 11, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, William P. Horan and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, and District Chairman William A. DiBella 7)

ABSENT: Citizen Members Linda King-Corbin and Richard Mulready (2)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Brendan Fox, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Robert Zaik, Manager of Labor Relations
Kerry E. Martin, Assistant to the Chief Executive Officer
Carrie Blardo, Assistant to the Chief Operating Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 6:25 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of November 16, 2015 were approved.

**DEBT ISSUANCE RESOLUTION FOR GENERAL OBLIGATION BONDS
ISSUANCE OF UP TO \$33,500,000 IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on January 11, 2016.

Staff is seeking authority for the District to issue up to \$33,500,000 in general obligation bonds that will refund \$33,500,000 in existing bond anticipation notes due on March 18, 2016.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT
EXCEEDING \$33,500,000 IN GENERAL OBLIGATION BONDS OF THE
METROPOLITAN DISTRICT**

Not exceeding \$33,500,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued to currently refund up to \$33,500,000 Bond Anticipation Notes of the District maturing March 18, 2016 (the "Outstanding Notes"), to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A attached hereto. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on February 1 in each of the years 2017 through 2036, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement, an Escrow Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

General Obligation Bonds, Issue of 2016, Series A	
CIP Appropriation	Issue Amount
2000 Dam Safety Improvements - Reservoir No. 2	1,161,000
2005 General Purpose Sewer	1,000
2005 Water Distribution System Improvements	51,000
2006 36-Inch Water Main Replacement	2,000
2007 CSO-related Assets	28,000
2007 Treatment Facility Upgrades	38,000
2007 Wastewater Treatment Facility Improvements	408,000
2007 Wastewater Treatment Facility Security and Communication Improvements	1,000
2007 Water Supply Facility Improvements	18,000
2008 Capacity Management Operation & Maintenance Compliance	35,000
2008 Farmington Ave Water Main Replacement	64,000
2008 General Purpose Sewer	300,000
2008 General Purpose Water	126,000
2008 Improvements to SCADA	495,000
2008 Planning and Testing	16,000
2008 Pump Station Alarm Replacements	4,000
2008 Sewer System Capital Equipment and Staffing	3,000
2008 Water Supply Facility Improvements	121,000
2008 WPC Infrastructure Replacements and Improvements	140,000
2009 Capacity Management Operation & Maintenance Compliance	8,000
2009 Capacity Management Operation & Maintenance Compliance Capital Equipment and Staffing	43,000
2009 CSO Related Assets	86,000
2009 Facility & Building Improvements - 60 Murphy Road, Hartford	60,000
2009 Farmington Water Main Installation, West Hartford	130,000
2009 General Purpose Sewer	9,000
2009 Long-Term Strategic Initiatives	52,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	1,626,000
2009 Water Treatment Facility Improvements	45,000
2010 Backwater Valve Program/Private Property Inflow Disconnect Program	8,000
2010 CWP Water Main Replacement - Wethersfield Ave # 3	6,000
2010 CWP Water Main Replacement - Guilford, Pitney, Mather, Winter, Brook, and Bedford, Hartford	418,000
2010 Dam Safety - Nepaug, Phelps Brook, East Dike	145,000
2010 Dam Safety Improvements - Res # 1	470,000
2010 Dam Safety Improvements - Res #2	386,000
2010 Dam Safety Improvements - Res #3	11,000
2010 General Purpose Sewer	19,000
2010 General Purpose Water	48,000
2010 Huyshope Street Sewer Rehabilitation - Hartford	17,000
2010 Hydraulic Computer Modeling	308,000
2010 Sewer Pump Station Improvement Program	102,000
2010 Sewer Study - Dividend Brook, Rocky Hill	30,000
2010 Water Facilities Security & Improvement Program	87,000
2010 Water Main Replacement - Windsor	26,000
2010 Water Main Replacement - Bloomfield	5,000
2010 Water Main Replacement - Hartford	53,000
2010 Water Main Replacement - Wethersfield	115,000
2010 Water Pump Station Replacement Upgrade Program	103,000
2010 Water Supply Facility Improvements Program	69,000
2010 WPC EHWPCF Screen & Grit Replacement Program	279,000
2010 WPC Electrical Systems Modernization Program	94,000
2010 WPC Renewal & Replacements Program	171,000
2011 Capacity Management Operation & Maintenance (CMOM) - Compliance	214,000
2011 CWP - Water Main Replacements	196,000
2011 Dam Safety Improvements - Res #6	142,000
2011 Design of Water Main Replacements	44,000
2011 Facility & Equipment Improvements	72,000
2011 General Purpose Sewer	57,000
2011 General Purpose Water	98,000
2011 Ground Water Development	279,000
2011 Pump Station Generators	552,000

2011 Sewer Pump Station Improvement Program	3,000
2011 Sewer Pump Station Rehabilitation	480,000
2011 Water Main Replacement - Colony Road, West Hartford	30,000
2011 Water Main Replacement - East Hartford	16,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	58,000
2011 Water Pump Station Improvements	106,000
2011 Water Supply Facility and Watershed Land Improvements	115,000
2011 Water Treatment Facility Upgrades	300,000
2011 WPC Electronic Development	5,000
2011 WPC Equipment & Facility Refurbishment	55,000
2011 WPC Renewal & Replacements	411,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	10,000
2012 Dam Safety Improvements-Goodwin and Saville	49,000
2012 East Hartford WPC Waste Water Screening Installation	54,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West Hartford	676,000
2012 Fleet Replacement	5,000
2012 General Purpose Sewer	15,000
2012 General Purpose Water	496,000
2012 Hartford WPC Solids Handling & Processing	455,000
2012 Headquarters Parking Garage Renovations	172,000
2012 Paving Program	500,000
2012 Pump Station Upgrade-Canal Road, West Hartford	496,000
2012 Pump Station Upgrades - Fishtry Street, Hartford	15,000
2012 Pump Station Upgrades - Newington, Newington and Orchard Street, Glastonbury	19,000
2012 Relief Sewer - Four Mile Road, West Hartford	1,550,000
2012 Sanitary Sewer / Storm Drain Replacement Retreat Ave Htd	5,000
2012 Sanitary Sewer Replacements District-Wide	1,197,000
2012 Sewer Gate Replacement Program District-wide	320,000
2012 Sewer Infrastructure Design	254,000
2012 Sewer Pump Station Upgrades - Mohawk Drive East Hartford	17,000
2012 Sewer Pump Station Upgrades - Ridge Street, Windsor	14,000
2012 Sewer Replacement - Montclair Drive, West Hartford	24,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	48,000
2012 Water Infrastructure Design District-wide	14,000
2012 Water Main Replacement - Four Mile Road, West Hartford	1,000
2012 Water Main Replacement-Farmington Avenue, Hartford	64,000
2012 Water Storage Basin Upgrades	9,000
2012 Water Treatment Facilities Upgrades	572,000
2012 WPC Equipment & Facilities Refurbishment	10,000
2012 WPC Renewal and Replacements	200,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	148,000
2013 Construction Services	1,202,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	177,000
2013 Engineering Services	808,000
2013 Facilities Improvement Program	70,000
2013 Fleet Replacement	519,000
2013 General Purpose Sewer	63,000
2013 General Purpose Water	28,000
2013 Information Technology- Hardware	792,000
2013 Information Technology-SAP/Oracle	391,000
2013 Newington PRV Service Area Upgrades	41,000
2013 Pump Station Upgrades - Brookside Street, Newington	28,000
2013 Pump Station Upgrades - Chateau Woods/High Path Road, Windsor	20,000
2013 Pump Station Upgrades - Harvest Lane, Windsor	10,000
2013 Pump Station Upgrades - Meadow Street, Newington	20,000
2013 Pump Station Upgrades - Mohawk Drive, East Hartford	29,000
2013 Pump Station Upgrades - Motts - Wethersfield	15,000
2013 Rocky Hill Interceptor - Relief Sewer/Capacity Improvements	35,000
2013 Sewer Pump Station Upgrades - Ridge Street, Windsor	22,000
2013 Sewer Rehabilitation Program	178,000
2013 Sewer Replacement - Oakwood Ave, West Hartford	70,000
2013 Survey & Construction	1,627,000
2013 Technical Services	567,000
2013 Various Sewer Pipe Replacement/Rehab - District Wide	895,000
2013 Water Rehabilitation Program	135,000
2013 Water Treatment Facilities Upgrades	224,000
2013 Wickham Hill Basins, East Hartford	1,000
2013 WPC Equipment & Facilities Refurbishment	650,000
2013 WPC SCADA Upgrades	19,000
2014 Collinsville WTP Emergency Generator Replacement	8,000
2014 Construction Services	1,111,000
2014 Engineering Services	978,000
2014 General Purpose Sewer	5,000
2014 General Purpose Water Program	18,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	12,000
2014 Renewable Energy Projects - Water Facilities	30,000
2014 Sewer Rehabilitation Program	706,000
2014 Survey & Construction	1,756,000
2014 Technical Services	925,000
2014 Water Main Replacement Oakwood Avenue, West Hartford	61,000
2014 Water Rehabilitation Program	169,000
2014 Water Treatment Facilities Upgrades	220,000
2014 WPC Renewal and Replacements	10,000
2015 Water Supply Generators	170,000
General Obligation Bonds, Issue of 2016, Series A Total	33,500,000

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**DEBT ISSUANCE RESOLUTION FOR BANS
ISSUANCE OF UP TO \$113,500,000 IN BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on January 11, 2016

Staff is seeking authority for the District to issue up to \$113,500,000 in bond anticipation notes that will renew \$73,000,000 in bond anticipation notes for CIP projects and will provide \$40,500,000 in new money for CIP projects, will be dated on or about February 18, 2016 and will mature on December 1, 2016.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$113,500,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$113,500,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Notes shall be dated on or about February 18, 2016, and shall mature on December 1, 2016, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and

deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement, an Escrow Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

General Obligation Bond Anticipation Notes, Issue of 2016 Notes, Series A

CIP Appropriation	Issue Amount
2000 Dam Safety Improvements - Reservoir No. 2	407,000
2005 Water Distribution System Improvements	34,000
2005 Water Supply Facility and Site Improvements	3,000
2006 Combined Sewer Separation	1,550,000
2006 CSO Abatement Program	3,302,000
2006 Sanitary Sewer Overflow - Engineering Design/Study	133,000
2006 Sanitary Sewer Overflow (SSO) - Newton	23,000
2006 Sanitary Sewer Overflow (SSO) - Rocky Hill	30,000
2006 Sanitary Sewer Overflow (SSO) - West Hartford	4,000
2006 Sanitary Sewer Overflow (SSO) - Wethersfield	123,000
2006 Wethersfield Cove Inflow & Infiltration Reduction	1,364,000
2007 Technology Improvements	2,000
2007 Treatment Facility Upgrades	33,000
2007 Wastewater Treatment Facility Improvements	506,000
2007 Wastewater Treatment Facility Security and Communication Improvements	4,000
2008 Capacity Management Operation & Maintenance Compliance	151,000
2008 Facility and Building Improvements	53,000
2008 Farmington Ave Water Main Replacement	6,000
2008 General Purpose Sewer	181,000
2008 General Purpose Water	94,000
2008 Improvements to SCADA	1,229,000
2008 Water Supply Facility Improvements	44,000
2008 WPC Infrastructure Replacements and Improvements	322,000
2009 Capacity Management Operation & Maintenance Compliance	2,000
2009 Capacity Management Operation & Maintenance Compliance Capital Equipment and Staffing	263,000
2009 Farmington Water Main Installation, West Hartford	86,000
2009 General Purpose Sewer	13,000
2009 Hartford Odor Control Construction	72,000
2009 Radio Frequency Automated Meter Reading	17,000
2009 Risk Management Initiatives	12,000
2009 Transmission Valve Replacements	188,000
2009 Water Main - Farmington Avenue, Hartford	165,000
2009 Water Main Gate Valve Replacement	547,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	348,000
2010 Backwater Valve Program/Private Property Inflow Disconnect Program	34,000
2010 CMMIS-Phase II	206,000
2010 CWP Water Main Replacement - Cleveland Ave/Main Street, Hartford	279,000
2010 CWP Water Main Replacement - Wethersfield Ave # 3	9,000
2010 CWP Water Main Replacement - Farmington Ave, Hartford	229,000
2010 CWP Water Main Replacement - Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford	388,000
2010 Dam Safety Improvements - Res # 1	81,000
2010 Dam Safety Improvements - Res #2	63,000
2010 Dam Safety Improvements - Res #3	290,000
2010 Facility & Equipment Improvements Program	261,000
2010 General Purpose Sewer	337,000
2010 General Purpose Water	486,000
2010 Huyshope Street Sewer Rehabilitation - Hartford	6,000
2010 Hydraulic Computer Modeling	603,000
2010 Information Systems Improvements #1	23,000
2010 Radio Frequency Automated Meter Reading	177,000
2010 Sewer Study - Dividend Brook, Rocky Hill	155,000
2010 Vehicle and Equipment Replacement Program	571,000
2010 Water Facilities Security & Improvement Program	385,000
2010 Water Main Replacement - Windsor	11,000
2010 Water Main Replacement - Bloomfield	34,000
2010 Water Main Replacement - East Hartford	401,000
2010 Water Main Replacement - Farmington Ave. West Hartford	292,000

2010 Water Main Replacement - Hartford	156,000
2010 Water Main Replacement - Wethersfield	177,000
2010 Water Pump Station Replacement Upgrade Program	201,000
2010 Water Supply Facility Improvements Program	239,000
2010 WPC EHWPCF Screen & Grit Replacement Program	1,959,000
2010 WPC Electrical Systems Modernization Program	214,000
2010 WPC Renewal & Replacements Program	255,000
2011 Capacity Management Operation & Maintenance (CMOM) - Compliance	1,357,000
2011 CWP - Water Main Replacements	127,000
2011 CWP Water Main Replacement - Farmington Ave, Hartford	48,000
2011 CWP Water Main Replacement - Warner Street/Wethersfield Ave/Mannz Street, Hartford	20,000
2011 Dam Safety Improvements - Res #6	71,000
2011 Design of Water Main Replacements	264,000
2011 Facility & Equipment Improvements	231,000
2011 General Purpose Sewer	1,123,000
2011 General Purpose Water	143,000
2011 Ground Water Development	1,000
2011 Headquarter Renovations	8,000
2011 Information System Improvements	15,000
2011 Pump Station Generators	88,000
2011 Radio Frequency Automated Meter Reading	625,000
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communications	81,000
2011 Sewer Pump Station Improvement Program	185,000
2011 Sewer Pump Station Rehabilitation	161,000
2011 Sewer Study - Dividend Brook, Rocky Hill	81,000
2011 Water Main Replacement - Cottage Grove Road, Bloomfield	13,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	85,000
2011 Water Main Replacement - Hartford	734,000
2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	323,000
2011 Water Main Replacement - Simmons Road, East Hartford	63,000
2011 Water Pump Station Improvements	137,000
2011 Water Supply Facility and Watershed Land Improvements	188,000
2011 Water Treatment Facility Upgrades	7,000
2011 West Hartford Water Treatment Facility North Storage Tank Installation	8,000
2011 WPC Electronic Development	566,000
2011 WPC Equipment & Facility Refurbishment	61,000
2011 WPC Renewal & Replacements	600,000
2012 Asset Management Construction Administration	19,000
2012 Backwater Valve Program	74,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	1,456,000
2012 Dam Safety Improvements-Goodwin and Saville	1,728,000
2012 East Hartford WPC Waste Water Screening Installation	234,000
2012 Facility Improvement Program	164,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West Hartford	150,000
2012 Fleet Replacement	627,000
2012 General Purpose Sewer	1,017,000
2012 General Purpose Water	223,000
2012 Hartford WPC Solids Handling & Processing	55,000
2012 Headquarters Parking Garage Renovations	1,232,000
2012 Information Technology	12,000
2012 Information Technology Security Improvements	348,000
2012 Paving Program	835,000
2012 Pump Station Upgrade-Canal Road, West Hartford	10,000
2012 Pump Station Upgrades - Fishtry Street, Hartford	42,000
2012 Pump Station Upgrades - Newington, Newington and Orchard Street, Glastonbury	230,000
2012 Radio Frequency Automated Meter Reading	421,000
2012 Sanitary Sewer / Storm Drain Replacement Retreat Ave Htfd	172,000
2012 Sanitary Sewer Replacements District-Wide	537,000
2012 Sewer Gate Replacement Program District-wide	89,000

2012 Sewer Pump Station Upgrades - Mohawk Drive East Hartford	458,000
2012 Sewer Pump Station Upgrades - Ridge Street, Windsor	323,000
2012 Sewer Replacement - Montclair Drive, West Hartford	68,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	147,000
2012 Standpipe & Above Grade Storage Tank Projects	36,000
2012 Structure Abandonment	12,000
2012 Water Main Replacement - Montclair Drive, West Hartford	1,000
2012 Water Main Replacement - Retreat Avenue, Hartford	1,019,000
2012 Water Main Replacement-Farmington Avenue, Hartford	2,709,000
2012 Water Storage Basin Upgrades	74,000
2012 Water Treatment Facilities Upgrades	1,025,000
2012 WPC Equipment & Facilities Refurbishment	297,000
2012 WPC Renewal and Replacements	1,848,000
2013 Asset Management Water Main Replacement	417,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	540,000
2013 Buckingham Water Pump Station, Glastonbury	230,000
2013 Communications Systems Upgrades	7,000
2013 CWP Water Main Rehabilitation - Fennway Street, Hartford	92,000
2013 CWP-Water Main Replacement Church Street, Hartford	79,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	136,000
2013 Facilities Improvement Program	450,000
2013 Fleet Replacement	403,000
2013 Folly Brook Inflow/Infiltration, Wethersfield - Contract 1	321,000
2013 General Purpose Sewer	428,000
2013 General Purpose Water	433,000
2013 HWPCF Cake Storage & Gravity Thickener System	196,000
2013 Information Technology - Applications	226,000
2013 Information Technology- Hardware	2,778,000
2013 Information Technology-SAP/Oracle	1,495,000
2013 Land Improvements	275,000
2013 Newington PRV Service Area Upgrades	125,000
2013 Paving Program	189,000
2013 Pump Station Upgrades - Brookside Street, Newington	14,000
2013 Pump Station Upgrades - Chateau Woods/High Path Road, Windsor	465,000
2013 Pump Station Upgrades - Harvest Lane, Windsor	297,000
2013 Pump Station Upgrades - Meadow Street, Newington	468,000
2013 Pump Station Upgrades - Mohawk Drive, East Hartford	257,000
2013 Pump Station Upgrades - Motts - Wethersfield	44,000
2013 Radio Frequency Automated Meter Reading	1,180,000
2013 Rocky Hill Interceptor - Relief Sewer/Capacity Improvements	450,000
2013 Sewer Pump Station Upgrades - Ridge Street, Windsor	399,000
2013 Sewer Replacement - Oakwood Ave, West Hartford	152,000
2013 Sewer Replacement - Pheasant Lane, Bloomfield	506,000
2013 Sewer Replacement/Repairs - 388-390 Farmington Ave. Hartford	26,000
2013 Various Sewer Pipe Replacement/Rehab - District Wide	1,197,000
2013 Water Main Replacement Center Street, Hartford	148,000
2013 Water Main Replacement Franklin 13	723,000
2013 Water Main Replacement Jerome Avenue, Bloomfield	620,000
2013 Water Main Replacement Pitkin Street, East Hartford	16,000
2013 Water Treatment Facilities Upgrades	146,000
2013 Wickham Hill Basins, East Hartford	113,000
2013 Windsor Inflow/Infiltration Removal	239,000
2013 WPC Equipment & Facilities Refurbishment	526,000
2013 WPC SCADA Upgrades	8,000
2014 Collinsville WTP Emergency Generator Replacement	27,000
2014 Construction Services	1,414,000
2014 Engineering Services	1,408,000
2014 Facilities Improvement Program	924,000
2014 General Purpose Sewer	748,000

2014 General Purpose Water Program	139,000
2014 Hartford WPCF DAFT Sludge Equalization Design	95,000
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	266,000
2014 Hartford WPCF West Primary Settling Tanks	2,879,000
2014 Information Technology	4,829,000
2014 Kilkenny Water	568,000
2014 Land Improvements	242,000
2014 Levee Protection System Improvements, East Hartford and Hartford	40,000
2014 Paving Program	2,758,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	94,000
2014 Pump Station Replacement – Rainbow Trunk, Windsor	471,000
2014 Pump Station Upgrades – Burnside Avenue, East Hartford	199,000
2014 Radio Frequency Automated Meter Reading	150,000
2014 Rehabilitation/Replacement NM-14 Overflow, Hartford	24,000
2014 Renewable Energy Projects – Sewer Facilities	100,000
2014 Renewable Energy Projects – Water Facilities	132,000
2014 Sewer Extension – Marsh Street, Wethersfield	47,000
2014 Sewer Rehabilitation Program	1,456,000
2014 Sewer Replacement – Madison Avenue, Hartford	125,000
2014 Survey & Construction	2,165,000
2014 Technical Services	1,072,000
2014 Various Sewer Pipe Replacement/Rehab – District Wide	349,000
2014 Various Transmission Main Design & Construction	85,000
2014 Wastewater Pump Station Improvements	57,000
2014 Water Main Replacement – Wethersfield	478,000
2014 Water Main Replacement Oakwood Avenue, West Hartford	42,000
2014 Water Main Replacements – West Hartford	7,000
2014 Water Pump Station Improvements	6,000
2014 Water Rehabilitation Program	289,000
2014 Water Treatment Facilities Upgrades	546,000
2014 WPC Equipment & Facilities Refurbishment	744,000
2014 WPC Renewal and Replacements	2,070,000
2015 Administrative Facilities Improvement Program	29,000
2015 Construction Services	1,343,000
2015 East Hartford WPCF Compressor, DO Control & SCADA Upgrades	310,000
2015 Engineering Services	919,000
2015 Fleet Replacement	23,000
2015 General Purpose Sewer	542,000
2015 General Purpose Water Program	23,000
2015 Hydrant Replacement Program	103,000
2015 Information Systems - Business Transformation SAP Upgrade	447,000
2015 Member Towns New GIS Base Map Development	243,000
2015 Paving Program	757,000
2015 Paving Program	20,000
2015 Radio Frequency Automated Meter Reading Program	525,000
2015 Sanitary Sewer Easements Acquisitions & Improvements	1,571,000
2015 Sewer Rehabilitation Program	1,513,000
2015 Survey & Construction	2,109,000
2015 Technical Services	1,589,000
2015 Water Rehabilitation Program	12,000
2015 Water Supply Generators	30,000
2015 Water Supply Improvements	80,000
2015 Water Treatment Facilities Upgrades	27,000
2015 WHWTF Water Storage Improvements	1,073,000
2015 WPC Equipment & Facilities Improvements	312,000
2016 Construction Services	2,000,000
2016 Engineering Services	1,200,000
2016 Paving Program	1,500,000
2016 Paving Program	400,000
2016 Survey & Construction	3,700,000
2016 Technical Services	2,300,000
General Obligation Bond Anticipation Notes, Issue of 2016 Notes, Series A Total	113,500,000

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 6:30 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 17, 2016

Date of Approval

**JOINT MEETING OF THE
AUDIT COMMITTEE, BOARD OF FINANCE
AND BUREAU OF PUBLIC WORKS
SPECIAL MEETING**

The Metropolitan District
555 Main Street, Hartford
Wednesday, February 3, 2016

PRESENT: Audit Committee:

Commissioners Mary Anne Charron, Donald Currey, Allen Hoffman, Kathleen J. Kowalyshyn, Alphonse Marotta, Mark A. Pappa, Raymond Sweezy, Richard W. Vicino and District Chairman William A. DiBella (9)

Board of Finance:

Commissioners Luis Caban, Allen Hoffman, William P. Horan; Citizen Members Ron Angelo and Linda King-Corbin and District Chairman William A. DiBella (6)

Bureau of Public Works:

Andrew Adil, Luis Caban, Donald M. Currey, Janice Flemming, Allen Hoffman, William P. Horan, Joseph Klett, Joseph H. Kronen, Alphonse Marotta, Hector Rivera, Raymond Sweezy and Richard W. Vicino (12)

ABSENT: Commissioner Timothy Curtis, Maureen Magnan, J. Lawrence Price, Pasquale J. Salemi; Citizen Members Martin B. Courneen and Richard Mulready (6)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant
Brian J. McCarthy, Associate
Joseph Laliberte, Associate
Ernie M. Lormier, Bond Counsel
Joe A. Vitale, Bond Counsel

CALL TO ORDER

Commissioner Vicino, Chairperson of the Bureau of Public Works called the meeting to order at 4:05 P.M.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

Commissioner Adil entered the meeting at 4:22 P.M.

REPORT FROM STAFF RE: CLEAN WATER PROJECT UPDATE

Joe Laliberte, CDM Smith, presented the Clean Water Project.

REPORT FROM BOND COUNSEL RE: CLEAN WATER FUND LEGISLATION

Ernie M. Lormier, Bond Counsel gave a presentation on Clean Water Fund legislation.

ADJOURNMENT

The meeting was adjourned at 5:39 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 17, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, February 17, 2016**

PRESENT: Commissioners Allen Hoffman, and Pasquale J. Salemi; Citizen Members Ron Angelo, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (6)

ABSENT: Commissioner Luis Caban, William P. Horan and Citizen Member Martin B. Courneen (3)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Stanley Pokora, Manager of Treasury
Kelly Shane, Director of Procurement
Kerry E. Martin, Assistant to the Chief Executive Officer
Carrie Blardo, Assistant to the Chief Operating Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:03 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of the Board of Finance meeting of January 11, 2016 and Joint Meeting Minutes of February 3, 2016 were approved.

ELECTION OF CHAIRMAN

Chairman Salemi called for nominations for Chairman.

Citizen Member Mulready nominated Commissioner Salemi for Chairman of the Board of Finance. There were no other nominations.

On motion made by Citizen Member Mulready and duly seconded, Commissioner Salemi was elected Chairman of the Board of Finance by unanimous vote of those present.

ELECTION OF VICE CHAIRMAN

Chairman Salemi called for nominations for Vice Chairman.

Chairman Salemi nominated Citizen Member Mulready for Vice Chairman of the Board of Finance. There were no other nominations.

On motion made by Chairman Salemi and duly seconded, Citizen Member Mulready was elected Vice Chairman of the Board of Finance by unanimous vote of those present.

2015 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on February 17, 2016

The 2015 Metropolitan District operating budget is experiencing a deficit in the Legal, Employee Benefits and Human Resources budgets. The Legal deficit is the result of the increased legal services during the year. The Employee Benefits budget deficit is due increased medical claims and retirements in 2015. The increase in Human Resources is due to Obamacare Tax.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 801 – Contingencies	\$91,420.00	\$66,402.00	\$157,822.00
Department-402 Hartford Water Pollution Control	124,898.00	-0-	124,898.00
Department-741-Special Agreements & Programs	<u>-0-</u>	<u>387,900.00</u>	<u>387,900.00</u>
Total	<u>\$216,318.00</u>	<u>\$454,302.00</u>	<u>\$670,620.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2015 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 801 – Contingencies	\$91,420.00	\$66,402.00	\$157,822.00
Department-402 Hartford Water Pollution Control	124,898.00	-0-	124,898.00
Department-741-Special Agreements & Programs	<u>-0-</u>	<u>387,900.00</u>	<u>387,900.00</u>
Total	<u>\$216,318.00</u>	<u>\$454,302.00</u>	<u>\$670,620.00</u>
To:	General	Water	Total
Department-141 Legal	\$68,600.00	\$71,400.00	\$140,000.00
Department-151 Human Resources	39,298.00	40,902.00	80,200.00
Department-711 Employee Benefits	<u>108,420.00</u>	<u>342,000.00</u>	<u>450,420.00</u>
Total	<u>\$216,318.00</u>	<u>\$454,302.00</u>	<u>\$670,620.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Hoffman and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
CWF NO. 215-C**

To: Board of Finance for consideration on February 17, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut for CWF No. 215-C having a principal amount of \$11,692,057.44 and having an interest rate of 2.00%.

The low interest loan and grant will fund the construction services for sanitary sewer improvements reducing inflow and infiltration to include contracts 2009-96A and 2014B-22.

The State of Connecticut, through the Clean Water Fund Program, will provide \$14,615,071.80 in state funding with approximately \$2,923,014.36 in grants and \$11,692,057.44 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Project Loan and Project Grant Agreement CWF No. 215-C to be entered into with the State of Connecticut (the "Agreement") and any and all Interim Funding Obligations and Project Loan Obligations for CWF No. 215-C in the aggregate amount not to exceed \$11,692,057.44. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Agreement and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

\$52,000,000 BOND REFUNDING RESOLUTION

To: Board of Finance for Consideration on February 17, 2016

Staff is seeking the Board's approval to refund up to \$52 million in general obligation bonds. First Southwest has completed a financial analysis of the District's outstanding debt and recommended that the District refund various maturities of the District's General Obligation Bond Issue of 2008. Based on current market conditions, First Southwest is projecting positive net present value savings for the refunding.

This resolution will expire on December 31, 2016.

Bond Counsel has prepared the following resolution for approval by the Board of Finance.

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance finds, based on the recommendation of First Southwest, that the issuance of not exceeding \$52,000,000 General Obligation Refunding Bonds of the Metropolitan District (the "District") shall result in a net present value debt service savings to the District and, therefore, recommends to the District Board passage of the following resolution:

RESOLUTION WITH RESPECT TO THE AUTHORIZATION, ISSUANCE AND SALE OF NOT EXCEEDING \$52,000,000 GENERAL OBLIGATION REFUNDING BONDS OF THE METROPOLITAN DISTRICT

RESOLVED:

Section 1. Not exceeding \$52,000,000 General Obligation Refunding Bonds (the "Refunding Bonds") of the Metropolitan District (the "District") may be issued in one or more series and in such principal amounts as the Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, shall determine to be in the best interests of the District for the purpose of achieving net present value savings and/or to moderate debt service payments. The District Board finds, based on the recommendation of First Southwest, that the issuance of the Refunding Bonds shall result in a net present value debt service savings to the District. The Refunding Bonds are hereby authorized to refund all or any portion of any one or more series of the District's outstanding General Obligation Bonds, Issue of 2008 (the "Refunded Bonds"). The Refunding Bonds shall be issued and sold either in a negotiated underwriting or a competitive offering, at such time or times as the Chairman and the District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, shall determine to be most opportune for the District. Each series of Refunding Bonds shall mature in such amounts and on such date or dates as shall be determined by the Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, provided that no Refunding Bonds shall mature later than the final maturity date of the last maturity of any Refunded Bonds being refunded by such series. The Refunding Bonds shall bear interest

payable at such rate or rates as shall be determined by the Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer,. The Refunding Bonds shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, bear the District seal or a facsimile thereof, and be approved as to their legality by Hinckley, Allen & Snyder LLP, and Finn Dixon & Herling LLP, Bond Counsel. The Refunding Bonds shall be general obligations of the District and each of the Refunding Bonds shall recite that every requirement of law relating to its issue has been duly complied with, that such bond is within every debt and other limit prescribed by law, and that the full faith and credit of the District are pledged to the payment of the principal thereof and the interest thereon. The aggregate denominations, form, details, and other particulars thereof, including the terms of any rights of redemption and redemption prices, the designation of the certifying, paying, registrar and transfer agent, shall be subject to the approval of the Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer. The net proceeds of the sale of the Refunding Bonds, after payment of underwriter's discount and other costs of issuance, shall be deposited in an irrevocable escrow account in an amount sufficient to pay the principal of, interest and redemption premium, if any, due on the Refunded Bonds to maturity or earlier redemption pursuant to the plan of refunding. The Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, are authorized to appoint an escrow agent and other professionals and to execute and deliver any and all escrow, investment and related agreements necessary to provide for such payments on the Refunded Bonds and to provide for the transactions contemplated hereby. The Chairman and District Treasurer, or in their absence, the Vice Chairman or Deputy Treasurer, are authorized to prepare and distribute preliminary and final Official Statements of the District for use in connection with the offering and sale of the Refunding Bonds, and they are hereby authorized to execute and deliver on behalf of the District a Bond Purchase Agreement, a Continuing Disclosure Agreement, a Tax Regulatory Agreement, Escrow Agreement and such other documents necessary or desirable for the issuance of the Refunding Bonds and the payment of Refunded Bonds.

Section 2. This resolution shall be effective until December 31, 2016.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:21 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

March 7, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, March 7, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, and Pasquale J. Salemi;
Citizen Members Ron Angelo, Richard Mulready and District Chairman
William A. DiBella (6)

ABSENT: Commissioner William P. Horan and Citizen Members Martin B.
Courneen and Linda King-Corbin (3)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Brendan Fox, Assistant District Counsel
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kerry E. Martin, Assistant to the Chief Executive Officer
Carrie Blardo, Assistant to the Chief Operating Officer

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:17 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

*On motion made by Citizen Member Mulready and duly
seconded, the meeting minutes of the Board of Finance
meeting of February 17, 2016 were approved.*

Commissioner Caban abstained.

**AUTHORIZATION FOR TREASURER AND DEPUTY TREASURER
TO OPEN AND MAINTAIN DEPOSIT ACCOUNT**

To: Board of Finance for consideration on March 7, 2016

Staff seeks approval from the Board of Finance to authorize the Treasurer and Deputy Treasurer, acting severally, to perform the following operational cash management banking functions for The Metropolitan District:

Open and maintain a deposit account or accounts

Endorse all checks, drafts, notes and other items payable to or owned by The Metropolitan District

Execute special depository agreements or other such agreements for purposes for which funds, checks or items may be deposited, collected or withdrawn

Sign checks or other items to be tendered or drawn against bank account(s) opened.

It is therefore RECOMMENDED that the Board of Finance recommend to the District Board passage of the following resolution:

Be It Resolved: that the District Clerk be and is authorized to execute the attached Governmental Entity Certificate of Resolution ("Certificate of Resolution") on behalf of the District, and that the terms and conditions set for in the Certificate of Resolution are incorporated herein and made a part of this resolution which, upon passage, effectively authorizes the District Treasurer and Deputy Treasurer, severally, to act on behalf of the District in the manner consistent with the terms and conditions set forth in said Certificate of Resolution.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer



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GOVERNMENTAL ENTITY CERTIFICATE OF RESOLUTION
 (For Deposit Accounts)

Depositor (Name of Governmental Entity): <p style="text-align: center;">THE METROPOLITAN DISTRICT</p> Address: 555 MAIN ST P.O. BOX 800 HARTFORD, CT 06103	Financial Institution: TD Bank, N.A. 11000 Atrium Way Mt. Laurel, NJ 08054
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I HEREBY CERTIFY that I am the duly elected and qualified Authorized Governmental Agent and keeper of records for the Depositor (also referred to as "Governmental Entity") named above, that the following is a true and complete copy of a Resolution duly adopted at a meeting of the Governing Body of said Governmental Entity held on, or dated on _____, 20____ in accordance with the law and the by-laws of, or consent of, said Governmental Entity, and that my delivery of this Certificate of Resolution to Financial Institution certifies to Financial Institution that such Resolution is still in full force and effect.

I FURTHER CERTIFY that the name of the Depositor set forth above is the complete and correct name of the Governmental Entity and that the Governmental Entity is organized and existing under and by virtue of the laws of the State/Commonwealth/District of _____ a Governmental Entity.

RESOLVED, that the Financial Institution named above, at any one or more of its offices or branches, be and it hereby is designated as a Financial Institution of and depository for the funds of this Governmental Entity, which may be withdrawn on checks, drafts, advices of debit, notes or other orders for the payment of monies (including electronic orders) bearing the signature of, or as otherwise authorized by, any one (1) of the following officers, employees or agents of this Governmental Entity ("Agents"), whose actual signatures are shown below:

Title	Name	Signature
Deputy CEO of Business Services & Chief Financial Officer, Treasurer	John M. Zinzarella	
Director of Finance/Deputy Treasurer	Robert Constable	

FURTHER RESOLVED, the Agents, whose names and signatures appear above, are hereby authorized to open and maintain a deposit account or accounts of the Governmental Entity with the Financial Institution, subject to the terms and conditions of the Business Deposit Account Agreement, as it may be amended from time to time (the "Account Agreement").

FURTHER RESOLVED, that the Financial Institution is hereby directed to accept and pay without further inquiry any item or payment order drawn against any of the Governmental Entity's accounts with the Financial Institution bearing the signature of or as otherwise authorized by any such Agents even though drawn or endorsed to the order of any Agent signing or tendered by such Agent for cashing or in payment of the individual obligation of such Agent or for deposit to the Agent's personal account, and the Financial Institution shall not be required or be under any obligation to inquire as to the circumstances of the issue or use of any item signed, or payment order authorized, in accordance with the resolutions contained herein, or the application or disposition of such item or payment order or the proceeds of the item or payment order.

FURTHER RESOLVED, that any one of such Agents is authorized to endorse all checks, drafts, notes and other items payable to or owned by this Governmental Entity for deposit with the Financial Institution, or for collection or discount by the Financial Institution, and to accept drafts and other items payable at the Financial Institution.

FURTHER RESOLVED, that the above named agents are authorized and empowered to execute such other agreements, including, but not limited to, special depository agreements and arrangements regarding the manner, conditions or purposes for which funds, checks or items of the Governmental Entity may be deposited, collected, or withdrawn and to perform such other acts as they deem reasonably necessary to carry out the provisions of these resolutions.

FURTHER RESOLVED, that the authority hereby conferred upon the above named Agents shall be and remains in full force and effect until written notice of the revocation thereof shall have been delivered to and received by the Financial Institution at the location where an account of the Governmental Entity is maintained and Financial Institution has had a reasonable period of time to act upon such notice.

I FURTHER CERTIFY that the persons named above occupy the positions set forth opposite their respective names and signatures; that the foregoing resolutions now stand of record on the books of the Governmental Entity; that they are in full force and effect and have not been modified in any manner whatsoever.

IN TESTIMONY WHEREOF, I have hereunto set my hand on _____ and attest that the signatures set opposite the names listed above are their genuine signatures.

CERTIFIED TO AND ATTESTED BY:

SEAL

X

Authorized Governmental Agent or Assistant Authorized Governmental Agent

(Title)

Note: In case the Authorized Governmental Agent or other certifying officer is designated by the foregoing resolutions as one of the signing officers, this certificate should also be signed by a second Officer or Director of the Governmental Entity and that the Financial Institution shall be and is authorized to honor and pay the same whether or not they are payable to bearer or to the individual order of any Agent or Agents signing the same.

Rev. 11/2014 | TD Bank, N.A.

On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:20 PM

ATTEST:

 John S. Mirtle, Esq.
 District Clerk

March 22, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, March 22, 2016**

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, Linda King-Corbin and Richard Mulready (5)

ABSENT: Commissioners Luis Caban and William P. Horan and Citizen Member Martin B. Courneen (3)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
Rob Constable, Director of Finance
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 4:34 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of March 7, 2016 were approved.

FISCAL YEAR 2015 AUDIT

Reed Risteen of Blum Shapiro provided the required auditor communication for the fiscal year 2015 audit. Copies are on file in the Office of the District Clerk.

APPROVAL FOR STATE OF CONNECTICUT FINANCING - CWF NO. 686-C

To: Board of Finance for consideration on March 22, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut for CWF No. 686-C having a principal amount of \$4,657,150.97 and having an interest rate of 2.00%.

The low interest loan and grant will fund the relocation of Eversource ductbanks and installation of geotechnical monitoring points to facilitate the construction of the South Hartford Conveyance Storage Tunnel.

The State of Connecticut, through the Clean Water Fund Program, will provide \$6,429,262.94 in state funding with approximately \$1,772,111.97 in grants and \$4,657,150.97 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Project Loan and Project Grant Agreement CWF No. 686-C to be entered into with the State of Connecticut (the "Agreement") and any and all Interim Funding Obligations and Project Loan Obligations for CWF No. 686-C in the aggregate amount not to exceed \$4,657,150.97. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Agreement and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 4:49 PM

ATTEST:

A handwritten signature in black ink, reading "John Mirtle". The signature is written in a cursive, flowing style.

John S. Mirtle, Esq.
District Clerk

April 14, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Thursday, April 14, 2016**

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, Linda King-Corbin and Richard Mulready (5)

ABSENT: Commissioners Luis Caban and William P. Horan and Citizen Member Martin B. Courneen (3)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Kelly Shane, Director of Procurement
Robert Schwarm, Director of Information Technology
Robert Zaik, Manager of Labor Relations
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:06 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of March 22, 2016 were approved.

**AMENDED AND RESTATED APPROVAL FOR STATE OF CONNECTICUT
FINANCING – DWSRF NO. 2014-7033**

To: Board of Finance for consideration on April 14, 2016

Staff seeks approval from your Board to amend and restate the resolution of the Board of Finance dated May 7, 2014 concerning the State of Connecticut Financing – DWSRF No. 2014-7033, to execute and deliver the Interim Funding Obligation and

Project Loan Obligation to the State of Connecticut now having a principal amount of \$1,501,319.93 and having an interest rate of 2.00%.

The low interest loan and grant will fund pipeline modifications and instrumentation at the West Hartford Water Treatment Facility and the replacement of a water main along Pitkin Street in East Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$1,619,546.87 in state funding with approximately \$118,226.94 in grants and \$1,501,319.93 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be:

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$1,501,319.93. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2014-7033 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Section 3. This resolution amends and restates a resolution of the District Board dated May 7, 2014 concerning the State of Connecticut Financing – DWSRF No. 2014-7033.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
CWF NO. 690-PG**

To: Board of Finance for consideration on April 14, 2016

Staff seeks approval from your Board to execute and deliver the Project Grant Agreement between the District and the State of Connecticut for CWF No. 690-PG having a grant amount of \$264,282.70.

The grant will fund the development of an asset management program covering water distribution, sanitary and combined sewer infrastructure, water and wastewater facilities in accordance with the Engineering Agreement approved by the Commissioner of Public Health dated October 22, 2015.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be:

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Project Grant Agreement CWF No. 690-PG to be entered into with the State of Connecticut (the "Agreement") and any and all documents and instruments ancillary thereto and to accept the grant thereunder in amount of 264,282.70, or such additional amounts as may be made available thereunder.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

2015 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on April 14, 2016

The 2015 Metropolitan District operating budget is experiencing a deficit in the Information Technology and Special Agreements & Programs budgets. The Information Technology deficit is the result of the increased software maintenance services during

the year. The Special Agreements & Programs budget deficit is due to the Districts portion of increased construction associated with Mattabassett District.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 701 – Debt Service	<u>\$16,780,000.00</u>	<u>\$14,787,400.00</u>	<u>\$31,567,400.00</u>
Total	<u>\$16,780,000.00</u>	<u>\$14,787,400.00</u>	<u>\$31,567,400.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution.

RESOLVED: That transfers within the 2015 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 701 – Debt Service	<u>\$115,500.00</u>	<u>\$234,500.00</u>	<u>\$350,000.00</u>
Total	<u>\$115,500.00</u>	<u>\$234,500.00</u>	<u>\$350,000.00</u>

To:	General	Water	Total
Department-171 Information Systems	<u>\$115,500.00</u>	<u>\$234,500.00</u>	<u>\$350,000.00</u>
Total	<u>\$115,500.00</u>	<u>\$234,500.00</u>	<u>\$350,000.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Hoffman and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:16 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

May 2, 2016

Date of Approval

**JOINT MEETING OF THE
AUDIT COMMITTEE, BOARD OF FINANCE
AND BUREAU OF PUBLIC WORKS
SPECIAL MEETING
The Metropolitan District
125 Maxim Road, Hartford
Thursday, April 21, 2016**

PRESENT: Audit Committee:
Commissioners Donald Currey, Allen Hoffman, Kathleen J. Kowalyshyn, Alphonse Marotta, Mark A. Pappa, Richard W. Vicino and District Chairman William A. DiBella (7)

Board of Finance:
Commissioners Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen and District Chairman William A. DiBella (6)

Bureau of Public Works:
Andrew Adil, Luis Caban, Donald M. Currey, Allen Hoffman, Joseph H. Kronen, Alphonse Marotta, Hector Rivera and Richard W. Vicino (8)

ABSENT: Commissioners Mary Anne Charron, Timothy Curtis, Janice Flemming, William P. Horan, Joseph Klett, Byron Lester, Maureen Magnan, J. Lawrence Price, Raymond Sweezy, Citizen Members Linda King-Corbin and Richard Mulready (11)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Frank Dellaripa, Manager of Construction Services
Chris Levesque, Assistant Manager of Water Treatment
Toby R. Krantz, Manager of Construction and Engineering Services
Michael T. Curley, Manager of Technical Services
Jeffrey Davis, Design Manager
David Banker, Project Manager, Technical Services
Jason F. Waterbury, Project Manager/Team Leader, Technical Services
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Commissioner Vicini, Chairman of the Bureau of Public Works called the meeting
to order at 8:32 AM

ROLL CALL AND QUORUM

The District Clerk called the roll and informed Chairman Vicino that quorums of the Audit Committee, Board of Finance, and Bureau of Public Works were not present.

At that time, the meeting was adjourned.

ADJOURNMENT

The meeting was adjourned at 8:32 AM

ATTEST:



John S. Mirtle, Esq.
District Clerk

May 2, 2016

Date of Approval

BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, May 2, 2016

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi;
Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and
Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioner William P. Horan (1)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
R. Bartley Halloran, District Counsel
Rob Constable, Director of Finance
Robert Zaik, Manager of Labor Relations
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:05 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of the Board of Finance meeting of April 14, 2016 and joint meeting minutes of April 21, 2016 were approved.

Commissioner Caban abstained.

Without objection, Agenda Items #4 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7035", #5 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7042", #6 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7043", #7 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7044", #8 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7045", #9 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7046", and #10 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7047" were consolidated and considered together.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7035**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$2,315,019.00 and having an interest rate of 2.00%.

The low interest loan and grant will fund site preparation and associated piping and valves for construction of the replacement of a water main and appurtenances on and in the vicinity of Farmington Avenue, Woodland Street and Dennison Street in Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$2,516,325.00 in state funding with approximately \$201,306.00 in grants and \$2,315,019.00 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$2,315,019.00. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2016-7035 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7042**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$825,335.44 and having an interest rate of 2.00%.

The low interest loan will fund the replacement of a water main and appurtenances on and in the vicinity of Jerome Avenue and Pheasant Lane in Bloomfield.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$825,335.44 in state funding in the form of low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$825,335.44. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan Agreement DWSRF No. 2016-7042 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7043**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$1,415,005.49 and having an interest rate of 2.00%.

The low interest loan and grant will fund the replacement of a water main and appurtenances on and in the vicinity of Center Street and Fairmont Street in Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$1,538,049.45 in state funding with approximately \$123,043.96 in grants and \$1,415,005.49 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$1,415,005.49. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2016-7043 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7044**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$3,067,134.04 and having an interest rate of 2.00%.

The low interest loan and grant will fund the replacement of a transmission main(s) and appurtenances on and in the vicinity of Brookfield Street, Hamilton Street, Hillside Avenue and Park Terrace in Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$3,333,841.35 in state funding with approximately \$266,707.31 in grants and \$3,067,134.04 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$3,067,134.04. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2016-7044 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7045**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$2,486,095.50 and having an interest rate of 2.00%.

The low interest loan will fund the replacement of various water mains and appurtenances on and in the vicinity of Byrd Road, Chamberlain Road, Somerset Street and a portion of the Silas Deane Highway in Wethersfield.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$2,486,095.50 in state funding in the form of low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$2,486,095.50. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan Agreement DWSRF No. 2016-7045 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7046**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$3,302,029.50 and having an interest rate of 2.00%.

The low interest loan will fund the replacement of a water main(s) and appurtenances on and in the vicinity of Cottage Grove Road and Talcottview Drive in Bloomfield.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$3,302,029.50 in state funding in the form of low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$3,302,029.50. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan Agreement DWSRF No. 2016-7046 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2016-7047**

To: Board of Finance for consideration on May 2, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$3,208,392.71 and having an interest rate of 2.00%.

The low interest loan and grant will fund site preparation and associated piping and valves for construction of a 2.5 million gallon water storage basin at the West Hartford Water Treatment Facility.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$3,411,469.38 in state funding with approximately \$203,076.67 in grants and \$3,208,392.71 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$3,208,392.71. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2016-7047 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the reports for resolutions #4 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7035", #5 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7042", #6 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7043", #7 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7044", #8 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7045", #9 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7046", and #10 "APPROVAL FOR STATE OF CONNECTICUT FINANCING DWSRF NO. 7047" were received and the resolutions adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:11 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 6, 2016

Date of Approval

**SPECIAL MEETING
BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, June 6, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, William P. Horan and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and Richard Mulready and District Chairman William A. DiBella (9)

ABSENT: (0)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Nick Salemi, Communications Administrator
Mike Curley, Manager of Technical Services
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe A. Vitale, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:07 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Commissioner Caban and duly seconded, the meeting minutes of the Board of Finance meeting of May 2, 2016 were approved.

Citizen Member Courneen abstained.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
CWF NO. 692-C**

To: Board of Finance for consideration on June 6, 2016

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut for CWF No. 692-C having a principal amount of \$172,230,202.95 and having an interest rate of 2.00%.

The low interest loan and grant will fund Contract 2: the construction of the South Hartford Conveyance Storage Tunnel and shaft.

The State of Connecticut, through the Clean Water Fund Program, will provide \$313,155,369.00 in state funding with approximately \$140,925,166.05 in grants and \$172,230,202.95 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer are authorized to execute and deliver the Project Loan and Project Grant Agreement CWF No. 692-C to be entered into with the State of Connecticut (the "Agreement") and any and all Interim Funding Obligations and Project Loan Obligations for CWF No. 692-C in the aggregate amount not to exceed \$172,230,202.95, to fund Contract 2, the construction of the South Hartford Conveyance Storage Tunnel and shaft. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, , as defined in the Agreement, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Agreement and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Chief Executive Officer
Scott W. Jellison

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:21 PM

ATTEST:

A handwritten signature in black ink, reading "John Mirtle".

John S. Mirtle, Esq.
District Clerk

June 15, 2016

Date of Approval

**SPECIAL MEETING
BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Wednesday, June 15, 2016**

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, Linda King-Corbin and Richard Mulready (5)

ABSENT: Commissioners Luis Caban and William P. Horan; Citizen Member Martin B. Courneen (3)

ALSO

PRESENT: Commissioner Donald M. Currey
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Rob Constable, Director of Finance
Kelly Shane, Director of Procurement
Robert Zaik, Interim Director of Human Resources
Jenna Lombardo, Manager of Financial Control
John S. Mirtle, District Clerk
Cynthia A. Nadolny, Executive Assistant
Vanessa Rossitto, Blum Shapiro

CALL TO ORDER

Chairman Salemi called the meeting to order at 12:07 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

The approval of minutes was postponed until after the 2015 Comprehensive Annual Financial Report discussion.

Commissioner Hoffman arrived at 12:09 PM

DISCUSSION RE: 2015 COMPREHENSIVE ANNUAL FINANCIAL REPORT

Vanessa Rossitto of Blum Shapiro presented the 2015 Comprehensive Financial Report.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of June 6, 2016 were approved.

ADJOURNMENT

The meeting was adjourned at 12:46 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

July 11, 2016

Date of Approval

BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, July 11, 2016

PRESENT: Commissioners Allen Hoffman, William P. Horan and Pasquale J. Salemi;
Citizen Members Martin B. Courneen and Linda King-Corbin and District
Chairman William A. DiBella (6)

ABSENT: Commissioner Luis Caban and Citizen Members Ron Angelo and Richard
Mulready (3)

ALSO

PRESENT: Commissioner Joseph H. Kronen
Commissioner Bhupen Patel
Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Brendan Fox, Assistant District Counsel
John S. Mirtle, District Clerk
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Robert Zaik, Interim Director of Human Resources
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:14 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

Donna Landerman of 6 Rundelane, Bloomfield commented regarding local
hiring and the future hiring practice for projects.

Written comments were submitted by Judy Allen of 25 Fowler Drive, West
Hartford.

July 11, 2016

Comments for the Board of Finance Meeting, 5:pm

To be included in the official minutes of this meeting.

I would like to point out some misrepresentations by CEO W. Scott Jellison and CFO John M. Zinzarella in their Letter of Transmittal in the introductory section of the Comprehensive Annual Financial Report for the year ended Dec. 31, 2015.

Page vii, paragraph 3 includes the statements: "During the FY 2016 budgeting process, based on feedback received from business and industry leaders, as well as some of our key stakeholders, the MDC adopted a decreasing block rate structure which we believe will make the MDC service area more attractive to industrial users who have the potential to consume large quantities of water as well as hire local residents. Although this course of action was not embraced by all of our customers, we believe that in the long run, this newly adopted rate structure will benefit all the customers of the MDC."

The MDC did not receive any customer feedback before adopting this rate structure. The MDC voted on this ordinance at the same time Niagara Bottling closed its deal with the Town of Bloomfield for building a water bottling plant in their town based on this new rate structure, a deal the citizens of Bloomfield were not aware of.

When brought to light this prompted such a public outcry that the MDC was called to defend its actions at public meetings in many member towns. Citizens of Bloomfield joined by citizens of other member towns brought their concerns to the State Legislature. This resulted in a bill passed by the State Senate that would have put a moratorium on further development by high volume water users until the State Wide Water Plan is completed and can evaluate the impact of water bottling industries on the waters of CT. The bill would also have required future rate structures be equitable and ensure high volume users pay their share of the special sewer system charges.

"Although this course of action was not embraced by all our customers" seems a gross understatement of the events that unfolded.

This also raised the ongoing issue of the lack of transparency by the MDC. On page 31 in the body of the Comprehensive Annual Financial Report, paragraph 2, "The proposed operating budget is then published in line item format in one or more local newspapers servicing the District for a period of three consecutive days".

That just plain didn't happen, again highlighting the lack of customer involvement in the budgetary process.

The MDC Charter established a district for the creation and oversight of an infrastructure to provide clean drinking water and a sewage system for member towns. Nowhere does it give the MDC power to influence economic development within the district, state or region.

In my opinion, the MDC has lost sight of what its primary purpose is and continues to lose the confidence of its customers. This is something I believe has a bearing on the MDC's financial health.

Judy Allen
25 Fowler Dr.
West Hartford, CT 06110

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 15, 2016 were approved.

REQUIRED AUDITOR COMMUNICATIONS – FISCAL YEAR 2015 AUDIT

Vanessa Risotto of Blum Shapiro presented the required auditor's communications of the closing report for the fiscal year 2015 audit to the Board of Finance.

ADJOURNMENT

The meeting was adjourned at 5:29 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

September 12, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
John J. Rossi Building
231 Brainard Road, Hartford
Monday, September 12, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen and Richard Mulready, and District Chairman William A. DiBella (7)

ABSENT: Commissioner William P. Horan and Citizen Member Linda King-Corbin (2)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Jenna Lombardo, Manager of Financial Control
Julie McLaughlin, Special Services Administrator
Nick Salemi, Special Services Administrator
James Masse, Network Analyst
Carrie Blardo, Assistant to the Chief Operating Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:15 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Commissioner Hoffman and duly seconded, the meeting minutes of the Board of Finance meeting of July 11, 2016 were approved.

Commissioners Caban and Citizen Member Angelo abstained.

2016 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on September 12, 2016

The 2016 Metropolitan District operating budget is currently experiencing deficits in both the Information Technology and Special Agreements & Programs budgets. The Information Technology budget deficit is the result of higher than forecasted software maintenance service fees as a result of the IT infrastructure investments which have been placed into service during the current year. The Special Agreements & Programs budget deficit is due to the District's portion of increased construction associated with Mattabassett District.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 701 –			
Debt Service	<u>\$17,400.10</u>	<u>\$19,101.80</u>	<u>\$36,501.9</u>
	<u>0.00</u>	<u>0.00</u>	<u>00.00</u>
Total	<u>\$17,400.10</u>	<u>\$19,101.80</u>	<u>\$36,501.90</u>
	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2016 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department			
701 –	<u>\$205,900.00</u>	<u>\$330,100.00</u>	<u>\$536,000.00</u>
Debt Service			
Total	<u>\$205,900.00</u>	<u>\$330,100.00</u>	<u>\$536,000.00</u>

To:	General	Water	Total
Department-			
171	<u>\$141,900.00</u>	<u>\$288,100.00</u>	<u>\$430,000.00</u>
Information			

Systems

Department- 741 Special Agreements & Programs	\$64,000.00	\$42,000.00	\$106,000.00
Total	<u>\$205,900.00</u>	<u>\$330,100.00</u>	<u>\$536,000.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Caban and duly seconded by Citizen Member Courneen, the report was received and the resolution adopted by unanimous vote of those present.

FISCAL YEAR 2016 BUDGET AMENDMENT

To: Board of Finance for consideration on September 12, 2016

The 2016 adopted operating budget contained certain assumptions with regard to revenues and the allocation for Employee Benefits. These assumptions included forecasted water consumption, anticipated sludge quantities as well as, the successful resolution of the CRRA arbitration in 2016. As resolution of the CRRA arbitration will not be achieved in 2016, the allocation assumptions for Employee Benefits has to be adjusted to reflect the revised reality. Accordingly, certain revenue line items in both the water utility and sewer budgets, must also be adjusted to ensure that each of their 2016 budgets remain balanced.

Therefore it is **RECOMMENDED** that it be

Voted: That the 2016 Budget Revenues be amended as follows: Water Budget Revenues Sale of Water of \$74,015,300 be reduced by \$3,320,900 to \$70,694,400 and the Sewer Budget Revenues-Revenue from Other Government Agencies of \$3,963,400 be increased by \$2,000,000 to \$5,963,400 and Other Revenues of \$11,854,700 be increased by \$1,320,900 to \$13,175,600 and the total amount remains \$149,287,400.

And that the 2016 Budget Expenditures be amended as follows: Water Budget Expenditures-Employee Benefits be reduced by \$3,320,900 and the Sewer Budget Expenditures-Employee Benefits be increased by \$3,320,900 and the total amount remains \$149,287,400 to be referred to the District Board for acceptance and approval.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded by Citizen Member Angelo, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:27 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

October 5, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street
Hartford, Connecticut 06103
Wednesday, October 5, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen and Linda King-Corbin, and District Chairman William A. DiBella (7)

ABSENT: Commissioner William P. Horan and Citizen Member Richard Mulready (2)

ALSO

PRESENT: Commissioner Donald M. Currey
Commissioner Bhupen Patel
Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Jenna Lombardo, Manager of Financial Control
Robert Zaik, Interim Director of Human Resources
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe A. Vitale, Bond Counsel
Ernest Lormier, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:01 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of September 12, 2016 were approved.

**RESOLUTION APPROPRIATING A FURTHER \$140,000,000 FOR THE DISTRICT'S
COMBINED SEWER OVERFLOW, SANITARY SEWER OVERFLOW AND NITROGEN
REMOVAL PROGRAMS TO DECREASE LEVELS OF POLLUTION IN THE CONNECTICUT
RIVER AND LONG ISLAND SOUND TO COMPLY WITH A CONSENT DECREE OF THE
UNITED STATES DISTRICT COURT OF THE DISTRICT OF CONNECTICUT AND A
CONSENT ORDER OF THE CONNECTICUT DEPARTMENT OF ENVIRONMENTAL
PROTECTION**

To: Board of Finance for consideration on October 5, 2016

WHEREAS, the charter of the Metropolitan District of Hartford County, Connecticut (the "District"), and more particularly Section 14 of number 511 of the special acts of 1929, as amended by section 1 of number 332 of the special acts of 1931, number 127 of the special acts of 1947, section 2 of special act 79-102, special act 80-13, section 1 of special act 83-31, section 5 of special act 90-27, and section 2 of public act 15-114 (Section 14, as amended, being referred to as the "Referendum Requirement"), provides as follows:

(a) Appropriations to be financed by the issuance of bonds, notes or other obligations of the district may be made at any time upon approval of the district board and recommendation of the board of finance in accordance with section 20 of number 511 of the special acts of 1929.

(b) (1) Any appropriation in excess of the amount set forth in subdivision (2) of this subsection for any single item of capital expense not regularly recurring, including, but not limited to, a capital purpose, a public improvement or an extraordinary expenditure which may properly be financed long-term rather than from current revenues, notwithstanding that such appropriation is included in the budget to be met from current revenues, shall be approved by a two-thirds vote of the entire district board and by a majority of the electors of the district at a referendum of the district called by the district board in accordance with the requirements of section 5 of number 511 of the special acts of 1929, as amended by special act 77-54; provided an appropriation for any reason involving not more than twice the amount set forth in subdivision (2) of this subsection in any one year for the purpose of meeting a public emergency threatening the lives, health or property of citizens of the district may be made upon approval by a two-thirds vote of the entire district board without submission to the electors of the district; provided further, appropriations may be made in any amount without submission to the electors of the district for any public improvement all or a portion of which is to be paid for by assessments of benefits or from funds established to pay for waste or water facilities pursuant to section 13 of number 511 of the special acts of 1929, as amended by number 366 of the special acts of 1949, special act 77-54 and special act 83-31; and provided further, submission to the electors of the district shall only be required with respect to such portion, if any, of any appropriation approved by the district board on and after October 1, 2015, as exceeds the amount set forth in subdivision (2) of this subsection. The district board may determine, in the case of appropriations for water, sewer and utility line extensions and improvements, or the installation or replacement of service meters, the definition of what shall constitute a single item of capital expense for purposes of compliance with the

referendum requirement of this section. Such determination may be contained in the capital budget or a resolution making such appropriation or authorizing the issuance of bonds, notes or obligations of the district and any such determination shall be final and conclusive.

(2) On and after October 1, 2015, the threshold amount for purposes of subdivision (1) of this subsection shall be twenty million dollars as adjusted annually thereafter on October first by a percentage equal to the increase, if any, in the consumer price index for urban consumers, as most recently determined by the United States Department of Labor, Bureau of Labor Statistics for the most recent twelve-month period available, provided for any appropriation adopted by the district board on and after October 1, 2015, the aggregate amount of federal and state grants available, committed to be made available or expected to be made available for the appropriation at issue, each as determined by the district board whose determination shall be conclusive, shall be deducted from the amount of the appropriation in determining whether such threshold is met.

WHEREAS, for purposes of this resolution, the amount referred to in section (b)(1) of the Referendum Requirement is hereinafter referred to as the Threshold Amount, and

WHEREAS, the District has by previous resolutions approved appropriations authorized expenditures aggregating \$1,600 million for any and all projects necessary or desirable in connection with the planning, design, acquisition, construction and development of a combined sewer overflow program, a sanitary sewer overflow program and a nitrogen removal program of the District, in order to comply with a consent decree issued by the United States District Court, District of Connecticut, and entered into by and between the District, the United States Department Of Justice, the U. S. Attorney's Office, the United States Environmental Protection Agency and the State of Connecticut Attorney General (the "U. S. Consent Decree"), and a consent order to be issued by the State of Connecticut Department of Environmental Protection, now the Connecticut Department of Energy and Environmental Protection ("DEEP") and to be executed by the District and the Commissioner of DEEP (the "Connecticut Consent Order" and, together with the U. S. Consent Decree, the "Government Orders") (the "Project"); and

WHEREAS, the Chairman and the Chief of Program Management or the Treasurer in the name of and on behalf of the District applied for federal and state loans and/or grants-in-aid for the Project under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), and in particular, the portion of the Project involving the construction of the South Hartford Storage and Conveyance Tunnel (the "South Tunnel Construction Element") and the District has executed a Project Loan and Project Grant Agreement, dated June 21, 2016 (the "Agreement"), with the State of Connecticut (CWF No. 692-C) involving a total project cost of \$313,156,346.00, of which \$140,925,166.05 would be funded by state grants under the Clean Water Fund Program (subject to the terms and conditions of such Agreement), and the balance by funds of the District and loans from the Clean Water Fund Program;

WHEREAS, the District has determined to appropriate a further \$140,000,000 of capital expenditures for the Project, specifically to the South Tunnel Construction Element, and to declare its determination that such capital expenditures are expected to be funded entirely by federal or state grants under the Clean Water Fund Program under the Agreement;

NOW THEREFORE BE IT RESOLVED:

Section 1. The additional sum of \$140,000,000 is hereby appropriated for the Project. The Project is a single item of capital expense, not regularly recurring, which may be financed on a long-term basis. For the avoidance of doubt, the appropriation hereby made shall be in addition to any prior appropriation for the Project. The appropriation hereby made shall be allocated specifically to the South Tunnel Construction Element, and in particular to those costs associated with the South Tunnel Construction Element which are paid for by state grants under the Agreement.

Section 2. Based on the facts heretofore cited and other evidence presented to the District Board, the District Board FINDS and DETERMINES, that the appropriation made in Section 1 will be paid for by funds available, committed to be made available or expected to be committed made available by federal and state grants; and further, FINDS and DETERMINES, that the appropriation made in Section 1 will not be in excess of the Threshold Amount, and that therefore the appropriation made in Section is valid and effective without the requirement that the appropriation be submitted to the electors of the District at a referendum held for such purpose as provided in the Referendum Requirement.

Section 3. The applications by the Chairman and the Chief of Program Management or the Treasurer in the name and on behalf of the District for any and all federal and state loans and/or grants-in-aid to meet any portion of the costs of the Project determined by DEEP to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), and in particular the South Tunnel Construction Element, and the execution and delivery of the Agreement providing for expenditures authorized hereby to be paid for by grants under the Agreement, combined with expenditures and borrowings authorized by other appropriations for the Project, be and they hereby are approved, ratified and confirmed.

Section 4. The Treasurer is authorized and directed to charge expenditures for the South Tunnel Construction Element reimbursed by the state grant under the Agreement against the appropriation made hereby, and to charge no other expenditures against this appropriation.

Section 5. In the absence of the appointment of a Treasurer, the Chief Administrative Officer is authorized to act as Treasurer for all purposes of this resolution and the Manager of Treasury is authorized to act as Deputy Treasurer.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Hoffman and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**ISSUANCE OF UP TO \$173,500,000
IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on October 5, 2016.

Staff is seeking authority for the District to issue up to \$173,500,000 in general obligation bonds that will refund \$48,000,000 in existing bond anticipation notes due on December 1, 2016, refund an additional \$65,500,000 in existing bond anticipation notes due on December 1, 2016, unless the District issues new bond anticipation notes to refund such \$65,500,000 in existing bond anticipation notes, and to provide up to \$60,000,000 in new money for CIP projects, unless the District issues new bond anticipation notes to provide such \$60,000,000 in new money for CIP projects.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$173,500,000 IN GENERAL OBLIGATION BONDS OF THE METROPOLITAN DISTRICT

Not exceeding \$173,500,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued in for capital improvement projects, to currently refund up to \$113,500,000 Bond Anticipation Notes of the District maturing December 1, 2016 (the "Outstanding Notes") and to fund up to \$60,000,000 in new money for Capital Improvement Projects. The Bonds are authorized to be issued to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on November 1 in each of the years 2017 or 2018 through 2036, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary

and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

**General Obligation Bond Issue of 2016 Series C New Money
CIP Appropriation**

Issue Amount

2006 Wethersfield Cove Inflow & Infiltration Reduction	97,000
2007 Wastewater Treatment Facility Improvements	35,000
2007 Wastewater Treatment Facility Security and Communication Improvements	1,144,000
2008 General Purpose Sewer	735,000
2008 Capacity Management Operation & Maintenance Compliance	28,000
2008 Improvements to SCADA	46,000
2009 General Purpose Sewer	11,000
2009 Hartford Odor Control Construction	71,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	153,000
2011 Sewer Study - Dividend Brook, Rocky Hill	38,000
2010 Facility & Equipment Improvements Program	55,000
2010 General Purpose Sewer	17,000
2010 WPC EHWPFC Screen & Grit Replacement Program	2,000
2010 WPC Electrical Systems Modernization Program	225,000
2010 WPC Renewal & Replacements Program	89,000
2011 Water Main Replacement - Cottage Grove Road, Bloomfield	63,000
2011 Capacity Management Operation & Maintenance (CMOM) - Compliance	15,000
2011 Facility & Equipment Improvements	99,000
2011 General Purpose Sewer	2,000
2011 Headquarter Renovations	85,000
2011 Pump Station Generators	101,000
2011 WPC Electronic Development	187,000

2011 WPC Equipment & Facility Refurbishment	157,000
2012 Backwater Valve Program	21,000
2012 East Hartford WPC Waste Water Screening Installation	2,880,000
2012 Facility Improvement Program	197,000
2012 Fleet Replacement	307,000
2012 General Purpose Sewer	1,800,000
2012 Hartford WPC Solids Handling & Processing	60,000
2012 Headquarters Parking Garage Renovations	62,000
2012 Sanitary Sewer / Storm Drain Replacement Retreat Ave Htfd	1,616,000
2012 Sanitary Sewer Replacements District-Wide	1,013,000
2012 Sewer Pump Station Upgrades - Mohawk Drive East Hartford	2,000
2012 Sewer Pump Station Upgrades - Ridge Street, Windsor	2,000
2012 Sewer Replacement - Montclair Drive, West Hartford	3,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	25,000
2012 Standpipe & Above Grade Storage Tank Projects	500,000
2012 WPC Equipment & Facilities Refurbishment	241,000
2012 WPC Renewal and Replacements	103,000
2013 CWP-Water Main Replacement Church Street, Hartford	8,000
2013 Facilities Improvement Program	328,000
2013 Fleet Replacement	109,000
2013 General Purpose Sewer	1,398,000
2013 Information Technology- Hardware	48,000
2013 Information Technology-SAP/Oracle	176,000
2013 Pump Station Upgrades – Mohawk Drive, East Hartford	130,000
2013 Rocky Hill Interceptor – Relief Sewer/Capacity Improvements	125,000
2013 Sewer Gate Replacement Program District-wide	3,600,000
2013 Sewer Pump Station Upgrades – Ridge Street, Windsor	20,000
2013 Various Sewer Pipe Replacement/Rehab – District Wide	748,000
2013 West Hartford Inflow/Infiltration Removal –Contract I	4,800,000
2013 WPC Equipment & Facilities Refurbishment	23,000
2013 WPC SCADA Upgrades	78,000
2014 Orchard Street Water Pump Station, Glastonbury	2,915,000

2015 Orchard Street Water Pump Station, Glastonbury	85,000
2014 Renewable Energy Projects – Sewer Facilities	7,000
2014 General Purpose Sewer	1,154,000
2014 General Purpose Water Program	1,000,000
2014 Hartford WPCF West Primary Settling Tanks	38,000
2014 Pump Station Replacement – Rainbow Trunk, Windsor	432,000
2014 Sewer Rehabilitation Program	885,000
2014 Sewer Replacement - Madison Avenue, Hartford	8,000
2014 Sewer Replacement – Packard Street & Daniel Boulevard, Bloomfield	2,000,000
2014 Transmission Main Extension – Newington	2,143,000
2014 Various Sewer Pipe Replacement/Rehab – District Wide	2,500,000
2014 Wastewater Pump Station Improvements	56,000
2014 WPC Renewal and Replacements	48,000
2014 Pump Station Upgrades – Burnside Avenue, East Hartford	1,022,000
2014 Sewer Extension – Marsh Street, Wethersfield	10,000
2015 Administrative Facilities Improvement Program	373,000
2015 Paving Program	332,000
2015 WPC Plant Infrastructure Renewal and Replacements	123,000
2015 CCTV Generated Sewer Contracts	400,000
2015 East Hartford WPCF Compressor, DO Control & SCADA Upgrades	86,000
2015 Information Systems - Business Transformation SAP Upgrade	4,308,000
2015 Information Systems - Finance Modules	5,000,000
2015 Information Systems - Operational Modules	5,000,000
2015 Poquonock WPCF Primary & Secondary Tanks	1,425,000
2015 Sanitary Sewer Easements Acquisitions & Improvements	771,000
2015 Sewer Rehabilitation Program	940,000
2015 Survey & Construction	289,000
2016 Sewer Rehabilitation Program	1,375,000
2016 Water Supply Improvements - Raw Water Transmission Mains	6,000
2016 WMR Simmons Road Area, East Hartford	1,391,000
General Obligation Bond Issue of 2016 Series C New Money	60,000,000

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**CLOSEOUT OF WATER, SEWER AND COMBINED PROGRAMS
WATER CAPITAL PROJECT PROGRAMS**

To: Board of Finance for consideration on October 5, 2016

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Proj. def.	YEAR	BA	Project Description	Town	Budget	Expended Amount	Remaining Balance
WAT.CW1381.01	2013	2113	2013 Battles St Wtr Main Replace, Hrtfrd	Hartford	130,000.00	-	130,000.00
WAT.CW1383.01	2013	2113	2013 CWP - WM Replace Park St Htfrd	Hartford	4,450,000.00	1,122.00	4,448,878.00
WAT.CW1380.01	2013	2113	2013 CWP-Buckingham St WM Replace, Hart.	Hartford	450,000.00	-	450,000.00
WAT.CW1384.01	2013	2113	2013 CWP-WM Rplc Portion of Park St-PH 1	Hartford	1,800,000.00	-	1,800,000.00
WAT.CW1377.01	2013	2113	2013 Ely St Water Main Replace, Hartford	Hartford	100,000.00	-	100,000.00
WAT.CW1378.01	2013	2113	2013 Fairmount Wtr Main Replace, Hrtfrd	Hartford	70,000.00	-	70,000.00
WAT.CW1382.01	2013	2113	2013 Highland St Wtr Main Replace, EH	East Hartford	90,000.00	-	90,000.00
WAT.CW1367.01	2013	2113	2013 Main Street Water Main Replace, EH	East Hartford	880,000.00	-	880,000.00
WAT.CW1373.01	2013	2113	2013 Wtr Main Replace in Carroll Rd, EH	East Hartford	210,000.00	-	210,000.00
		2113 Total			8,180,000.00	1,122.00	8,178,878.00
WAT.CW1275.01	2010	2320	2010CWP Water Main Replac Broad St Htfd	Hartford	500,000.00	38,782.03	461,217.97
WAT.CW1259.01	2010	2320	2010Watr Main Replace Norwood Rd W. Htfd	West Hartford	125,000.00	3,824.24	121,175.76
WAT.CW1339.01	2012	2320	2012 Asset Mngmnt Computer Model Enhance	Various	396,000.00	-	396,000.00
WAT.CW1353.01	2012	2320	2012 CWP Park River Area Construction	Hartford	2,513,000.00	-	2,513,000.00
WAT.CW1280.02	2012	2320	2012 CWP W/M Replacement-Bond St.	Hartford	3,904,000.00	-	3,904,000.00
WAT.CW1316.02	2012	2320	2012 CWP W/M Replacement-Enfield St.	Hartford	120,000.00	-	120,000.00
WAT.CW1317.02	2012	2320	2012 CWP W/M Replacement-Greenfield St.	Hartford	444,000.00	-	444,000.00
WAT.CW1318.02	2012	2320	2012 CWP W/M Replacement-Magnolia St.	Hartford	27,000.00	-	27,000.00
WAT.CW1338.01	2012	2320	2012 CWP W/M Rplc-W Prestn/Broad St East	Hartford	3,300,000.00	-	3,300,000.00
WAT.CW1337.01	2012	2320	2012 CWP W/M Rplc-W Prestn/Broad St West	Hartford	4,400,000.00	-	4,400,000.00
WAT.CW1330.01	2012	2320	2012 CWP Water Main Replace-DistrictWide	Various	5,000,000.00	-	5,000,000.00
WAT.CW1270.02	2012	2320	2012 Water Facilities Security & Improv.	Various	480,000.00	-	480,000.00
WAT.CW1346.01	2012	2320	2012 Wtr Svc Replacements-District Wide	Various	480,000.00	-	480,000.00
WAT.CW1303.02	2014	2320	2012 T/M Replacement-Hamilton&Park PH 2	Hartford	1,500,000.00	-	1,500,000.00
		2320 Total			23,189,000.00	42,606.27	23,146,393.73
WAT.CW1200.01	2008	2326	2008 Capital Equipment Replacement	MDC	1,800,000.00	1,795,027.79	4,972.21
		2326 Total			1,800,000.00	1,795,027.79	4,972.21
WAT.CW1267.02	2010	2340	2010-Inc.Dam Safety Improvements-Res #2	Barkhamsted	1,640,000.00	-	1,640,000.00
		2340 Total			1,640,000.00	-	1,640,000.00
WAT.CW1351.01	2012	2341	2012 Land Aquisition	VARIOUS	600,000.00	-	600,000.00
		2341 Total			600,000.00	-	600,000.00
WAT.CWA348.01	2010	2709	Burnham Acres Water Project South Windso	South Windsor	778,500.00	365,277.98	413,222.02
		2709 Total			778,500.00	365,277.98	413,222.02
		Grand Total			36,187,500.00	2,204,034.04	33,983,465.96

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Caban and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

SEWER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration on October 5, 2016

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Proj. def.	YEAR	BA	Project Description	Town	Budget	Expended Amount	Remaining Balance
WWA.CS1210.01	2013	5113	2013 Backwater Valve Program	MDC	750,000.00	-	750,000.00
WWA.CS1205.01	2013	5113	2013 Maple Mews WWPS - Hartford	Hartford	1,120,000.00	-	1,120,000.00
WWA.CS1199.01	2013	5113	2013 Park St Sanitary Swr Imprv - Cont 1	Hartford	2,140,000.00	-	2,140,000.00
WWA.CS1200.01	2013	5113	2013 Park St Sanitary Swr Imprv -Cont 11	Hartford	4,460,000.00	-	4,460,000.00
WWA.CS1201.01	2013	5113	2013 Park St Storm Swr Imprvmnts Hrtfrd	Hartford	4,460,000.00	-	4,460,000.00
WWA.CS1209.01	2013	5113	2013 Private Property Inflow Disconnect	MDC	100,000.00	-	100,000.00
WWA.CS1193.01	2013	5113	2013 RHWPCF Sewershed I/I Removal-Cont 1	Rocky Hill	4,550,000.00	-	4,550,000.00
WWA.CS1194.01	2013	5113	2013 RHWPCF Sewershed I/I Removal-Cont 2	Rocky Hill	4,550,000.00	-	4,550,000.00
WWA.CS1195.01	2013	5113	2013 RHWPCF Sewershed I/I Removal-Cont 3	Rocky Hill	4,690,000.00	-	4,690,000.00
WWA.CS1203.01	2013	5113	2013 Sewer Gate Replace-Collection Syste	VARIOUS	4,310,000.00	-	4,310,000.00
WWA.CS1196.01	2013	5113	2013 W Hartford I/I Removal - Contract 1	West Hartford	4,800,000.00	-	4,800,000.00
WWA.CS1197.01	2013	5113	2013 W Hartford I/I Removal - Contract 2	West Hartford	4,940,000.00	-	4,940,000.00
WWA.CS1191.01	2013	5113	2013 Windsor Interceptor/NM-1	Windsor	1,890,000.00	-	1,890,000.00
		5113 Total			42,760,000.00	-	42,760,000.00
LTC0000004	2006	5200	Wethersfield Cove Area	Wethersfield	5,000,000.00	4,741,181.44	258,818.56
		5200 Total			5,000,000.00	4,741,181.44	258,818.56
WWA.CSA450.01	2012	5630	2012 Assessable Sewer Program	Various	344,000.00	-	344,000.00
WWA.CSA452.01	2013	5630	2013 Assessable Sewer Program	Various	500,000.00	-	500,000.00
		5630 Total			844,000.00	-	844,000.00
SSO0000002	2006	5800	2006 SSO Program-Newington	Newington	5,000,000.00	4,893,537.92	106,462.08
SSO0000003	2006	5800	2006 SSO Program-West Hartford	West Hartford	5,000,000.00	4,935,849.01	64,150.99
		5800 Total			10,000,000.00	9,829,386.93	170,613.07
WWA.CS1182.01	2012	5803	2012 Private Property Inflow Disconnect	Various	396,000.00	-	396,000.00
WWA.CS1180.01	2012	5803	2012 Sewer Storm Drain Prj Route 44 HA	Hartford	960,000.00	-	960,000.00
		5803 Total			1,356,000.00	-	1,356,000.00
		Grand Total			59,960,000.00	14,570,568.37	45,389,431.63

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Commissioner Caban and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

COMBINED CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration on October 5, 2016

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Proj. def.	YEAR	BA	Project Description	Town	Budget	Expended Amount	Remaining Balance
COM.CFP177.01	2013	5613	2013 231 BRAINARD RD BLDG RENOVATIONS	Hartford	5,000,000.00	-	5,000,000.00
		5613 Total			5,000,000.00	-	5,000,000.00
COM.CFP167.01	2012	5651	2012 Brainard Rd Building Renovations	Hartford	3,206,000.00	-	3,206,000.00
		5651 Total			3,206,000.00	-	3,206,000.00
COM.CFP155.01	2010	5652	2010 Vehicle & Equipment Replacement	MDC	1,931,000.00	1,931,000.00	-
		5652 Total			1,931,000.00	1,931,000.00	-
COM.CFP139.01	2009	5728	Long-Term Strategic Initiatives –	MDC	1,931,000.00	657,315.49	1,273,684.51
		5728 Total			1,931,000.00	657,315.49	1,273,684.51
		Grand Total			12,068,000.00	2,588,315.49	9,479,684.51

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution”

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

***On motion made by Commissioner Caban and duly seconded,
the report was received and the resolution adopted by
unanimous vote of those present.***

ADJOURNMENT

The meeting was adjourned at 5:25 PM

ATTEST:

A handwritten signature in black ink, appearing to read "John Mirtle", is written over the printed name.

John S. Mirtle, Esq.
District Clerk

November 2, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
125 Maxim Road
Hartford, Connecticut 06114
Monday, October 17, 2016**

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Member Ron Angelo, and District Chairman William A. DiBella (4)

ABSENT: Commissioners Luis Caban and William P. Horan and Citizen Members Martin B. Courneen, Linda King-Corbin and Richard Mulready (5)

ALSO

PRESENT: Commissioner Donald M. Currey
Commissioner Raymond Sweezy
Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Robert Zaik, Interim Director of Human Resources
Lisa Remsen, Financial Analyst
Shereese Rodgers, Financial Analyst
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:33 PM

ROLL CALL AND QUORUM

The District Clerk called the roll and informed Chairman Salemi that a quorum of the Board of Finance was not present.

At that time, the meeting was adjourned.

ADJOURNMENT

The meeting was adjourned at 5:33 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 2, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street
Hartford, Connecticut 06103
Wednesday, November 2, 2016**

PRESENT: Commissioners Allen Hoffman, William P. Horan and Pasquale J. Salemi;
Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and
District Chairman William A. DiBella (7)

ABSENT: Commissioner Luis Caban (1)

ALSO

PRESENT: Commissioner Donald M. Currey
Commissioner Whit Osgood
Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Robert Zaik, Interim Director of Human Resources
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:05 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Commissioner Hoffman and duly seconded, the meeting minutes of the Board of Finance meeting of October 5, 2016 and October 17, 2016 were approved.

**AMENDED AND RESTATED DEBT ISSUANCE RESOLUTION
FOR GENERAL OBLIGATION BONDS
ISSUANCE OF UP TO \$173,500,000 IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to issue up to \$173,500,000 in general obligation bonds previously authorized by a resolution dated October 5, 2015 on a negotiated basis as well as on a competitive basis in the discretion of the District Treasurer, or in his absence, the Deputy Treasurer.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**AMENDED AND RESTATED RESOLUTION AUTHORIZING THE
ISSUANCE AND SALE OF NOT EXCEEDING \$173,500,000 IN
GENERAL OBLIGATION BONDS OF THE METROPOLITAN DISTRICT**

Not exceeding \$173,500,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued for capital improvement projects, to currently refund up to \$113,500,000 Bond Anticipation Notes of the District maturing December 1, 2016 (the "Outstanding Notes") and to fund up to \$60,000,000 in new money for Capital Improvement Projects, and pay certain costs of issuance. The Bonds are authorized to be issued to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on November 1 in each of the years 2017 or 2018 through 2036, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering, or, in the discretion of the District Treasurer or in his absence, the Deputy Treasurer, in a negotiated offering. If sold in a competitive offering, the Bonds shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. If sold in a negotiated offering, the Chairman, or in his absence, the Vice Chairman, and the District Treasurer or in his absence the Deputy Treasurer are authorized to negotiate, execute and deliver a Contract of Purchase setting forth all the terms of sale of the Bonds, including the underwriters' discount for such sale, in such form as they shall deem necessary and appropriate. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to

execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**DEBT ISSUANCE RESOLUTION FOR BANS
ISSUANCE OF UP TO \$173,500,000 IN BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to issue up to \$173,500,000 to renew bond anticipation notes for CIP projects and to provide up to \$60,000,000 in new money for CIP projects, unless the District issues general obligation bonds for such purposes.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$173,500,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$173,500,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to refund previously issued bond anticipation notes and to fund up to \$60,000,000 in new money for Capital Improvement Projects set forth on Exhibit A hereto attached. The Notes are authorized to be issued to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Notes not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on

Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Notes shall be dated on or about November 30, 2016, and shall mature on or about July 28, 2017, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering or in a negotiated offering, in the discretion of the District Treasurer, or in his absence, the Deputy Treasurer. If sold in a competitive offering the Notes shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**RESOLUTION
FOR THE REALLOCATION OF PROCEEDS OF CERTAIN GENERAL OBLIGATION
BONDS AND GENERAL OBLIGATION BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to reallocate:

(a) Proceeds from the District's \$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated November 3, 2015 from the those capital improvement projects set forth on **Exhibit A-1** attached to those capital improvement projects set forth on **Exhibit A-1**;

(b) Proceeds from the District's \$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated December 4, 2014 from the those capital improvement

projects set forth on **Exhibit A-2** attached to those capital improvement projects set forth on **Exhibit A-2**;

(c) Proceeds from the District's \$81,500,000 General Obligation Bond Anticipation Notes, Series A dated March 19, 2015 from the those capital improvement projects set forth on **Exhibit A-3** attached to those capital improvement projects set forth on **Exhibit A-3**;

(d) Proceeds from the District's \$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated July 28, 2015 from the those capital improvement projects set forth on **Exhibit A-4** attached to those capital improvement projects set forth on **Exhibit A-4**; and

(e) Proceeds from the District's \$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016 from the those capital improvement projects set forth on **Exhibit A-5** attached to those capital improvement projects set forth on **Exhibit A-5**.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE REALLOCATION OF PROCEEDS OF CERTAIN
GENERAL OBLIGATION BONDS AND GENERAL OBLIGATION BOND ANTICIPATION
NOTES OF THE METROPOLITAN DISTRICT**

Proceeds from certain of the District's General Obligation Bonds and General Obligation Bond Anticipation Notes are hereby reallocated as follows:

(a) Proceeds from the District's \$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated November 3, 2015 from the those capital improvement projects set forth on **Exhibit A-1** attached to those capital improvement projects set forth on **Exhibit A-1**;

(b) Proceeds from the District's \$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated December 4, 2014 from the those capital improvement projects set forth on **Exhibit A-2** attached to those capital improvement projects set forth on **Exhibit A-2**;

(c) Proceeds from the District's \$81,500,000 General Obligation Bond Anticipation Notes, Series A dated March 19, 2015 from the those capital improvement projects set forth on **Exhibit A-3** attached to those capital improvement projects set forth on **Exhibit A-3**;

(d) Proceeds from the District's \$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated July 28, 2015 from the those capital improvement projects set forth on **Exhibit A-4** attached to those capital improvement projects set forth on **Exhibit A-4**; and

(e) Proceeds from the District's \$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016 from the those capital improvement projects set forth on **Exhibit A-5** attached to those capital improvement projects set forth on **Exhibit A-5**.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

EXHIBIT A-1

\$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated
November 3, 2015

	\$
FROM 2015 Technical Services	(81,000)
TO:	
2016 Information Systems I/T Upgrades	14,000
2013 Communications Systems Upgrades	67,000
FROM 2015 WMR Buckingham Street Area, Hartford	(338,000)
TO:	
2009 Farmington Water Main Installation, West Hartford	338,000
FROM 2014 Kilkenny Water	(2,417,000)
TO:	
2009 Assessable Water - Goodrich and South Roads, Farmington	1,000
2015 WMR Bond Street Area, Hartford	19,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	85,000
2009 Transmission Valve Replacements	188,000
2012 Dam Safety Improvements-Goodwin and Saville	219,000
2010 CWP Water Main Replacement - Farmington Ave, Hartford	258,000
2013 Paving Program	346,000
2013 Water Treatment Facilities Upgrades	370,000
2010 CWP Water Main Replacement - Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford	376,000
2012 Radio Frequency Automated Meter Reading	555,000
FROM 2014 Orchard Street Water Pump Station, Glastonbury	(1,906,000)
TO;	
2014 Water Main Replacements – West Hartford	3,000
2009 CSO Related Assets	13,000
2012 Paving Program	65,000
2014 Water Pump Station Improvements	73,000
2015 Paving Program	75,000
2010 Hydraulic Computer Modeling	149,000
2014 Water Treatment Facilities Upgrades	157,000
2010 Assessable Water - Birmja, Acres South Windsor	172,000
2010 Dam Safety Improvements - Res #3	178,000

2012 Water Treatment Facilities Upgrades	186,000
2013 Water Main Replacement Franklin 13	262,000
2010 CWP Water Main Replacement - Cleveland Ave/Main Street, Hartford	279,000
2015 Hydrant Replacement Program	294,000
FROM 2015 General Purpose Water Program	(1,646,000)
TO:	
2010 Water Facilities Security & Improvement Program	136,000
2011 Assessable Water	718,000
2015 Water Supply Improvements	792,000
FROM 2015 Water Rehabilitation Program	(88,000)
TO:	
2008 General Purpose Water	85,000
2014 Paving Program	2,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	1,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(1,430,000)
TO:	
2013 General Purpose Water	47,000
2008 General Purpose Water	478,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	905,000
FROM 2015 Puddletown Booster Water Pump Station, New Hartford	(572,000)
TO:	
2013 Newington PRV Service Area Upgrades	1,000
2012 Assessable Water Program	31,000
2000 Dam Safety Improvements - Reservoir No. 2	540,000
FROM 2014 Various Transmission Main Design & Construction	(96,000)
TO:	
2015 Water Treatment Facilities Upgrades	10,000
2014 General Purpose Water Program	29,000
2011 General Purpose Water	57,000
FROM 2014 Collinsville WTP Emergency Generator Replacement	(476,000)
TO:	
2010 General Purpose Water	476,000
FROM 2014 Transmission Main Extension – Newington	(1,430,000)
TO:	
2011 Water Supply Facility and Watershed Land Improvements	145,000
2015 Radio Frequency Automated Meter Reading Program	1,285,000
FROM 2013 Water Supply Generators	(1,311,000)

TO:	
2016 Paving Program	1,150,000
2011 Water Supply Facility and Watershed Land Improvements	161,000
FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(956,000)
TO:	
2008 West Hartford Access and Security Improvements	23,000
2009 Water Main Gate Valve Replacement	931,000
2010 General Purpose Water	2,000
FROM 2014 Wethersfield Water Pump Station, Wethersfield	(143,000)
TO:	
2011 Water Supply Facility and Watershed Land Improvements	143,000
FROM 2014 Renewable Energy Projects – Water Facilities	(127,000)
TO:	
2010 General Purpose Water	9,000
2011 Water Supply Facility and Watershed Land Improvements	118,000
FROM 2013 Wickham Hill Basins, East Hartford	(46,000)
TO:	
2010 General Purpose Water	46,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(13,000)
TO:	
2010 General Purpose Water	13,000
FROM 2011 Water Main Replacement - Cottage Grove Road, Bloomfield	(4,000)
TO:	
2010 General Purpose Water	4,000

EXHIBIT A-2

\$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated
December 4, 2014

FROM 2014 Collinsville WTP Emergency Generator Replacement	(17,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	17,000
FROM 2010 Water Main Replacement - East Hartford	(372,000)
TO:	
2010 Assessable Sewer-2010 1037 Wind Ave	103,000
2008 Assessable Sewer	125,000
2009 Assessable Sewer	144,000
FROM 2013 Wickham Hill Basins, East Hartford	(23,000)
TO:	

2010 Assessable Sewer Back Lane Newington	9,000
2009 Assessable Sewer	14,000

FROM 2013 CWP Water Main Rehabilitation - Fennway Street, Hartford	(11,000)
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TO:

2008 Assessable Sewer	6,000
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2010 Assessable Sewer Back Lane Newington	5,000
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EXHIBIT A-3

\$81,500,000 General Obligation Bond Anticipation Notes, Series A dated
March 19, 2015

FROM 2010 CWP Water Main Replacement - Warner Street/Wethersfield Ave/Mannz Street, Hartford	(20,000)
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TO:

2016 WMR Simmons Road Area, East Hartford	20,000
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FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(5,000)
--	---------

TO:

2015 Radio Frequency Automated Meter Reading Program	5,000
--	-------

FROM 2010 Radio Frequency Automated Meter Reading	(28,000)
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TO:

2009 Assessable Sewer	28,000
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FROM 2010 Water Main Replacement - East Hartford	(27,000)
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TO:

2009 Assessable Sewer	27,000
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FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(26,000)
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TO:

2009 Assessable Sewer	26,000
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FROM 2011 West Hartford Water Treatment Facility North Storage Tank Installation	(8,000)
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TO:

2009 Assessable Sewer	8,000
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FROM 2006 Combined Sewer Separation	(7,000)
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TO:

2009 Assessable Sewer	7,000
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FROM 2010 Huyshope Street Sewer Rehabilitation - Hartford	(6,000)
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TO:

2009 Assessable Sewer	6,000
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FROM 2013 Wickham Hill Basins, East Hartford	(5,000)
--	---------

TO:	
2009 Assessable Sewer	5,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(2,000)
TO:	
2009 Assessable Sewer	2,000

EXHIBIT A-4

\$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated
July 28, 2015

FROM 2013 Information Technology - Applications	(131,000)
TO:	
2012 Facility Improvement Program	3,000
2011 Facility & Equipment Improvements	5,000
2013 Communications Systems Upgrades	21,000
2009 Long-Term Strategic Initiatives	102,000
FROM 2008 General Purpose Sewer	(1,000)
TO:	
2008 General Purpose Sewer	(1,000)
2015 Paving Program	2,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(324,000)
TO:	
2009 Assessable Sewer	324,000
FROM 2014 Kilkenny Water	(157,000)
TO:	
2009 Assessable Sewer	157,000
FROM 2013 Wickham Hill Basins, East Hartford	(85,000)
TO:	
2009 Assessable Sewer	85,000
FROM 2013 Buckingham Water Pump Station, Glastonbury	(80,000)
TO:	
2009 Assessable Sewer	80,000
FROM 2011 Water Main Replacement - Hartford	(22,000)
TO:	
2009 Assessable Sewer	22,000
FROM 2013 Pump Station Upgrades – Motts - Wethersfield	(1,000)
TO:	
2009 Assessable Sewer	1,000

FROM 2013 Water Main Replacement Center Street, Hartford	(42,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	42,000
FROM 2012 Water Main Replacement-Farmington Avenue, Hartford	(19,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	19,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(1,000)
TO:	
2015 Paving Program	1,000
FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(16,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	16,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(7,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	7,000
FROM 2013 Asset Management Water Main Replacement	(5,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	5,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(10,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	10,000

EXHIBIT A-5

\$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016

FROM 2012 Water Main Replacement-Farmington Avenue, Hartford	(2,250,000)
TO:	
2009 Assessable Sewer - Mountain Road, West Hartford	2,250,000
FROM 2016 Paving Program	(1,500,000)
TO:	
2012 Information Technology Security Improvements	1,500,000
FROM 2015 WHWTF Water Storage Improvements	(1,073,000)
TO:	
2013 Information Technology - Applications	1,073,000
FROM 2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	(905,000)

TO:	
2008 Security System Upgrades	8,000
2016 Information Systems I/T Upgrades	52,000
2009 Assessable Sewer	54,000
2015 Member Towns New GIS Base Map Development	99,000
2014 Land Improvements	181,000
2015 WPC Equipment & Facilities Improvements	511,000
FROM 2011 Water Main Replacement - Hartford	(712,000)
TO:	
2015 Fleet Replacement	712,000
FROM 2009 Water Main Gate Valve Replacement	(547,000)
TO:	
2014 Facilities Improvement Program	547,000
FROM 2015 Radio Frequency Automated Meter Reading Program	(525,000)
TO:	
2014 Hartford WPCF DAFT Sludge Equalization Design	525,000
FROM 2014 Water Main Replacement – Wethersfield	(478,000)
TO:	
2009 Assessable Sewer - Mountain Road, West Hartford	478,000
FROM 2000 Dam Safety Improvements - Reservoir No. 2	(407,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	407,000
FROM 2011 General Purpose Water	(57,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	57,000
FROM 2010 Water Main Replacement - East Hartford	(2,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	2,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(314,000)
TO:	
2013 Information Technology - Applications	314,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(291,000)
TO:	
2013 Information Technology - Applications	291,000
FROM 2012 Dam Safety Improvements-Goodwin and Saville	(219,000)

TO:	
2013 Information Technology - Applications	219,000
FROM 2011 Water Main Replacement - Cottage Grove Road, Bloomfield	(13,000)
TO: 2013 Information Technology - Applications	13,000
FROM 2013 Paving Program	(157,000)
TO:	
2012 Information Technology	17,000
2016 Construction Services	41,000
2009 Long-Term Strategic Initiatives	46,000
2010 Vehicle and Equipment Replacement Program	53,000
	(376,000)
FROM 2015 Fleet Replacement	88,000
TO:	
2016 Fleet Replacement	288,000
FROM 2010 General Purpose Water	(332,000)
TO:	
2014 Facilities Improvement Program	332,000
FROM 2010 CWP Water Main Replacement - Cleveland Ave/Main Street, Hartford	(279,000)
TO:	
2012 Information Technology Security Improvements	279,000
FROM 2013 Water Main Replacement Franklin 13	(262,000)
TO:	
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	262,000
FROM 2010 CWP Water Main Replacement - Farmington Ave, Hartford	(258,000)
TO:	
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communications	220,000
2014 Hartford WPCF DAFT Sludge Equalization Design	38,000
FROM 2012 General Purpose Water	(223,000)
TO:	
2016 Fleet Replacement	223,000
FROM 2009 Transmission Valve Replacements	(188,000)
TO:	
2012 Information Technology Security Improvements	188,000

FROM 2012 Water Treatment Facilities Upgrades	(186,000)
TO:	
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	186,000
FROM 2010 Dam Safety Improvements - Res #3	(178,000)
TO:	
2016 Fleet Replacement	142,000
2012 Information Technology Security Improvements	36,000
FROM 2010 Hydraulic Computer Modeling	(149,000)
TO:	
2014 Hartford WPCF DAFT Sludge Equalization Design	101,000
2014 Facilities Improvement Program	48,000
FROM 2010 Water Facilities Security & Improvement Program	(136,000)
TO:	
2010 Information Systems Improvements #1	10,000
2016 Engineering Services	17,000
2015 Engineering Services	15,000
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	16,000
2014 Facilities Improvement Program	62,000
2012 Information Technology Security Improvements	16,000
FROM 2013 Water Main Replacement Center Street, Hartford	(106,000)
TO:	
2008 Pump Station Alarm Replacements	1,000
2011 WPC Renewal & Replacements	5,000
2015 Construction Services	29,000
2013 Land Improvements	43,000
2015 Engineering Services	3,000
2009 Assessable Sewer - Mountain Road, West Hartford	25,000

On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

2016 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on November 2, 2016

The 2016 Metropolitan District operating budget is currently experiencing deficits in Special Agreements & Programs budgets. The Special Agreements & Programs budget has a deficit in the New Britain allotment due to an unanticipated rate increase for the portion of Newington discharging into the New Britain Sewer System.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 701 – Debt Service	<u>\$17,400,100.00</u>	<u>\$19,101,800.00</u>	<u>\$36,501,900.00</u>
Total	<u>\$17,400,100.00</u>	<u>\$19,101,800.00</u>	<u>\$36,501,900.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2016 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 701 – Debt Service	<u>\$50,000.00</u>	<u>\$0.00</u>	<u>\$50,000.00</u>
Total	<u>\$50,000.00</u>	<u>\$0.00</u>	<u>\$50,000.00</u>
To:	General	Water	Total
Department-741 Special Agreements & Programs	\$50,000.00	\$0.00	\$50,000.00
Total	<u>\$50,000.00</u>	<u>\$0.00</u>	<u>\$50,000.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

CLOSEOUT OF CLEAN WATER PROGRAMS CLEAN WATER FUND PROJECT PROGRAMS

To: Board of Finance for consideration on November 2, 2016

The District has undertaken the task of updating its Clean Water Fund Program records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Proj. def.	YEAR	BA	Project Description	Budget	Expended Amount	Remaining Balance
CW1.CONHOM.00	2007	5300	2007 CWP Homestead	31,044,294.79	31,044,294.79	-
CW1.CONSB.00	2007	5300	South Branch CSO Con	450,579.73	450,579.73	-
CW1.FSSFAR.04	2007	5300	Farmington Proj #4	658,004.15	658,004.15	-
CW1.FSSFAR.1A	2007	5300	Farm Ave 1A Sew Sep	146,013.41	146,013.41	-
CW1.FSSFAR.71	2007	5300	Farmington Proj #7.1	2,561,258.59	2,561,258.59	-
CW1.FSSFAR.72	2007	5300	Farmington Proj #7.2	3,411,661.60	3,411,661.60	-
CW1.FSSFAR.PD	2007	5300	Farmington Ave PD	640,900.68	640,900.68	-
CW1.MGMPMC.07	2007	5300	Clsd2007 CWP PMC	788,016.54	788,016.54	-
CW1.MGMPMC.08	2007	5300	Clsd08 CWP PMC	3,981,562.16	3,981,562.16	-
CW1.MGMPMC.09	2007	5300	2009 CWP PMC	4,539,195.90	4,539,195.90	-
CW1.MGMPMC.10	2007	5300	2010 CWP PMC	5,414,789.79	5,414,789.79	-
CW1.MGMPMC.11	2007	5300	2011 CWP PMC	8,157,922.51	8,157,922.51	-
CW1.MGMPMC.12	2007	5300	2012 CWP PMC	6,719,698.60	6,719,698.60	-
CW1.MGMPMU.07	2007	5300	2007 CWP PMU	3,255,730.36	3,255,730.36	-
CW1.MGMPMU.08	2007	5300	2008 CWP PMU	3,403,759.44	3,403,759.44	-
CW1.MGMPMU.09	2007	5300	2009 CWP PMU	5,874,757.78	5,874,757.78	-
CW1.MGMPMU.10	2007	5300	2010 CWP PMU	10,922,243.51	10,922,243.51	-
CW1.MGMPMU.11	2007	5300	2011 CWP PMU	11,589,004.93	11,589,004.93	-
CW1.MGMPMU.12	2007	5300	2012 CWP PMU	6,894,906.59	6,894,906.59	-
CW1.MGMPMU.13	2007	5300	2013 CWP PMU	6,838,160.08	6,838,160.08	-
CW1.MGMPMU.14	2007	5300	2014 CWP PMU	242,347.52	242,347.52	-
CW1.NSSGRA.01	2007	5300	Granby Str Outfall 1	350,653.54	350,653.54	-
CW1.NSSGRA.03	2007	5300	Granby Project #3 NE	759,152.57	759,152.57	-
CW1.NSSTOW.01	2007	5300	2007 CWP Tower Ave	7,863,944.77	7,863,944.77	-
CW1.NSSTOW.1A	2007	5300	2011 Tower Ave Bldg	4,427,275.10	4,427,275.10	-
CW1.NSSTOW.FD	2007	5300	Tower Ave Area #1Des	157,819.00	157,819.00	-
CW1.NSSTOW.PD	2007	5300	Tower Ave Sep PD	106,658.86	106,658.86	-
CW1.NSSUAL.02	2007	5300	Upper Albany #2	1,189,367.10	1,189,367.10	-
CW1.NSSUAL.03	2007	5300	Upper Albany Proj #3	777,979.08	777,979.08	-
CW1.NSSUAL.07	2007	5300	Route 44 Storm Drain	898,400.75	898,400.75	-
CW1.NSSUAL.08	2007	5300	Garden Street Htfd	6,893,628.16	6,893,628.16	-
CW1.NSSUAL.E3	2007	5300	Burton St. Sew Sep	8,605,787.57	8,605,787.57	-
CW1.NSSUAL.ES	2007	5300	Upp Albany Edgewood	3,494,428.99	3,494,428.99	-
CW1.NSSUAL.PD	2007	5300	Upper Albany Sep PD	680,471.26	680,471.26	-
CW1.SSOGEN.07	2007	5300	2007 CW SSO Gen Rep	13,583,866.10	13,583,866.10	-
CW1.SSOGEN.08	2007	5300	2008 Clean Water SSO	7,690,639.29	7,690,639.29	-
CW1.SSONWT.06	2007	5300	2012 Newinton Church	4,226,592.60	4,226,592.60	-
CW1.SSOWHA.06	2007	5300	2014 Greenhurst Proj	131,925.19	131,925.19	-
CW1.SSOWTH.07	2007	5300	2012 SSO Exe Sq PS	125,813.20	125,813.20	-
CW1.SSOWTH.08	2007	5300	2012 FOLLY BROOK	1,282,440.20	1,282,440.20	-
CW1.SSSFRA.01	2007	5300	Franklin Proj #1- B	1,496,150.82	1,496,150.82	-
CW1.SSSFRA.02	2007	5300	FranklinAve #7-Pkg A	75,512.19	75,512.19	-
CW1.SSSFRA.04	2007	5300	Franklin Proj #4 - D	2,134,263.04	2,134,263.04	-
CW1.SSSFRA.11	2007	5300	FranklinAve #11-Pk A	319,978.07	319,978.07	-
CW1.SSSFRA.12	2007	5300	Franklin Proj #12 -D	1,556,006.57	1,556,006.57	-
CW1.SSSFRA.16	2007	5300	2012 Building Dis 1	543,137.15	543,137.15	-
CW1.SSSFRA.17	2007	5300	2012 Building Dis 2	400,481.70	400,481.70	-
CW1.SSSFRA.18	2007	5300	2012 Building Dis 3	316,932.80	316,932.80	-
CW1.SSSFRA.1A	2007	5300	Franklin Ave-House D	804,840.39	804,840.39	-
CW1.SSSFRA.PD	2007	5300	Franklin Ave Area PD	2,032,185.44	2,032,185.44	-
CW1.SSSPAR.01	2007	5300	Park River Proj #1	580,483.36	580,483.36	-

Proj. def.	YEAR	BA	Project Description	Budget	Expended Amount	Remaining Balance
CW1.SSSPAR.03	2007	5300	Park River #3	904,041.42	904,041.42	-
CW1.SSSPAR.06	2007	5300	Green Capital Project	983,689.36	983,689.36	-
CW1.SSSPAR.11	2007	5300	2013 Green Infrastru	144,429.65	144,429.65	-
CW1.SSSPAR.PD	2007	5300	Park River Sep PD	683,914.79	683,914.79	-
CW1.WTFHAR.01	2007	5300	HWPCF Master Plan	9,736,407.51	9,736,407.51	-
CW1.WTFHAR.08	2007	5300	Incinerator #3 Rehab	43,055,158.32	43,055,158.32	-
CW1.WTFHAR.10	2007	5300	HWPCF SCADA	854,591.82	854,591.82	-
CW1.WTFHAR.11	2007	5300	UV Disinfection Proj	19,105,159.61	19,105,159.61	-
CW1.WTFHAR.12	2007	5300	Demo Compost Bldg	1,112,844.65	1,112,844.65	-
CW1.WTFHAR.13	2007	5300	Aeration Settle Tank	39,458,279.26	39,458,279.26	-
CW1.WTFHAR.E1	2007	5300	Clsd07 CWP HWPCF PHI	5,200,006.71	5,200,006.71	-
CW1.WTFRKH.03	2007	5300	Rocky Hill Master Pl	261,359.38	261,359.38	-
		5300 Total		312,541,506.00	312,541,506.00	-
CW2.MGMCWP.13	2009	5400	2013 CWP Admin	505,030.18	505,030.18	-
CW2.WTFHAR.24	2009	5400	2013 231 BRAINARD RD	10,421,490.76	10,421,490.76	-
CW2.MGMCWP.14	2009	5400	2014 CWP Admin.	10,955,011.23	10,955,011.23	-
CW2.SSSPAR.10	2009	5400	2014 PRI CRI Grit Ch	40,684.88	40,684.88	-
		5400 Total		21,922,217.05	21,922,217.05	-

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

DISCUSSION RE: AD VALOREM

This item was postponed and taken up at the following District Board Meeting

ADJOURNMENT

The meeting was adjourned at 5:20 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 9, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street
Hartford, Connecticut 06103
Wednesday, November 9, 2016**

PRESENT: Commissioners Allen Hoffman, William P. Horan and Pasquale J. Salemi;
Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and
District Chairman William A. DiBella (7)

ABSENT: Commissioner Luis Caban (1)

ALSO

PRESENT: Commissioner Donald M. Currey
Commissioner Byron Lester
Commissioner Bhupen Patel
Commissioner Alvin Taylor
Commissioner Whit Osgood
Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Robert Zaik, Interim Director of Human Resources
Shereese Rodgers, Financial Analyst
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe A. Vitale, Bond Counsel
Ernest Lormier, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:35 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

Judy Allen of 25 Fowler Drive, West Hartford, spoke regarding the
following submitted written comments:

Comments and Questions for the Board of Fiance Meeting on Nov. 9, 2016

Given the financial problems facing the MDC the discount for high volume water and sewer users should discontinued. It promotes irresponsible squandering of a public resource, especially during times of drought. As a customer of the MDC, my charges for the Clean Water Plan are escalating, I am angry that Niagara Bottling has the potential to avoid paying millions for the SSSC. It may be legal, but it isn't fair and it undermines the public's trust in the MDC.

The notice for public hearings regarding the proposed 2017 water rates includes that the proposed 2017 budget will be heard as well. But the notice fails to provide information about where one could read the proposed budget, and no information about how someone unable to attend the hearing could provide written comment. Therefore the requirements for proper notice for this pubic hearing have not been met. Since the proposed SSSC are contained in the budget, A November 16th public hearing does not give ample time for public input.

You still have the opportunity to change this discount during the planning of the 2017 budget. There is time to provide the needed notice for a public hearing scheduled for after Nov. 16th and before the board adopts the 2017 budget.

Exactly what is the Bloomfield Transmission Main Extension project? Is this extension needed for the Niagara Bottling plant, the Great Ponds project and/or other developments in the planning for Bloomfield or Windsor? Will additional water mains be required to bring Niagara up to 3 and 4 lines? At what cost?

When the Niagara plant is up and using the full volume of water planned, how much savings will they have on their water charges and what will they save on the SSSC?

Since Niagara has been given a deal on their property taxes, will they be charged the Non-Municipal Tax-Exempt Sewer User Charge?

The MDC's attempts to reduce spending to ensure towns that the ad valorem tax is brought down to a 7% increase includes asking non-union employees to forgo their cost of living raises for 2017. One commissioner also proposed going to the unions to ask for negotiations to be reopened in the hopes the unions would do the same. The MDC staff includes specialists in engineering, forestry watershed management, and experts directly responsible for the smooth operation of our water and sewer systems and they deserve to be recognized for the great work they do. When employees are asked to make sacrifices, the MDC administrative staff need to do their part as well.

Part of the public outcry about Niagara was that the MDC took it upon themselves to provide water for economic development of its member towns, something they are not charged to do. The MDC's relationship with the MetroHartford Alliance helped in the crafting of this deal. In the 2017 budget is included professional associations dues for the MetroHartford Alliance to the tune of \$130,000. The MDC can do without that professional membership.

It is hard to trust that the MDC uses our money wisely when, for example, the spending in the budget section on Special Agreements and Programs includes a hefty amount for legal and consultant fees.

Under Sewer: Consultant fees of \$500,000

Under Water: Legal (for district collection attorneys) of \$900,000

Consultant fees of \$550,000

Since this part of the budget has nothing in it for paid employees, we must be paying almost \$2 million for outside consultant and legal services, out of a total budget for this section of \$3,355,6000. How many people does this represent? Is the MDC reducing positions for apparent budget savings by hiring consultants and lawyers?

In the proposed 2017 section Budget Summary by Major Object it includes a decrease in overtime pay due to anticipated reduction in storm activity and emergency responses. Also a reduction in Standby and Premium pay for the same reason. Climate change models project increased severe storm activity and severity, and emergency responses for an aging infrastructure will most likely need more emergency responses, not less.

All this brings into question just how MDC financial decisions are made? The debt service is rising. It often appears that financial decisions are made by robbing Peter to pay Paul. It appears that planning for the future is based on what you hope will happen or what has happened in the past which are not good predictors of actual future costs, especially facing some major challenges resulting from a ratings downgrade. not on the real hard decisions of what circumstances the MDC may actually be facing. The budgeting process for the MDC starts 6 months into the year. I think the MDC budget process needs to start at the beginning of the year and take a very hard look at cost savings. Do the MDC administrative buildings really need \$1,000,000 a year for updating and repairs? Lets see a break down of what really needs to happen.

Judy Allen
25 Fowler Dr.
West Hartford, CT

cc: Town of West Hartford Mayor and Town Manager,
Save Our Water CT
Rivers Alliance
Inter-Religious Eco-Justice Network

Donna Landerman of Bloomfield and a member of "Save our Water CT," spoke regarding water rate discounts.

Alyssa Peterson of Hartford spoke regarding the Metropolitan District focusing on water.

Michele Vannelli of Windsor spoke regarding the *ad valorem* tax.

Rosemarie Miskavitch of Windsor spoke regarding other member towns covering Hartford's *ad valorem* tax.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of November 2, 2016 were approved.

APPOINTMENT OF AUDITORS FOR FISCAL YEAR 2016

To: Board of Finance for consideration on November 9, 2016

The financial auditors are appointed annually by the MDC Board of Finance. In 2014, the MDC solicited competitive proposals from qualified auditing firms on the State of Connecticut Department of Administrative Services contract and awarded a one-year contract, with the option for two one year renewals to the firm of Blum, Shapiro & Company P.C.. Following past practice, annually, the Board of Finance has reappointed the incumbent auditor in the interim years, although this practice is not obligatory. The single-year term has been retained so that performance of the auditor may be evaluated annually; with the option of change should the finding be negative.

Accordingly, it recommended that the Board of Finance appoint the firm of Blum, Shapiro & Company, P.C. to conduct the Fiscal 2016 audit.

If, after discussion, it is the consensus of the Board of Finance that the firm of Blum, Shapiro & Company, P.C. be appointed for fiscal year 2016, it may be

Voted: That the Board of Finance appoints the firm of Blum, Shapiro & Company, P.C., to audit the financial statements of the Metropolitan District for the year ending December 31, 2016, based upon the hourly rate fee schedule contained within State of Connecticut Department of Administrative Services Contract, not to exceed \$140,000.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

FISCAL YEAR 2017 - CAPITAL IMPROVEMENT BUDGET

To: Board of Finance for consideration on November 9, 2016

It is **RECOMMENDED** that it be

Voted: That the Board of Finance accepts and approves a Capital Improvement Budget for 2017 in the total amount of \$84,800,000 in appropriations to be funded from bonds, note proceeds or other sources as follows:

APPROPRIATIONS**Wastewater**

Assessable Sewer Program	\$ 400,000
Bond Street Area Sewer Rehabilitation	400,000
Dividend Brook Drainage Area Improvements-Phase 1	5,600,000
General Purpose Sewer	3,000,000
Hartford WPCF DAFT	10,200,000
Paving Program and Restoration	1,500,000
Sanitary Sewer Easements Acquisitions & Improvements	1,400,000
Sanitary Sewer Rehabilitation Program	3,600,000
Sewer System Gate Replacement - Collection System	4,800,000
Various Sewer Segment Replacement/Rehabilitation Program	2,000,000
Wastewater Pump Station Upgrades	400,000
WPC Equipment & Facilities Improvements	2,700,000
WPC Plant Infrastructure Renewal & Replacement	2,000,000
WPC SCADA Upgrades	2,000,000

Total Wastewater **\$ 40,000,000**

Water

Bond Street Area Water Main Replacement	\$5,100,000
General Purpose Water Program	2,000,000
Hydrant Replacement Program	1,600,000
Paving Program and Restoration	3,500,000
Radio Frequency Automated Meter Reading Program	1,500,000
Res #6 Filtered Water Basin Rehabilitation	6,500,000
West Hartford Water Treatment Facility Pipe and Valve Replacements	1,500,000

Total Water **\$ 21,700,000**

Combined

Business Transformation	\$ 5,000,000
Construction Services	3,300,000
Engineering Services	2,500,000
Administration Facilities and Equipment Improvements	1,000,000
Fleet Equipment Replacement	800,000
Computerized Management System	1,600,000
Survey & Construction	5,000,000
Technical Services	3,900,000

Total Combined **\$ 23,100,000**

Wastewater, Water, Combined Total **\$ 84,800,000**

Further Voted: That the Board of Finance recommends to the District Board passage of the following resolutions:

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

AUTHORIZATION TO ISSUE GENERAL OBLIGATION BONDS NOT TO EXCEED \$84,800,000

WHEREAS, the District Board has resolved today to appropriate and issue Bonds for those capital improvements projects numbered 1- 29, inclusive; and

WHEREAS, the District Board wishes to determine the form, date or dates, maturities, manner of sale and other details concerning such bonds;

Now THEREFORE BE IT RESOLVED:

Section 1. To meet the appropriations for the projects set forth in the 2017 CIP Resolutions Nos. 1- 29 inclusive (the "Resolutions"), bonds of the District are authorized in the respective amounts set forth in such Resolutions to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the

public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 2. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 3. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder and under the Resolutions ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 4. In connection with the issuance of Authorized Obligations and interim funding obligations in anticipation of project loan obligations and project loan obligations under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958,

as amended, the so-called "Drinking Water Program" ("Drinking Water Obligations") or under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Clean Water Fund Program" ("Clean Water Fund Obligations"), the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 5. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 6. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy

Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 7. The Chairman or Vice Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 8. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Resolution in connection with the Resolutions with the proceeds of Authorized Obligations, Drinking Water Obligations or Clean Water Fund Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman or Vice Chairman and the Treasurer or Deputy Treasurer is each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 9. In connection with the issuance of Authorized Obligations and Drinking Water Obligations or Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such

actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

2017 CIP PROJECT RESOLUTION NO. 1

RESOLUTION APPROPRIATING \$400,000 FOR THE ASSESSABLE SEWER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$400,000 is hereby appropriated for the extension of existing sanitary sewers resulting from property owner petitions for public sewer service within the District's sewer service area, including design, construction, project administration, legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the

dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 2

RESOLUTION APPROPRIATING \$400,000 FOR THE BOND STREET AREA SEWER REHABILITATION, HARTFORD AND AUTHORIZING THE ISSUANCE OF \$400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$400,000 is hereby appropriated for the construction of sewer lines in the Bond Street area in Hartford, including Redding Street, Preston Street, Wayland Street and Olds Place, including design, construction, project administration, legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of

Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 3

RESOLUTION APPROPRIATING \$5,600,000 FOR DIVIDEND BROOK DRAINAGE AREA IMPROVEMENTS - PHASE 1 AND AUTHORIZING THE ISSUANCE OF \$5,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,600,000 is hereby appropriated for the design and construction of Dividend Brook Drainage Area Improvements – Phase 1, including design, construction, project administration, easement, legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State

of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 4

RESOLUTION APPROPRIATING \$3,000,000 FOR THE GENERAL PURPOSE SEWER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,000,000 is hereby appropriated for the General Purpose Sewer Program including planning, design and construction of the replacement and/or rehabilitation of existing sewer mains, pump stations, and any related collection system appurtenances at various locations District wide as a result of infrastructure exceeding its useful life, and for legal, administrative and other financing costs related thereto. Such Projects may also include electrical, mechanical at District facilities. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future

(together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 5

RESOLUTION APPROPRIATING \$10,200,000 FOR THE HARTFORD WPCF DAFT UPGRADE AND AUTHORIZING THE ISSUANCE OF \$10,200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$10,200,000 is hereby appropriated for the design and construction of a complete upgrade to the dissolved air flotation thickening process at the Hartford Water Pollution Control Facility, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$10,200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 6

RESOLUTION APPROPRIATING \$1,500,000 FOR THE PAVING PROGRAM AND RESTORATION AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,500,000 is hereby appropriated for pavement restoration of roads, sidewalks, driveways, parking lots and other areas as well as unpaved areas including material disposal and materials from stock, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 7

RESOLUTION APPROPRIATING \$1,400,000 FOR THE SANITARY SEWER EASEMENT ACQUISITIONS & IMPROVEMENTS PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,400,000 is hereby appropriated for the design and construction of, improvement to, and/or acquisition of, sewer main easements to facilitate sanitary sewer replacement or rehabilitation and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District

Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 8

RESOLUTION APPROPRIATING \$3,600,000 FOR THE SANITARY SEWER REHABILITATION PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,600,000 is hereby appropriated for the conduct of sewer system investigations to support the design and construction of rehabilitation and replacement of deteriorating segments of the District's sewer infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program

shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 9

RESOLUTION APPROPRIATING \$4,800,000 FOR THE SEWER SYSTEM GATE REPLACEMENT – COLLECTION SYSTEM AND AUTHORIZING THE ISSUANCE OF \$4,800,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,800,000 is hereby appropriated for the construction of replacement collection system gates which replace those which have reached their useful service life at various locations, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,800,0000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds,

notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 10

RESOLUTION APPROPRIATING \$2,000,000 FOR VARIOUS SEWER SEGMENT REPLACEMENT/REHABILITATION PROGRAM AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of sewer system upgrades, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure located District-wide including survey, sewer easement clearing, closed caption TV inspection, the replacement, rehabilitation and or upgrade of District infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 11

RESOLUTION APPROPRIATING \$400,000 FOR WASTEWATER PUMP STATION UPGRADES AND AUTHORIZING THE ISSUANCE OF \$400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$400,000 is hereby appropriated for upgrades, replacements and improvements to the District's wastewater pump stations, including motors and pumps, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and

as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 12

RESOLUTION APPROPRIATING \$2,700,000 FOR WPC EQUIPMENT & FACILITIES IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$2,700,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,700,000 is hereby appropriated for the rehabilitation of multiple water pollution control assets to improve operational readiness, reliability and safety, to increase wastewater processing capabilities and to add asset life, and for

legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,700,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

RESOLUTION APPROPRIATING \$2,000,000 FOR WPC PLANT INFRASTRUCTURE RENEWAL & REPLACEMENT AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of a variety of renewal and replacements at the four water pollution control facilities to modernize existing systems, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special

revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 14

RESOLUTION APPROPRIATING \$2,000,000 FOR WPC SCADA UPGRADES AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of a variety of supervisory control and data acquisition (SCADA) enhancements and upgrades at the four water pollution control facilities to modernize existing systems, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or

in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 15

RESOLUTION APPROPRIATING \$5,100,000 FOR THE BOND STREET AREA WATER MAIN REPLACEMENT AND AUTHORIZING THE ISSUANCE OF \$5,100,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,100,000 is hereby appropriated for the design, construction and associated work to replace water mains in the Bond Street area of Hartford, including those in Redding Street, Preston Street, Wayland Street and Olds Place, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,100,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of

indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 16

RESOLUTION APPROPRIATING \$2,000,000 FOR THE GENERAL PURPOSE WATER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of replacement of existing water mains, system-wide equipment/infrastructure improvements (excluding vehicles), electrical, mechanical at District facilities, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 17

RESOLUTION APPROPRIATING \$1,600,000 FOR THE HYDRANT REPLACEMENT PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,600,000 is hereby appropriated for the replacement of hydrants throughout the distribution system which have reached the end of their useful life or no longer function adequately, including labor, equipment, materials, police services and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 18

RESOLUTION APPROPRIATING \$3,500,000 FOR THE PAVING PROGRAM AND RESTORATION AND AUTHORIZING THE ISSUANCE OF \$3,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,500,000 is hereby appropriated for pavement restoration of paved and unpaved areas including sidewalks, spoil material disposal and materials from stock, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its

issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 19

RESOLUTION APPROPRIATING \$1,500,000 FOR THE RADIO FREQUENCY AUTOMATED METER READING PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,500,000 is hereby appropriated for the radio frequency meter program, standardizing and replacing radio frequency meters and meter reading devices, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of

interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 20

RESOLUTION APPROPRIATING \$6,500,000 FOR RESERVOIR #6 – FILTERED WATER BASIN REHABILITATION AND AUTHORIZING THE ISSUANCE OF \$6,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$6,500,000 is hereby appropriated for the rehabilitation of the filtered water basins at the Reservoir #6 Water Treatment Facility and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$6,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the

District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 21

RESOLUTION APPROPRIATING \$1,500,000 FOR WEST HARTFORD WATER TREATMENT FACILITY – PIPE AND VALVE REPLACEMENTS AND AUTHORIZING THE ISSUANCE OF \$1,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,500,000 is hereby appropriated for replacements and upgrades to piping, valves and supporting structures, the installation of conduits and duct banks for controls, various instrumentation, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the

terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2017 CIP PROJECT RESOLUTION NO. 22

RESOLUTION APPROPRIATING \$5,000,000 FOR BUSINESS TRANSFORMATION AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the purchase, upgrade and replacement of computer related infrastructure and software, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and

other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 23

RESOLUTION APPROPRIATING \$3,300,000 FOR CONSTRUCTION SERVICES AND AUTHORIZING THE ISSUANCE OF \$3,300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,300,000 is hereby appropriated for the costs of the management of District's capital improvement projects including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 24

RESOLUTION APPROPRIATING \$2,500,000 FOR ENGINEERING SERVICES AND AUTHORIZING THE ISSUANCE OF \$2,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,500,000 is hereby appropriated for developing and designing the District's capital improvement projects, including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and

as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 25

RESOLUTION APPROPRIATING \$1,000,000 FOR THE ADMINISTRATION FACILITIES AND EQUIPMENT IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for the replacement and/or upgrade to the District's deteriorating Administration facilities which include building improvements, site improvements, equipment improvements and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 26

RESOLUTION APPROPRIATING \$800,000 FOR FLEET EQUIPMENT REPLACEMENT AND AUTHORIZING THE ISSUANCE OF \$800,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$800,000 is hereby appropriated for the replacement of transportation and power operated equipment, the purchase of stationary generators, engines and emergency response equipment, and the installation of other equipment as needed and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$800,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 27

RESOLUTION APPROPRIATING \$1,600,000 FOR THE COMPUTERIZED MANAGEMENT SYSTEM PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,600,000 is hereby appropriated for the program required for the configuration, organization and input of completed construction projects, equipment and facilities for all water and wastewater assets, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 28

RESOLUTION APPROPRIATING \$5,000,000 FOR SURVEY & CONSTRUCTION AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the survey and construction of all water and sewer projects within the District's service area, including projects installed under District contract and developer permit agreements and legal, administrative other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2017 CIP PROJECT RESOLUTION NO. 29

RESOLUTION APPROPRIATING \$3,900,000 FOR TECHNICAL SERVICES AND AUTHORIZING THE ISSUANCE OF \$3,900,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,900,000 is hereby appropriated for technical support to all of the District's capital improvement projects including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,900,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

On motion made by Citizen Member Courneen and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

FISCAL YEAR 2017 - BUDGET EXPENDITURES

To: Board of Finance for consideration on November 9, 2016

It is **RECOMMENDED** that it be:

Voted: That the estimated 2017 budget expenditures in the total amount of \$167,245,600 be referred to the District Board for acceptance and approval as follows:

Budget Appropriations	Water	Sewer	Total
District Board	155,800	149,700	305,500
Executive Office	344,900	331,400	676,300
Administrative Services	114,500	110,000	224,500
Legal	1,019,600	979,700	1,999,300
Human Resources	757,900	728,100	1,486,000
Information Technology	4,365,500	2,150,200	6,515,700
Finance	2,864,600	2,752,200	5,616,800
Environment, Health and Safety	488,800	469,600	958,400
Engineering and Planning	640,100	615,100	1,255,200
Customer Service	2,510,100	1,293,200	3,803,300
Operating Office	365,300	350,900	716,200
Operations	7,662,600	2,554,300	10,216,900
Laboratory Services	885,400	817,300	1,702,700
Water Pollution Control	-	16,281,400	16,281,400
Maintenance	5,692,900	5,469,500	11,162,400
Water Treatment & Supply	9,021,700	-	9,021,700
Patrol	1,713,900	-	1,713,900
Debt Service	26,029,500	22,610,800	48,640,300
Employee Benefits	10,343,200	8,462,500	18,805,700
General Insurance	2,893,600	1,240,200	4,133,800
Taxes and Fees	3,110,000	-	3,110,000
Special Agreements and Programs	1,954,300	1,401,000	3,355,300
Contingencies	-	14,935,300	14,935,300
Riverfront Park	609,000	-	609,000
Total Water and Sewer Budget	83,543,200	83,702,400	167,245,600

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

RECESS

At 7:52 P.M., Chairman Salemi recessed the Board of Finance meeting, without objection.

RECONVENE

At 7:57 P.M., Chairman Salemi reconvened the meeting of the Board of Finance

FISCAL YEAR 2017 - BUDGET REVENUES

To: Board of Finance for consideration on November 9, 2016

It is **RECOMMENDED** that it be

Voted: That the 2017 Budget Revenues in the total amount of \$167,245,600 be referred to the District Board for acceptance and approval as follows:

Water Revenues**Operating Revenues**

Sale of Water	\$77,669,700
Other Operating Revenues	3,738,700
Subtotal Operating Revenues	<u>81,408,400</u>

Non-Operating Revenues

Total Source of Revenues – Water Operations	<u>2,134,800</u>
	<u>\$83,543,200</u>

Sewer Revenues**Operating Revenues**

Tax on Member Municipalities	\$56,547,700
Revenue from Other Government Agencies	4,530,000
Other Sewer Revenues	13,684,700
Sewer User Charge Revenues	6,826,000
Subtotal Operating Revenues	<u>81,588,400</u>

Other Financing Sources

Contributions/Transfers from Other Funds	2,114,000
Subtotal Other Financing Sources	<u>2,114,000</u>

Total Source of Revenues and Other Financing Sources – Sewer Operations	<u>\$83,702,400</u>
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Total Source of Revenues and Other Financing Sources – Water and Sewer Operations	\$167,245,600
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Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

FISCAL YEAR 2017 - HYDROELECTRIC EXPENDITURES AND REVENUES

To: Board of Finance for consideration on November 9, 2016

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board for acceptance and approval an appropriation of \$895,300 for the operation of the Hydroelectric Program.

Further Voted: That the Board of Finance recommends to the District Board for acceptance and approval estimated Hydroelectric revenues of \$895,300 in support of operations as follows:

Power Sales	\$ 895,300
Interest Income	0
Designated from Surplus	0
Total Hydroelectric	<u>\$ 895,300</u>

Respectfully submitted,

Scott W. Ellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

REFERRAL OF PROPOSED 2017 BUDGET

To: Board of Finance for consideration on November 9, 2016.

Upon disposition of the preceding votes, it is **RECOMMENDED** that it be

Voted: That, in compliance with Section 3-7 of the Charter of The Metropolitan District, the accompanying budget of said District for the fiscal year 2017, as set forth in the tabulations entitled "Budget for The Metropolitan District for the Fiscal Year 2017," after publication as required by law, shall be

referred to the District Board at its December meeting, for acceptance and approval.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

FISCAL YEAR 2017 - TAX ON MEMBER MUNICIPALITIES

To: Board of Finance for consideration on November 9, 2016

A Fiscal Year 2017 Tax Levy on The Metropolitan District's member municipalities in the amount of \$56,547,700 is recommended in support of the proposed 2017 budget. In accordance with the District Board's policy, taxes may be paid in quarterly installments. To coincide with the fiscal year cycle (July 1 – June 30) adhered to by the member municipalities, the quarterly tax payments are unbalanced. The amount of the tax due in the first half of 2017 will be equivalent to 50% of the total 2016 tax levy. This amount (when paid) will be subtracted from the total 2017 tax levy: the balance is the amount due in the second half of the year.

Apportionment of the Fiscal Year 2017 tax among the member municipalities and the amount due on each installment will be as follows:

Tax History by Town	2013	2014	2015	2016	2017
Hartford	\$9,955,500	\$10,374,400	\$10,298,600	\$10,174,900	\$14,877,400
East Hartford	\$3,964,500	\$4,213,200	\$4,490,100	\$4,762,000	\$6,865,800
Newington	\$3,014,900	\$3,132,300	\$3,287,300	\$3,508,400	\$5,092,800
Wethersfield	\$2,756,900	\$2,824,400	\$3,022,000	\$3,207,700	\$4,625,000
Windsor	\$3,026,500	\$3,111,900	\$3,222,600	\$3,404,700	\$4,962,400
Bloomfield	\$2,584,900	\$2,612,500	\$2,752,400	\$2,936,000	\$4,162,100
Rocky Hill	\$2,011,100	\$2,089,100	\$2,153,700	\$2,239,700	\$3,359,700
West Hartford	\$7,485,100	\$7,798,800	\$8,219,700	\$8,710,900	\$12,602,500
Total	\$34,799,400	\$36,156,600	\$37,446,400	\$38,944,300	\$56,547,700

It is therefore **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section 3-12 and 3-13 of the District Charter, a tax on the member municipalities comprising The Metropolitan District, in the aggregate sum of \$56,547,700, consisting of (i) \$41,670,400 and (ii) to the extent said \$41,670,400 is not paid in full an additional \$14,877,300, shall be due and payable in favor of The Metropolitan District in six installments on the following due dates: the first installment, totaling

\$9,736,075, shall be due and payable on January 18, 2017; the second installment, totaling \$9,736,075, shall be due and payable on April 19, 2017; the third installment, totaling \$11,099,125, shall be due and payable on July 19, 2017; the fourth installment, totaling \$10,890,670, shall be due and payable July 26, 2017, only if and to the extent the first three installments are not paid in full; the fifth installment, totaling \$11,099,125, shall be due and payable October 18, 2017; and the sixth installment, totaling \$3,986,630, shall be due and payable October 25, 2017 only if and to the extent the first, second, third and fifth installments are not paid in full after reflecting payment of the fourth installment. The Metropolitan District shall advise each member municipality at least three days prior to the fourth and sixth installments of the actual amount, if any, payable by such municipality with respect to such installment. Apportionment of the Fiscal Year 2017 tax among the member municipalities and the amount due on each installment shall be as follows:

Installment Date	1/18/2017	4/19/2017	7/19/2017	7/26/2017*	10/18/2017	10/25/2017*	Total
Hartford	\$2,543,725	\$2,543,725	\$2,930,275	\$2,862,620	\$2,930,275	\$1,066,780	\$14,877,400
East Hartford	1,190,500	1,190,500	1,335,700	\$1,320,870	1,335,700	\$492,530	6,865,800
Newington	877,100	877,100	996,750	\$980,320	996,750	\$364,780	5,092,800
Wethersfield	801,925	801,925	899,775	\$890,010	899,775	\$331,590	4,625,000
Windsor	851,175	851,175	974,725	\$955,290	974,725	\$355,310	4,962,400
Bloomfield	734,000	734,000	797,400	\$800,790	797,400	\$298,510	4,162,100
Rocky Hill	559,925	559,925	676,275	\$646,290	676,275	\$241,010	3,359,700
West Hartford	2,177,725	2,177,725	2,459,225	\$2,424,140	2,459,225	\$904,460	12,602,500
Total	\$9,736,075	\$9,736,075	\$11,070,125	\$10,880,330	\$11,070,125	\$4,054,970	\$56,547,700

*if payable as provided in this resolution

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

FISCAL YEAR 2017 - REVISIONS TO DISTRICT SEWER USER CHARGE RATES AND OTHER RELATED CHARGES

To: Board of Finance for consideration on November 9, 2016

In accordance with Section S12j of the District's Ordinances, sewer use unit charge rates shall be determined annually in conjunction with adoption of the District Budget. The 2017 budget in support of sewer operations calls for a sewer user charge rate of \$3.06, which is 7.0% higher than the prior year.

Following the cost trends for the sewer user charge rate, it is recommended the BOD and COD rate be increased to \$0.36 and \$0.30 per pound respectively. In addition, the suspended solids strength charge will increase to \$0.30

per pound. These unit charges, which apply to high flow users, low flow/high strength users and non-municipal tax-exempt users, are for the following:

1. Liquid flow charge rate based on sewer flow in hundreds of cubic feet (CCF).
2. a. BOD (biochemical oxygen demand) strength charge rate based on pounds of BOD for the concentration of BOD exceeding 300 milligrams per liter (mg/l).
- b. COD (chemical oxygen demand) strength charge rate based on pounds of COD for that concentration of COD exceeding 700 mg/l.
3. Suspended solids strength charge rate based on pounds of suspended solids for that concentration exceeding 300 mg/l.

In accordance with Section S12p of the District's Ordinances, sewer user charge Late Filing/Sewage Evaluation Fees will be unchanged for the 2017 budget.

Additionally, in accordance with Section S12x of the District's Ordinances, the clean water project charge primarily for payment of principal and interest on certain bonds and loans which proceeds are used to finance the costs associated with the Clean Water Project. The clean water project charge is set annually in conjunction with adoption of the District Budget. Effective January 1, 2017, said charge shall be \$3.50 per hundred cubic feet (ccf) to be uniformly applied and to be proportional to the quantity of water used by District customers who utilize the District sewer system and are furnished water directly by the Metropolitan District. The clean water project charge shall appear separately on the water bills of the District.

Remediated Groundwater Charges: A maintenance fee is required as part of the approval from MDC for acceptance of the discharge of remediated groundwater to the sanitary sewer. This fee is associated with the review and analysis of the permit application, location of the discharge and operational requirements to manage the groundwater at the wastewater treatment facility.

FOG Charges: Fees are charged to Class III and IV food service establishments to offset the costs of managing the Fats, Oils and Grease (FOG) program. This program is required by the CT Department of Energy and Environmental Protection General Permit for the Discharge of Wastewater Associated with Food Preparation Establishments.

Remediated Groundwater	\$0.13/gal
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FOG Fees

Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00

Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00
Source of sanitary sewer overflow (minimum) - Actual costs will be billed to the facility for time and materials related to the overflow	\$1,000.00

It is **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section S12j of the District Ordinances, Unit Charges For Computing The Sewer User Charge, a sewer user charge rate of three dollars and six cents (\$3.06) per hundred cubic feet of sewer flow be effective for meter readings on and after January 1, 2017 and that, effective January 1, 2017, a BOD strength charge of thirty-six cents (\$0.36) per pound be billed on sewer flow for that concentration of BOD exceeding 300 milligrams per liter; a COD strength charge of thirty cents (\$0.30) per pound be billed on sewer flow for that concentration of COD exceeding 700 milligrams per liter; and a suspended solids strength charge of thirty cents (\$0.30) per pound be billed on sewer flow for that concentration of suspended solids exceeding 300 milligrams per liter.

Further

Resolved: In accordance with Section S12x of the District's Ordinances, the rate for the clean water project charge shall be \$3.50 per ccf commencing January 1, 2017.

Also Voted: That the District Board approve the following schedule of fees effective January 1, 2017.

Remediated Groundwater	\$0.13/gal
<u>FOG Fees</u>	
Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00
Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00

Source of sanitary sewer overflow (minimum) - Actual costs
will be billed to the facility for time and materials
related to the overflow \$1,000.00

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

OPPORTUNITY FOR GENERAL PUBLIC COMMENT

Judy Allen of 25 Fowler Drive, West Hartford, spoke regarding the notices for the upcoming public hearings, dues paid to the MetroHartford Alliance, and the budget process.

ADJOURNMENT

The meeting was adjourned at 8:18 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 22, 2016

Date of Approval

**JOINT PUBLIC HEARING OF THE
BOARD OF FINANCE AND WATER BUREAU
The Metropolitan District
555 Main Street
Hartford, Connecticut 06103
Wednesday, November 16, 2016**

Present: Commissioners Daniel A. Camilliere, Timothy Curtis, Janice Flemming-Bulter, Allen Hoffman, Maureen Magnan, James Needham, Mark A. Pappa, Whit Osgood, Bhupen Patel, J. Lawrence Price, Pasquale J. Salemi, Alvin Taylor; District Chairman William A. DiBella, Citizen Member Angelo, Chief Executive Officer Scott W. Jellison, Deputy Chief Executive Officer of Business Services John M. Zinzarella, District Counsel R. Bartley Halloran; Assistant District Counsel Christopher R. Stone, Director of Finance Rob Constable, Director of Engineering Sue Negrelli, Director of Information Technology Robert Schwarm, Director of Procurement Kelly Shane, Director of Human Resources Robert Zaik, Special Services Administrator Nick Salemi, Executive Assistant Cindy Nadolny and District Clerk John Mirtle

**PUBLIC HEARING ON PROPOSED REVISIONS TO
WATER ORDINANCES AND 2017 PROPOSED BUDGET**

Commissioner Curtis and Commissioner Salemi, acting as Co-Chairs, called the public hearing to order at 5:31 P.M.

Board of Finance Chairman Salemi read the following statement:

“This is a joint public hearing by The Metropolitan District’s Board of Finance and Water Bureau. The Board of Finance will receive public input on the proposed 2017 budget for The Metropolitan District.”

“On November 4, 2016, the 2017 proposed budget was posted on www.themdc.org and distributed to member municipalities’ town clerks offices. At its November 9th meeting, the Board of Finance referred the proposed budget to the District Board. A final decision on the 2017 proposed budget has yet to be made. The District Board will consider and approve a final budget at its December 5, 2016 public meeting, and will take into account what is said at this hearing.”

Water Bureau Chairman Curtis read the following statement:

“This is a public hearing to consider the proposed revision to The Metropolitan District’s Water Supply Ordinances. The proposed revisions include Sections W1a “Water Used Charge (Treated Water), W1b “Customer Service Charge”, W1c “Surcharge Outside The Metropolitan District”, W1d “Charges for Untreated Water”, W6f “Charges for Private Fire Protection Service” and W5a “Charges For Service Pipe”. This Public Hearing is part of the legal procedure that The Metropolitan District is required to follow regarding the revision of any of its

ordinances, as mandated by Special Act 01-3 of the Connecticut General Assembly of 2001.”

“A final decision on the proposed ordinances revision has yet to be made. That decision will ultimately be made by the District Board of The Metropolitan District, and will take into account what is said at this hearing.

“The District Clerk will now read, for the record, the notice of this public hearing.”

At the direction of the Chairmen, Mr. Mirtle read the following:

The following hearing notice was published in the Hartford Courant on November 4, 2016 and again on November 11, 2016; and the notice and the complete text of the proposed ordinance revision was filed, for public inspection, in the office of the town clerk in each municipality that is a member town of The Metropolitan District.

**NOTICE OF PUBLIC HEARING
BOARD OF FINANCE AND WATER BUREAU JOINT PUBLIC HEARING
2017 PROPOSED BUDGET AND
PROPOSED REVISIONS TO WATER ORDINANCES
The Metropolitan District
555 Main Street
Hartford, Connecticut**

Pursuant to Special Act 01-3, as adopted by the General Assembly of the State of Connecticut, and Section 2-14 of the Charter of The Metropolitan District, the Board of Finance and Water Bureau of The Metropolitan District will hold a joint public hearing on the 2017 proposed budget and proposed revisions to The District's Water Supply Ordinances as they apply to the water rates for the fiscal year 2017. The hearing will be held in the Board Room at Metropolitan District Headquarters, 555 Main Street, Hartford, Connecticut, on Wednesday, **November 16, 2016 at 5:30p.m.**

Proposed changes to the rates stipulated under the following sections of the Water Supply Ordinances will be considered:

Section W1a	Water Used Charge (Treated Water)
Section W1b	Customer Service Charge
Section W1c	Surcharge Outside The Metropolitan District
Section W1d	Charges for Untreated Water
Section W6f	Charges for Private Fire Protection Service

Further proposed ordinance revisions unrelated to water rates:

Section W5a Charges for Service Pipe

STATEMENT OF PURPOSE OF REVISION TO W5a: To update the MDC Water Ordinances in order to implement a Water Service Installation Program.

The proposed ordinance revisions are available for inspection at the Office of the District Clerk of The Metropolitan District, 555 Main Street, Hartford and www.themdc.org/district-board.

All interested parties from The Metropolitan District's member municipalities may appear to be heard.

John S. Mirtle, Esq.
District Clerk

Chairmen Curtis and Salemi opened the floor to any members of the public whom wished to speak relative to the proposed Metropolitan District Water Supply Ordinances and 2017 Proposed Budget.

Judy Allen of 25 Fowler Drive, West Hartford, spoke on behalf of Save our Water Connecticut, regarding Niagara, high volume water discounts, and the special sewer service charge.

Corey Tucker of 310 Cherry Brook Road, Canton, spoke regarding the MDC Reservoirs, natural resources and recycling.

Marie Lorenzo of 97 Hubbard Drive, Glastonbury, spoke regarding water conservation.

Susan Kniep of 50 Old Roberts Street, East Hartford, spoke in opposition of rate increases and in opposition of the Ad Valorem plan.

Shari Cantor, Mayor of West Hartford, spoke regarding the Ad Valorem plan and suggested that the MDC issue a TAN if Hartford is unable to pay their portion of the Ad Valorem.

Peter Privitera, CFO of the Town of West Hartford, spoke regarding the impact of the Ad Valorem Plan on the Town of West Hartford. He urged the MDC to consider other options.

Ron Van Winkle, Town Manager of West Hartford, spoke regarding the impact of the Ad Valorem Plan on the Town of West Hartford. He also suggested that the MDC issue a TAN to offset costs or possible sewer fees for customers.

Dr. JoAnne Bauer, of 25 North Beacon Street, Hartford, Chairperson of Hartford's Advisory Commission on the Environment, and a member of Save Our Water Connecticut, spoke in opposition of the proposed rate increases. She also spoke in opposition of Niagara Bottling.

Tim Wolf of 4 Guernsey Road, Bloomfield, spoke in opposition of the water rate increase and in opposition of the high volume water discount.

Elizabeth Yetman of 82 Robin Road, Windsor, spoke in opposition of the budget increases.

Sara Frey of 34 Walton Drive, West Hartford, spoke in opposition of the high volume water discount.

Sharon Byron of Simsbury, spoke in opposition of Niagara.

Tollie Miller of Bloomfield and on behalf of Save Our Water Connecticut, spoke regarding the high quality and value of water in Connecticut, and spoke in opposition of the high volume water discount.

Gail Budrejko of 21 Isabelle Terrace, Newington, spoke regarding long term budgeting in Hartford and Member Towns.

Thomas Henman of Burlington, spoke regarding long term water conservation and spoke in opposition to the high volume water discounts.

Sue Lavoy of West Hartford spoke on behalf of Sisters of Mercy and Save our Water Connecticut. She explained a corporate stand on water, created by the Sisters of Mercy in 2009, supporting actions to protect water as a resource, and opposing bottling water, except in circumstances where potable water is not available.

Jeff Bridges, Town Manager of Wethersfield, spoke about the balance between responsibilities as a regional body and protecting the interest of local residents. He also spoke about funding local government in the 21st century.

ADJOURNMENT

The meeting was adjourned at 6:34 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 22, 2016

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street
Hartford, Connecticut 06103
Tuesday, November 22, 2016**

PRESENT: Commissioners Luis Caban, Allen Hoffman, William P. Horan and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and District Chairman William A. DiBella (8)

ABSENT: None (0)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Robert Schwarm, Director of Information Technology
Kelly Shane, Director of Procurement
Jenna Lombardo, Manager of Financial Control
Kerry E. Martin, Assistant to the Chief Executive Officer
Carrie Blardo, Assistant to the Chief Operating Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 11:51 A.M.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

Judy Allen of 25 Fowler Drive, West Hartford asked for clarification regarding how agenda item #4 "Amendment to November 2, 2016 Resolution Authorizing \$173,500,000 in General Obligation Bonds" affects the Ad Valorem.

Gail Brajko of Newington spoke regarding the consequence of delaying the bond sale and scheduling the Board of Finance meeting.

APPROVAL OF MINUTES

On motion made by Commissioner Hoffman and duly seconded, the meeting minutes of the Board of Finance meeting of November 9, 2016 were approved.

Commissioner Caban abstained.

**AMENDMENT TO THE
AMENDED AND RESTATED RESOLUTION AUTHORIZING THE ISSUANCE AND
SALE OF NOT EXCEEDING \$173,500,000 IN GENERAL OBLIGATION BONDS OF THE
METROPOLITAN DISTRICT**

From: Board of Finance for consideration on November 22, 2016

WHEREAS, on November 2, 2016 the District Board passed a resolution authorizing the sale of not exceeding \$173,500,000 Metropolitan District General Obligation Bonds (the "Bonds") to be issued for capital improvement projects, to currently refund up to \$113,500,000 Bond Anticipation Notes of the District maturing December 1, 2016 (the "Outstanding Notes") and to fund up to \$60,000,000 in new money for Capital Improvement Projects, on a negotiated basis (the "Resolution"); and

WHEREAS, District officers have been advised that it may be advisable to improve the marketability of the offering by the District of the Bonds, and to improve the terms of their issuance, to purchase a financial guaranty insurance policy for the benefit of the holders of the Bonds to insure the repayment of the Bonds;

WHEREAS, the District Board wishes to amend the resolution to authorize the purchase of a financial guaranty insurance policy;

The Board of Finance now recommends to the District Board passage of the following resolution:

NOW THEREFORE, IT IS RESOLVED, that the Resolution is hereby amended by the addition of the following paragraph:

The District Board hereby authorizes the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer to purchase a financial guaranty insurance policy for the benefit of the holders of the Bonds to insure the repayment of the Bonds on such terms and conditions the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer shall approve, and to execute such agreements to effect the same, including such covenants, limitations and restrictions on the District necessary to obtain any such financial guaranty insurance policies, surety agreements, or any similar agreements in connection with the issuance of the Bonds ("Bond Insurance Agreements") with one or more financial institutions to provide for additional security for the Bonds. Bond Insurance Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer, and the execution of such Bond Insurance Agreements shall be conclusive evidence of their approval of the terms and conditions of such Bond Insurance Agreements

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

OPPORTUNITY FOR GENERAL PUBLIC COMMENT

Judy Allen of 25 Fowler Drive, West Hartford asked about potential changes to bond rates.

ADJOURNMENT

The meeting was adjourned at 12:07 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

January 9, 2017

Date of Approval

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To

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