

**JOURNAL
OF
THE BOARD OF FINANCE
OF
THE METROPOLITAN DISTRICT
COMMISSION**

FOR THE YEAR
2015

Published by authority of the Commission
And compiled by the
Office of the District Clerk

Membership of the District is made up of the City of Hartford and
The Towns of Bloomfield, Newington, Wethersfield, Windsor,
East Hartford, Rocky Hill and West Hartford

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MEMBERSHIP
OF
THE BOARD OF FINANCE
2015

PASQUALE J. SALEMI Chairman, Board of Finance
RICHARD MULREADY Vice Chairman, Board of Finance

LUIS CABAN

WILLIAM CIBES*

ALLEN HOFFMAN

MARTIN B. COURNEEN*

WILLIAM P. HORAN

LINDA KING-CORBIN*

PASQUALE J. SALEMI

RICHARD MULREADY*

RONALD ANGELO*

*Citizen Member; two-year term expires December 31, 2015

MINUTES

OF

MEETINGS OF THE BOARD OF FINANCE

HELD IN 2015

**JOINT MEETING OF THE
PERSONNEL, PENSION & INSURANCE COMMITTEE
AND BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, February 11, 2015**

PRESENT: Personnel, Pension & Insurance Committee:
Commissioners Donald Currey, Thea Montanez, J. Lawrence Price,
Raymond Sweezy, Alvin Taylor and District Chairman William A. DiBella
(6)

Board of Finance:
Commissioners Luis Caban, Allen Hoffman; Citizen Members Ron Angelo
and Richard Mulready and District Chairman William A. DiBella (5)

ABSENT: Commissioner Timothy Curtis, Joseph Klett, William P. Horan, Maureen
Magnan, Albert F. Reichin, Pasquale J. Salemi; Citizen Members William
Cibes, Martin B. Courneen and Linda King-Corbin (9)

ALSO

PRESENT: Scott W. Jellison, Chief Executive Officer
John Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Manager of Budgeting and Analysis
Sue Negrelli, Director of Engineering
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Erin Ryan, Director of Human Resources
Stanley Pokora, Manager of Treasury
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant
Bob Devito, Lockton

CALL TO ORDER

Commissioner Taylor, Chairperson of the Personnel, Pension and
Insurance Committee called the meeting to order at 5:11 P.M.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

DISCUSSION RE: IMPLICATIONS OF THE AFFORDABLE CARE ACT

Erin Ryan, Director of Human Resources, introduced Bob Devito of Lockton to brief the Personnel, Pension and Insurance Committee and the Board of Finance on the implications of the Affordable Care Act.

ADJOURNMENT

The meeting was adjourned at 5:31 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 25, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, February 11, 2015**

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, Richard Mulready and District Chairman William A. DiBella (6)

ABSENT: Commissioner William P. Horan and Citizen Members William Cibes Martin B. Courneen and Linda King-Corbin (4)

ALSO

PRESENT: Commissioner Donald Currey
Commissioner Georgiana Holloway
Commissioner Thea Montanez
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Rob Constable, Manager of Budgeting and Analysis
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Sue Negrelli, Director of Engineering
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:43 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of December 1, 2014 were approved.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2013-7013**

To: Board of Finance for consideration on February 11, 2015

Staff seeks approval from your Board to execute and deliver to the State of Connecticut the First Amendment to the Project Loan and Subsidy Agreement DWSRF No. 2013-7013 and the Interim Funding Obligations and Project Loan Obligations under such amendment.

This amendment increases the low interest loans and grants which fund the replacement of water mains in portions of Linden Place, Capitol Avenue and Broad Street in Hartford under the Water Main Replacement (Linden, Capitol, Broad) project.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide an increase of \$282,907.50 in state funding, to a total state funding of \$2,929,907.50 with an increase in grants of \$81,464.89, to a total of \$213,664.89 in grants, and an increase of \$201,442.61 in low interest loans, to a total of \$2,713,242.61, at 2.00% to fund the expenses associated with this project.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the First Amendment to the Project Loan and Subsidy Agreement DWSRF No. 2013-7013 and any and all Interim Funding Obligations and Project Loan Obligations issued under Project Loan and Subsidy Agreement DWSRF No. 2013-7013 as amended by the First Amendment to the Project Loan and Subsidy Agreement DWSRF No. 2013-7013 in the aggregate amount not to exceed \$2,713,242.61. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2013-7013 as amended by the First Amendment to the Project Loan and Subsidy Agreement DWSRF No. 2013-7013 to be entered into with the State of Connecticut (as amended, the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

***On motion made by Citizen Member Mulready and duly seconded,
the report was received and the resolution adopted by unanimous
vote of those present.***

REPORT ON CLEAN WATER PROJECT BORROWING CWF 652-C

To: Board of Finance for consideration on February 11, 2015

On December 1, 2014, the Board of Finance and District Board approved a borrowing from the State Clean Water Fund CWF 652-C for the District's Clean Water project, having a loan amount of \$77,808,705.43 and a grant amount of \$39,939,116.17. Previously, borrowings from the Clean Water Fund have been in smaller increments. The Department of Energy and Environmental Protection requested that this borrowing, and future borrowings, be larger to save DEEP the administrative time in having to deal with multiple borrowings. The State Treasurer's Office, however, found that such larger borrowings negatively impact the cash flow of the State Clean Water Fund to a significant degree, as interest accrues during the construction period and payments of principal and interest do not commence until six months after the scheduled completion date. Therefore, it is requiring that the District execute Project Loan Obligations annually in the amounts drawn down during each calendar year after the execution of the agreement and begin to make payments of principal and interest on such amounts. Staff wanted to bring this to your attention as this is a slight deviation from prior agreements with the State. As with any other debt that commences amortization sooner, this results in some savings in interest costs to the District. The Lamont model already conservatively had assumed that PLOs would be issued annually for the Clean Water Project.

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

***On motion made by Citizen Member Mulready and duly seconded,
the report was received.***

**REALLOCATION OF PROCEEDS OF CERTAIN GENERAL OBLIGATION BOND
ANTICIPATION NOTES**

To: Board of Finance for consideration on February 11, 2015.

Staff is seeking authority for the District to reallocate proceeds from the District's \$90,459,000 General Obligation Bond Anticipation Notes, Series C dated March 24, 2014 as follows:

- (i) \$10,000 from the 2008 Sewer System Capital Equipment and Staffing to the 2009 Management Operation & Maintenance Compliance project.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE REALLOCATION OF \$10,000 IN GENERAL
OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT**

Proceeds from the District's \$90,459,000 General Obligation Bond Anticipation Notes, Series C dated March 24, 2014 (the "2014 Series C Notes") are hereby reallocated as follows:

- (i) \$10,000 from the 2008 Sewer System Capital Equipment and Staffing to the 2009 Management Operation & Maintenance Compliance project.

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

***On motion made by Citizen Member Mulready and duly seconded,
the report was received and the resolution adopted by unanimous
vote of those present.***

**DEBT ISSUANCE RESOLUTION
FOR BANS
ISSUANCE OF UP TO \$116,500,000 IN BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on February 11, 2015.

Staff is seeking authority for the District to issue up to \$116,500,000 in bond anticipation notes that will provide up to \$25,000,000 in new money for CIP projects and \$91,500,000 to renew bond anticipation notes for CIP projects and to renew bond anticipation notes for the Clean Water Project.

Series A bond anticipation notes in the approximate aggregate principal amount of amount of \$81,500,000, for CIP projects and to renew bond anticipation notes previously issued for CIP projects, will be dated on or about March 19, 2015 and will mature on March 18, 2016. Series B bond anticipation notes in the aggregate principal amount of amount of \$35,000,000, to renew bond anticipation notes for the Clean Water Project, will be dated on or about March 19, 2015 and will mature on November 4, 2015.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT
EXCEEDING \$116,500,000 GENERAL OBLIGATION BOND
ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT**

Not exceeding \$116,500,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached and to refund previously issued bond anticipation notes as shown on Exhibit A. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Series A Notes, for capital improvement projects and to renew bond anticipation notes previously issued for capital improvement projects, shall be dated on or about March 19, 2015, and shall mature on March 18, 2016, bear interest payable at maturity and be issued in fully registered form. The Series B Notes, to renew bond anticipation notes for the Clean Water Project, shall be dated on or about March 19, 2015, and shall mature on November 4, 2015, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or

bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

On motion made by Commissioner Hoffman and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**DEBT ISSUANCE RESOLUTION
FOR GENERAL OBLIGATION BONDS
ISSUANCE OF UP TO \$71,959,000 IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on February 11, 2015.

Staff is seeking authority for the District to issue up to \$71,959,000 in general obligation bonds that will refund \$71,959,000 in existing bond anticipation notes due on March 25, 2015.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT
EXCEEDING \$71,959,000 IN GENERAL OBLIGATION BONDS OF THE
METROPOLITAN DISTRICT**

Not exceeding \$71,959,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued in for capital improvement projects, to currently refund up to \$71,959,000 Bond Anticipation Notes of the District maturing March 25, 2015 (the "Outstanding Notes") and to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on March 1st in each of the years 2016 through 2035, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

***On motion made by Commissioner Hoffman and duly seconded, the
report was received and the resolution adopted by unanimous vote
of those present.***

2014 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on February 11, 2015

The 2014 Metropolitan District operating budget is experiencing deficits in the Legal, Employee Benefits and Special Programs budgets. These deficits are the results of higher than expected activities associated with increase legal expenses, increase employee benefits and construction associated with Mattabassett District.

Management recommends that the required additional funding for these cost overruns come from Debt Service.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 701 – Debt Service	<u>\$444,600.00</u>	<u>\$481,700.00</u>	<u>\$926,300.00</u>
Total	<u>\$444,600.00</u>	<u>\$481,700.00</u>	<u>\$926,300.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

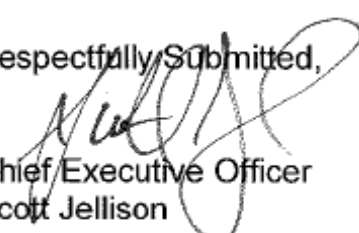
Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2014 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 701 – Debt Service	<u>\$444,600.00</u>	<u>\$481,700.00</u>	<u>\$926,300.00</u>
Total	<u>\$444,600.00</u>	<u>\$481,700.00</u>	<u>\$926,300.00</u>
To:	General	Water	Total
Department-702 Employee Benefits	\$292,600.00	\$354,200.00	\$646,800.00
Department-741			

Special Agreements & Programs	29,500.00	-0-	29,500.00
Department-141 Legal	<u>122,500.00</u>	<u>127,500.00</u>	<u>250,000.00</u>
Total	<u>\$444,600.00</u>	<u>\$481,700.00</u>	<u>\$926,300.00</u>

Respectfully Submitted,


Chief Executive Officer
Scott Jellison

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:57 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 25, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, February 25, 2015**

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi;
Citizen Members Ron Angelo, William Cibes, Richard Mulready and
District Chairman William A. DiBella (7)

ABSENT: Commissioner William P. Horan and Citizen Members Martin B.
Courneen and Linda King-Corbin (3)

ALSO

PRESENT: Commissioner Donald Currey
Commissioner Albert Reichin
Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Rob Constable, Manager of Budgeting and Analysis
Carol Fitzgerald, Acting Director of Finance
Erin Ryan, Director of Human Resources
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 4:05 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of February 11, 2015 and the Joint Meeting Minutes of February 11, 2015 were approved. Citizen Member Cibes abstained.

REQUIRED AUDITOR COMMUNICATIONS – RE: FISCAL YEAR 2014 AUDIT

Vanessa Rossito of Blum Shapiro provided the required auditor communication for the fiscal year 2014 audit. Copies are on file in the Office of the District Clerk.

DISCUSSION RE: 2015 ADOPTED BUDGET

The Board of Finance discussed the 2015 adopted budget and the District's budget process.

ADJOURNMENT

The meeting was adjourned at 4:34 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

May 4, 2015

Date of Approval

BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, May 4, 2015

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi;
Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Linda
King-Corbin, Richard Mulready and District Chairman William A. DiBella
(9)

ABSENT: Commissioner William P. Horan (1)

ALSO

PRESENT: Commissioner Donald Currey
Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Brendan Fox, Assistant District Counsel
John S. Mirtle, District Clerk
Erin Ryan, Director of Human Resources
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:06 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

*On motion made by Citizen Member Mulready and duly
seconded, the meeting minutes of the Board of Finance
meeting of February 25, 2015 were approved.*

Citizen Members Courneen and King-Corbin abstained.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
CWF 619-CD1**

To: Board of Finance for consideration on May 4, 2015

Staff seeks approval from your Board to execute and deliver the Second Amendment No. 619-CD1 and Interim Funding Obligations and a Project Loan Obligation to the State of Connecticut for CWF 619-CD1.

The low interest loan and grant will fund the preparation of master plan studies for both the Hartford and Rocky Hill water pollution control facilities, the design of the South Hartford Conveyance and Storage Tunnel project (tunnel, pump station and consolidation conduits), the construction of the Garden Street sewer separation and Green Capitol projects, and construction of additional sewer separation projects in six sewer separation areas identified in the Long Term Control Plan.

The State of Connecticut, through the Clean Water Program, will provide the state funding remaining under the Project Loan and Project Grant Agreement No. CWF No. 619-C, as amended by First Amendment No. 619-C1 and Second Amendment No. 619-CD1, of \$40,710,044.35 with approximately \$20,350,016.63 in grants and \$20,360,027.72 in low interest loans at 2.00 % to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLUTION WITH RESPECT TO THE ISSUANCE OF INTERIM FUNDING OBLIGATIONS AND PROJECT LOAN OBLIGATIONS PURSUANT TO PROJECT LOAN AND PROJECT GRANT AGREEMENT NO. CWF 619-C BETWEEN THE STATE OF CONNECTICUT AND THE METROPOLITAN DISTRICT AS AMENDED BY FIRST AMENDMENT NO. CWF 619-C1 AND SECOND AMENDMENT NO. CWF 619-CD1 UNDER THE CLEAN WATER FUND PROGRAM

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Second Amendment No. CWF 619-CD1 to Project Loan and Grant Agreement CWF 619-C, as amended by the First Amendment to Project Loan and Grant Agreement CWF 619-C, and any and all Interim Funding Obligations and Project Loan Obligations under the Second Amendment No. CWF 619-CD1 in the aggregate amount not to exceed \$20,360,027.72. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Project Grant Agreement entered into with the State of Connecticut CWF No. 619-C, as amended by the First Amendment to Project Loan and Grant Agreement CWF 619-C and the Second Amendment CWF No. 619-CD1 (as amended, the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the

issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

***On motion made by Citizen Member Mulready and duly seconded,
the report was received and the resolution adopted by unanimous
vote of those present.***

**AUTHORIZATION FOR TREASURER AND DEPUTY TREASURER
TO OPEN AND MAINTAIN DEPOSIT ACCOUNT**

To: Board of Finance for consideration on May 4, 2015

Staff seeks approval from the Board of Finance to authorize the Treasurer and Deputy Treasurer, acting severally, to perform the following operational cash management banking functions for The Metropolitan District:

Open and maintain a deposit account or accounts

Endorse all checks, drafts, notes and other items payable to or owned by The Metropolitan District

Execute special depository agreements or other such agreements for purposes for which funds, checks or items may be deposited, collected or withdrawn

Sign checks or other items to be tendered or drawn against bank account(s) opened.

It is therefore RECOMMENDED that the Board of Finance recommend to the District Board passage of the following resolution:

Be It Resolved: that the District Clerk be and is authorized to execute the attached Governmental Entity Certificate of Resolution ("Certificate of Resolution") on behalf of the District, and that the terms and conditions set for in the Certificate of Resolution are

incorporated herein and made a part of this resolution which, upon passage, effectively authorizes the District Treasurer and Deputy Treasurer, severally, to act on behalf of the District in the manner consistent with the terms and conditions set forth in said Certificate of Resolution.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

COMMUNITY ASSISTANCE PROGRAM

Scott Jellison and R. Bartley Halloran briefed the Board of Finance on the Community Assistance program.

ADJOURNMENT

The meeting was adjourned at 5:46 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 1, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
231 Brainard Road, Hartford
Monday, June 1, 2015**

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (9)

ABSENT: Commissioner William P. Horan (1)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Erin Ryan, Director of Human Resources
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
James Masse, Network Analyst

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:25 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of May 4, 2015 were approved.

2015 OPERATING BUDGET TRANSFER – Report 4.

To: Board of Finance for consideration on June 1, 2015

The 2015 Metropolitan District operating budget is experiencing a deficit in the Water Pollution Control and Special Program budgets. The WPC deficit is the result of the use of additional

chemicals required for sludge settling activity. The Special Program budget deficit is due to the Districts portion of increased construction associated with Mattabassett District.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 801 – Contingencies	<u>\$622,000.00</u>	<u>\$831,200.00</u>	<u>\$1,453,200.00</u>
Total	<u>\$622,000.00</u>	<u>\$831,200.00</u>	<u>\$1,453,200.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2015 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 801 – Contingencies	<u>\$212,500.00</u>	<u>\$-0-</u>	<u>\$212,500.00</u>
Total	<u>\$212,500.00</u>	<u>\$-0-</u>	<u>\$212,500.00</u>
To:	General	Water	Total
Department-402 Water Pollution Control	\$60,000.00	\$-0-	\$60,000.00
Department-741 Special Agreements & Programs	<u>152,500.00</u>	<u>-0-</u>	<u>152,500.00</u>
Total	<u>\$212,500.00</u>	<u>\$-0-</u>	<u>\$212,500.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

DEBT ISSUANCE RESOLUTION FOR BANS - ISSUANCE OF UP TO \$25,000,000 IN BOND ANTICIPATION NOTES – Report 5.

To: Board of Finance for consideration on June 1, 2015.

Staff is seeking authority for the District to issue up to \$25,000,000 in Series C bond anticipation notes that will provide new money for CIP projects, will be dated on or about July 28, 2015 and will mature on March 18, 2016.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$25,000,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$25,000,000 Metropolitan District General Obligation Bond Anticipation Notes, Series C (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Notes shall be dated on or about July 28, 2015, and shall mature on March 18, 2016, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note

Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

July 2015 Notes Series C Exhibit A

July 2015 Series A Notes

CIP Appropriation

	Issue Amount
2006 CSO Abatement Program	35,000
2006 Sanitary Sewer Overflow - Engineering Design/Study	117,000
2006 Sanitary Sewer Overflow (SSO) - Rocky Hill	30,000
2006 Sanitary Sewer Overflow (SSO) - West Hartford	4,000
2006 Sanitary Sewer Overflow (SSO) - Wethersfield	6,000
2007 Wastewater Treatment Facility Improvements	56,000
2007 Wastewater Treatment Facility Security and Communication Improvements	4,000
2008 Capacity Management Operation & Maintenance Compliance	8,000
2008 Capacity Management Operation & Maintenance Compliance	50,000
2008 Facility and Building Improvements	7,000
2008 General Purpose Sewer	6,000
2008 General Purpose Sewer	14,000
2008 Improvements to SCADA	321,000
2008 Water Supply Facility Improvements	12,000
2008 WPC Infrastructure Replacements and Improvements	33,000
2009 Capacity Management Operation & Maintenance Compliance Capital Equipment and Staffing	32,000
2009 Farmington Water Main Installation, West Hartford	14,000
2009 General Purpose Sewer	3,000
2009 Hartford Odor Control Construction	21,000
2009 Water Main - Farmington Avenue, Hartford	13,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	55,000
2010 CMMS-Phase II	46,000
2010 CWP Water Main Replacement - Wethersfield Ave # 3	4,000
2010 Dam Safety Improvements - Res #2	4,000
2010 Dam Safety Improvements - Res #3	42,000
2010 Facility & Equipment Improvements Program	144,000
2010 General Purpose Sewer	52,000
2010 Hydraulic Computer Modeling	178,000
2010 Information Systems Improvements #1	14,000
2010 Sewer Study - Dividend Brook, Rocky Hill	52,000
2010 Vehicle and Equipment Replacement Program	406,000
2010 Water Facilities Security & Improvement Program	58,000
2010 Water Main Replacement - Bloomfield	10,000
2010 Water Main Replacement - Hartford	83,000
2010 Water Main Replacement - Wethersfield	60,000
2010 WPC EHWPCF Screen & Grit Replacement Program	533,000
2010 WPC Electrical Systems Modernization Program	86,000
2010 WPC Renewal & Replacements Program	193,000
2011 Capacity Management Operation & Maintenance (CMOM) - Compliance	404,000
2011 CWP - Water Main Replacements	127,000
2011 Design of Water Main Replacements	25,000
2011 Facility & Equipment Improvements	14,000
2011 General Purpose Sewer	80,000
2011 General Purpose Sewer	50,000
2011 Sewer Pump Station Improvement Program Ph. II	44,000
2011 Sewer Pump Station Rehabilitation	62,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	11,000
2011 Water Main Replacement - Hartford	22,000
2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	7,000
2011 Water Main Replacement - Simmons Road, East Hartford	22,000
2011 Water Pump Station Improvements	79,000

2011 WPC Electronic Development	247,000
2011 WPC Equipment & Facility Refurbishment	48,000
2011 WPC Renewal & Replacements	125,000
2012 Asset Management Construction Administration	11,000
2012 Backwater Valve Program	41,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	551,000
2012 Facility Improvement Program	42,000
2012 Fleet Replacement	278,000
2012 General Purpose Sewer	247,000
2012 Headquarters Parking Garage Renovations	147,000
2012 Information Technology Security Improvements	148,000
2012 Paving Program	63,000
2012 Pump Station Upgrades - Fishfry Street, Hartford	42,000
2012 Sanitary Sewer Replacements District-Wide	152,000
2012 Sewer Gate Replacement Program District-wide	5,000
2012 Sewer Pump Station Upgrades - Mohawk Drive East Hartford	107,000
2012 Sewer Pump Station Upgrades - Ridge Street, Windsor	44,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	6,000
2012 Water Main Replacement - Retreat Avenue, Hartford	445,000
2012 Water Main Replacement-Farmington Avenue, Hartford	88,000
2012 Water Storage Basin Upgrades	16,000
2012 WPC Equipment & Facilities Refurbishment	93,000
2012 WPC Renewal and Replacements	639,000
2013 Asset Management Water Main Replacement	223,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	538,000
2013 Buckingham Water Pump Station, Glastonbury	119,000
2013 Communications Systems Upgrades	6,000
2013 CWP-Water Main Replacement Church Street, Hartford	31,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	6,000
2013 Facilities Improvement Program	212,000
2013 Fleet Replacement	251,000
2013 General Purpose Sewer	31,000
2013 General Purpose Water	136,000
2013 HWPCF Cake Storage & Gravity Thickener System	24,000
2013 Information Technology - Applications	202,000
2013 Information Technology- Hardware	1,072,000
2013 Information Technology-SAP/Oracle	755,000
2013 Land Improvements	94,000
2013 Newington PRV Service Area Upgrades	18,000
2013 Paving Program	10,000
2013 Pump Station Upgrades - Brookside Street, Newington	3,000
2013 Pump Station Upgrades - Chateau Woods/High Path Road, Windsor	18,000
2013 Pump Station Upgrades - Harvest Lane, Windsor	18,000
2013 Pump Station Upgrades - Meadow Street, Newington	22,000
2013 Pump Station Upgrades - Mohawk Drive, East Hartford	25,000
2013 Pump Station Upgrades - Motts - Wethersfield	19,000
2013 Radio Frequency Automated Meter Reading	470,000
2013 Rocky Hill Interceptor - Relief Sewer/Capacity Improvements	130,000
2013 Sewer Pump Station Upgrades - Ridge Street, Windsor	27,000
2013 Sewer Replacement - Oakwood Ave, West Hartford	35,000
2013 Sewer Replacement - Pheasant Lane, Bloomfield	20,000
2013 Sewer Replacement/Repairs - 388-390 Farmington Ave, Hartford	26,000
2013 Water Main Replacement Center Street, Hartford	42,000
2013 Water Main Replacement Franklin 13	283,000
2013 Water Main Replacement Jerome Avenue, Bloomfield	324,000

2013 Water Main Replacement Oakwood Avenue, West Hartford	16,000
2013 Water Main Replacement Pitkin Street, East Hartford	14,000
2013 Water Supply Generators	30,000
2013 Wickham Hill Basins, East Hartford	85,000
2013 WPC Equipment & Facilities Refurbishment	18,000
2014 Collinsville WTP Emergency Generator Replacement (BREAKOUT)	4,000
2014 Facilities Improvement Program	69,000
2014 General Purpose Sewer	42,000
2014 General Purpose Water Program	65,000
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	19,000
2014 Hartford WPCF West Primary Settling Tanks	1,051,000
2014 Information Technology	593,000
2014 Kilkenny Water	366,000
2014 Land Improvements	152,000
2014 Levee Protection System Improvements, East Hartford and Hartford	4,000
2014 Paving Program	1,218,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	19,000
2014 Radio Frequency Automated Meter Reading	150,000
2014 Renewable Energy Projects – Sewer Facilities (BREAKOUT)	14,000
2014 Renewable Energy Projects – Water Facilities	52,000
2014 Sewer Extension – Marsh Street, Wethersfield (BREAKOUT)	14,000
2014 Sewer Rehabilitation Program	11,000
2014 Sewer Replacement - Madison Avenue, Hartford	62,000
2014 Various Sewer Pipe Replacement/Rehab – District Wide	187,000
2014 Various Transmission Main Design & Construction	17,000
2014 Wastewater Pump Station Improvements	6,000
2014 Water Main Replacements – West Hartford	4,000
2014 Water Treatment Facilities Upgrades	97,000
2014 WPC Equipment & Facilities Refurbishment	27,000
2014 WPC Renewal and Replacements	830,000
2015 Administrative Facilities Improvement Program	23,000
2015 Construction Services	1,270,000
2015 East Hartford WPCF Compressor, DO Control & SCADA Upgrades	40,000
2015 Engineering Services	878,000
2015 General Purpose Sewer	2,000
2015 General Purpose Water Program	23,000
2015 Hydrant Replacement Program	103,000
2015 Information Systems - Business Transformation SAP Upgrade	75,000
2015 Paving Program	20,000
2015 Sanitary Sewer Easements Acquisitions & Improvements	338,000
2015 Sewer Rehabilitation Program	777,000
2015 Survey & Construction	1,996,000
2015 Technical Services	1,508,000
2015 WPC Equipment & Facilities Improvements	183,000
July 2015 Series C Notes Total	25,000,000

On motion made by Commissioner Hoffman and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

APPROVAL FOR STATE OF CONNECTICUT FINANCING - CWF NO. 657-C – Report 6.

To: Board of Finance for consideration on June 1, 2015

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut for CWF No. 657-C having a principal amount of \$147,992,729.44 and having an interest rate of 2.00%.

The low interest loan and grant will fund the construction of new headworks, dual use primary clarifiers, wet weather treatment disinfectant and odor control at the Hartford

wastewater treatment plant and the construction of modifications and upgrades to the Rocky Hill wastewater treatment plant, including modifications to the biological nutrient removal system for effluent nitrogen reduction, modifications to various parts of the plant for increased hydraulic capacity and upgrades to various parts of the plant which are nearing the end of their respective useful lives.

The State of Connecticut, through the Clean Water Fund Program, will provide \$219,408,066.60 in state funding with approximately \$71,415,337.16 in grants and \$147,992,729.44 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Project Loan and Project Grant Agreement CWF No. 652-C to be entered into with the State of Connecticut (the "Agreement") and any and all Interim Funding Obligations and Project Loan Obligations for CWF No. 657-C in the aggregate amount not to exceed \$147,992,729.44. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Agreement and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

***On motion made by Citizen Member Mulready and duly seconded,
the report was received and the resolution adopted by unanimous
vote of those present.***

DISCUSSION RE: 2014 AUDIT – Report 7.

John Zinzarella, Deputy Chief Executive Officer, Business Services, gave a presentation to the Board of Finance on the 2014 Audit.

ADJOURNMENT

The meeting was adjourned at 5:35 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 9, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
231 Brainard Road, Hartford
Tuesday, June 9, 2015**

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen and Linda King-Corbin (6)

ABSENT: Commissioner Luis Caban, William P. Horan and Richard Mulready (3)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Commissioner Hoffman called the meeting to order at 4:00 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 1, 2015 were approved.

DISCUSSION RE: 2014 COMPREHENSIVE ANNUAL FINANCIAL REPORT – REPORT 4.

Vanessa Rossitto of Blum Shapiro briefed the Board of Finance Committee on the 2014 Comprehensive Annual Financial Report.

Commissioner Salemi entered the meeting at 4:21 PM

ADJOURNMENT

The meeting was adjourned at 5:35 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 25, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
231 Brainard Road, Hartford
Thursday, June 25, 2015**

PRESENT: Commissioners Luis Caban and Allen Hoffman; Citizen Members Ron Angelo, William Cibes and Martin B. Courneen (5)

ABSENT: Commissioners William P. Horan and Pasquale J. Salemi; Citizen Members Linda King-Corbin and Richard Mulready (4)

ALSO

PRESENT: John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
Steven J. Bonafonte, Assistant District Counsel
Erin Ryan, Director of Human Resources
Robert Schwarm, Director of Information Technology
Gerald J. Lukowski, Director of Operations
Rob Constable, Director of Finance
Jenna Lombardo, Controller
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer

CALL TO ORDER

Commissioner Hoffman called the meeting to order at 4:07 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 9, 2015 were approved.

REQUIRED AUDITORS COMMUNICATIONS – FISCAL YEAR 2014 AUDIT – REPORT 4.

Vanessa Risotto of Blum Shapiro presented the required auditor's communications of the closing report for the fiscal year 2014 audit to the committee.

ADJOURNMENT

The meeting was adjourned at 5:05 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

October 5, 2015

Date of Approval

BOARD OF FINANCE
The Metropolitan District
231 Brainard Road, Hartford
Monday, July 13, 2015

PRESENT: Commissioners Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and Richard Mulready (6)

ABSENT: Commissioners Luis Caban and William P. Horan; Citizen Member William Cibes (3)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Erin Ryan, Director of Human Resources
Kelly Shane, Director of Procurement
Robert Schwarm, Director of Information Technology
Gerald J. Lukowski, Director of Operations
Rob Constable, Director of Finance
John S. Mirtle, District Clerk
Stanley Pokora, Manager of Treasury
Robert Zaik, Manager of Labor Relations
Carol Youell, Natural Resources Administrator
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
James Masse, Network Analyst

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:19 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

The approval of meeting minutes of the Board of Finance meeting of June 25, 2015 was postponed.

CAPITAL IMPROVEMENT PROJECT

To: Board of Finance for consideration on July 13, 2015

DESCRIPTION

The project will include but not be limited to the upgrade and/or replacement of computer security software and hardware and any other security enhancements necessary to protect the District from unauthorized access. To build infrastructure to maintain business continuity of data, hardware and software critical for the business in the event of a natural or human-caused disaster. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

PURPOSE

To ensure the continued efficient and effective operation of the District's business applications and enhance the benefits of the system.

Program - Information Technology Security Improvements

Amount
\$5,000,000

Project #
COM.CFP171.01

Fund
5677

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AMENDING AND RESTATING A RESOLUTION APPROVED AT A MEETING OF THE DISTRICT BOARD HELD ON DECEMBER 12, 2011 ENTITLED:

RESOLUTION APPROPRIATING \$5,000,000 FOR INFORMATION TECHNOLOGY SECURITY IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION AND PENDING THE ISSUANCE THEREOF THE MAKING OF TEMPORARY BORROWINGS FOR SUCH PURPOSE

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the upgrade and/or replacement of computer security software and hardware and other security enhancements necessary to protect the District's computer systems from unauthorized access, including the building of infrastructure to maintain business continuity of data, hardware and software critical for the business in the event of a natural or human-caused disaster, and for legal, administrative and other financing costs related thereto.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 3. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 4. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer.

Section 5. In connection with the issuance of Authorized Obligations, the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer.

Section 6. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer.

Section 7. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman, or the Vice-Chairman, and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable

rate based on an index determined by the Chairman, or the Vice-Chairman, and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman, or the Vice-Chairman, and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 8. The Chairman, or the Vice-Chairman, and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the “MSRB”) and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 9. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and anytime after the date of passage of this Resolution in connection with the project with the proceeds of Authorized Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman, or the Vice-Chairman, and the Treasurer or Deputy Treasurer are each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 10. In connection with the issuance of Authorized Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:25 PM

ATTEST:



John S. Mirtle, Esq.
District Clerk

October 5, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, October 5, 2015**

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (9)

ABSENT: Commissioner William P. Horan (1)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Erin Ryan, Director of Human Resources
Kelly Shane, Director of Procurement
Robert Schwarm, Director of Information Technology
Gerald J. Lukowski, Director of Operations
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Chris Levesque, Assistant Manager of Water Treatment and Supply
Cynthia A. Nadolny, Executive Assistant
Steve Halloran, Consultant, Seismic

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:07 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 25, 2015 and July 13, 2015 were approved.

Citizen Member Mulready abstained from the approval of the June 25, 2015 minutes.

**AUTHORIZATION OF SIGNATURE AUTHORITY OF THE VICE CHAIRMAN
AND DEPUTY TREASURER**

To: Board of Finance for consideration on October 5, 2015.

WHEREAS, the District Board has previously resolved on numerous occasions to permit bonds, notes, agreements, applications for loans and/or grants, documents and instruments to be executed and delivered by the Chairman and/or the Treasurer on behalf of the District; and

WHEREAS, the District Board wishes to provide for the ability of the Vice Chairman to execute and deliver bonds, notes, agreements, applications for loans and/or grants, documents and instruments on behalf of the District in the absence or unavailability of the Chairman and to provide for the ability of the Deputy Treasurer to execute and deliver bonds, notes, agreements, applications for loans and/or grants, documents and instruments on behalf of the District in the absence or unavailability of the Treasurer;

THREFORE BE IT RESOLVED:

Section 1. Whenever the District Board has by resolution authorized an action to be taken by the Chairman, in the absence or unavailability of the Chairman, the Vice Chairman is hereby authorized to take such action, including acting to execute and deliver any bonds, notes, agreements, applications for loans and/or grants, documents and instruments on behalf of the District to the same extent and with the same effect as they may be executed and delivered by the Chairman. Any such action by the Vice Chairman shall be conclusive evidence of the absence or unavailability of the Chairman

Section 2. Whenever the District Board has by resolution authorized an action to be taken by the District Treasurer, in the absence or unavailability of the District Treasurer, the Deputy Treasurer is hereby authorized to take such action, including acting to execute and deliver any bonds, notes, agreements, applications for loans and/or grants, documents and instruments on behalf of the District to the same extent and with the same effect as they may be executed and delivered by the District Treasurer. Any such action by the Deputy Treasurer shall be conclusive evidence of the absence or unavailability of the District Treasurer.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

***On motion made by Citizen Member Courneen and duly
seconded, the report was received and the resolution adopted by
unanimous vote of those present.***

**REALLOCATION OF PROCEEDS OF CERTAIN
GENERAL OBLIGATION BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on October 5, 2015.

Staff is seeking authority for the District to reallocate proceeds from the District's \$35, 000,000 General Obligation Bond Anticipation Notes, Series B dated March 19, 2015 from the Clean Water Project to those capital improvement projects set forth on **Exhibit A** attached.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE REALLOCATION OF \$35,000,000 IN GENERAL
OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT**

Proceeds from the District's \$35,000,000 General Obligation Bond Anticipation Notes, Series B dated March 19, 2015 are hereby reallocated, \$30,000,000 from the 2006 Clean Water Project appropriation and bond authorization, and \$5,000,000 from the 2012 Clean Water Project appropriation and bond authorization, to those capital improvement projects set forth on **Exhibit A** attached.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

EXHIBIT A- BAN Reallocation

CIP Appropriation		Appropriation	Reallocation Amount
2005 Water Distribution System Improvements	Water	3,000,000	6,000
2008 Water Supply Facility Improvements	Water	2,200,000	6,000
2008 West Hartford Access and Security Improvements	Water	2,500,000	62,000
2009 Transmission Valve Replacements	Water	3,500,000	13,000
2009 Water Main - Farmington Avenue, Hartford	Water	2,070,000	339,000
2010 CWP Water Main Replacement - Farmington Ave, Hartford	Water	2,330,000	61,000
2010 Dam Safety Improvements - Res #2	Water	1,315,000	2,000
2010 Dam Safety Improvements - Res #3	Water	1,315,000	178,000
2010 Hydraulic Computer Modeling	Water	2,350,000	19,000
2010 Water Facilities Security & Improvement Program	Water	4,492,000	159,000
2010 Water Main Replacement - Bloomfield	Water	400,000	4,000
2010 Water Main Replacement - Hartford	Water	1,000,000	17,000
2010 Water Main Replacement - Wethersfield	Water	600,000	32,000
2011 Design of Water Main Replacements	Water	1,000,000	58,000
2011 Water Main Replacement - Cottage Grove Road, Bloomfield	Water	1,450,000	10,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	Water	3,250,000	20,000
2011 Water Main Replacement - Hartford	Water	3,600,000	271,000
2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloc	Water	1,700,000	22,000
2011 Water Main Replacement - Simmons Road, East Hartford	Water	450,000	82,000
2011 West Hartford Water Treatment Facility North Storage Tank Installation	Water	4,800,000	7,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	Water	4,000,000	305,000
2012 Dam Safety Improvements-Goodwin and Saville	Water	2,040,000	27,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West H	Water	1,868,000	1,000
2012 General Purpose Water	Water	4,000,000	184,000
2012 Paving Program	Water	5,000,000	18,000
2012 Radio Frequency Automated Meter Reading	Water	5,000,000	225,000
2012 Standpipe & Above Grade Storage Tank Projects	Water	4,224,000	53,000
2012 Water Main Replacement - Retreat Avenue, Hartford	Water	4,094,000	10,000
2012 Water Main Replacement-Farmington Avenue, Hartford	Water	3,500,000	15,000
2012 Water Storage Tank Safety Upgrade	Water	839,000	5,000
2012 Water Treatment Facilities Upgrades	Water	2,500,000	55,000
2013 Asset Management Water Main Replacement	Water	4,480,000	138,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	Water	3,050,000	13,000
2013 Buckingham Water Pump Station, Glastonbury	Water	1,740,000	96,000
2013 CWP-Water Main Replacement Church Street, Hartford	Water	370,000	24,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	Water	4,570,000	3,000
2013 General Purpose Water	Water	3,860,000	3,000
2013 Newington PRV Service Area Upgrades	Water	2,330,000	19,000
2013 Paving Program	Water	4,200,000	137,000
2013 Water Main Replacement Center Street, Hartford	Water	330,000	116,000
2013 Water Main Replacement Franklin 13	Water	2,100,000	502,000
2013 Water Main Replacement Jerome Avenue, Bloomfield	Water	1,400,000	33,000
2013 Water Main Replacement Oakwood Avenue, West Hartford	Water	300,000	173,000
2013 Water Supply Generators	Water	350,000	109,000
2013 Wickham Hill Basins, East Hartford	Water	4,980,000	211,000
2014 Collinsville WTP Emergency Generator Replacement	Water	500,000	465,000
2014 General Purpose Water Program	Water	3,500,000	2,115,000
2014 Kilkenny Water	Water	5,000,000	2,536,000
2014 Orchard Street Water Pump Station, Glastonbury	Water	3,000,000	2,000,000
2014 Paving Program	Water	3,000,000	148,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	Water	3,000,000	-
2014 Renewable Energy Projects - Water Facilities	Water	300,000	138,000
2014 Transmission Main Extension - Newington	Water	3,500,000	-
2014 Various Transmission Main Design & Construction	Water	2,100,000	490,000
2014 Water Main Replacement - Wethersfield	Water	3,000,000	5,000
2014 Water Main Replacement Oakwood Avenue, West Hartford	Water	1,550,000	830,000
2014 Water Main Replacements - West Hartford	Water	1,500,000	4,000
2014 Water Supply Generators	Water	2,800,000	2,800,000
2014 Water Treatment Facilities Upgrades	Water	2,300,000	167,000

CIP Appropriation		Appropriation	Reallocation Amount
2014 Wethersfield Water Pump Station, Wethersfield	Water	2,900,000	150,000
2015 Collinsville WTP Emergency Generator Replacement	Water	200,000	35,000
2015 General Purpose Water Program	Water	4,000,000	2,010,000
2015 Hydrant Replacement Program	Water	1,600,000	737,000
2015 Paving Program	Water	2,500,000	2,267,000
2015 Puddletown Booster Water Pump Station, New Hartford	Water	3,300,000	600,000
2015 Radio Frequency Automated Meter Reading Program	Water	3,000,000	304,000
2015 Renewable Energy Projects – Water Facilities	Water	250,000	56,000
2015 Water Rehabilitation Program	Water	1,000,000	555,000
2015 Water Supply Generators	Water	1,100,000	102,000
2015 Water Treatment Facilities Upgrades	Water	1,000,000	5,000
2015 WHWTF Water Storage Improvements	Water	2,900,000	1,316,000
2015 WMR Buckingham Street Area, Hartford	Water	600,000	406,000
2008 Facility and Building Improvements	Combined	1,500,000	18,000
2010 Facility & Equipment Improvements Program	Combined	444,000	7,000
2010 Information Systems Improvements #1	Combined	3,600,000	17,000
2011 Headquarter Renovations	Combined	1,500,000	16,000
2011 Information System Improvements	Combined	700,000	24,000
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communications	Combined	1,000,000	15,000
2012 Facility Improvement Program	Combined	2,500,000	14,000
2012 Headquarters Parking Garage Renovations	Combined	3,095,000	1,160,000
2012 Information Technology	Combined	3,000,000	5,000
2012 Information Technology Security Improvements	Combined	5,000,000	2,005,000
2013 Facilities Improvement Program	Combined	2,000,000	157,000
2013 Fleet Replacement	Combined	1,100,000	14,000
2013 Information Technology - Applications	Combined	5,000,000	23,000
2013 Information Technology- Hardware	Combined	5,000,000	38,000
2013 Information Technology-SAP/Oracle	Combined	5,000,000	548,000
2013 Land Improvements	Combined	1,000,000	81,000
2014 Facilities Improvement Program	Combined	3,000,000	918,000
2014 Land Improvements	Combined	1,000,000	182,000
2015 Administrative Facilities Improvement Program	Combined	1,000,000	10,000
2015 Construction Services	Combined	3,500,000	1,163,000
2015 Engineering Services	Combined	2,500,000	844,000
2015 Information Systems - Business Transformation SAP Upgrade	Combined	5,000,000	235,000
2015 Survey & Construction	Combined	5,000,000	1,982,000
2015 Technical Services	Combined	4,000,000	1,440,000
Total Reallocation			35,000,000

On motion made by Commissioner Caban and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

DEBT ISSUANCE RESOLUTION FOR GENERAL OBLIGATION BONDS ISSUANCE OF UP TO \$38,000,000 IN GENERAL OBLIGATION BONDS

To: Board of Finance for consideration on October 5, 2015.

Staff is seeking authority for the District to issue up to \$38,000,000 in general obligation bonds that will refund \$35,000,000 in existing bond anticipation notes due on November 4, 2015, the proceeds of which have been reallocated to CIP projects, and to provide \$3,000,000 in new money for CIP projects.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING
\$38,000,000 IN GENERAL OBLIGATION BONDS OF THE METROPOLITAN DISTRICT**

Not exceeding \$38,000,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued in for capital improvement projects, to currently refund up to \$35,000,000 Bond Anticipation Notes of the District maturing November 4, 2015 (the "Outstanding Notes") and to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on November 1 in each of the years 2016 through 2035, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

EXHIBIT A - \$38MM GO Bond

CIP Appropriation		Appropriation	Reallocation Amount	New Money	Total Bond Issuance
2005 Water Distribution System Improvements	Water	3,000,000	6,000	-	6,000
2008 Water Supply Facility Improvements	Water	2,200,000	6,000	-	6,000
2008 West Hartford Access and Security Improvements	Water	2,500,000	62,000	-	62,000
2009 Transmission Valve Replacements	Water	3,500,000	13,000	-	13,000
2009 Water Main - Farmington Avenue, Hartford	Water	2,070,000	339,000	-	339,000
2010 CWP Water Main Replacement - Farmington Ave, Hartford	Water	2,330,000	61,000	-	61,000
2010 Dam Safety Improvements - Res #2	Water	1,315,000	2,000	-	2,000
2010 Dam Safety Improvements - Res #3	Water	1,315,000	178,000	-	178,000
2010 Hydraulic Computer Modeling	Water	2,350,000	19,000	-	19,000
2010 Water Facilities Security & Improvement Program	Water	4,492,000	159,000	-	159,000
2010 Water Main Replacement - Bloomfield	Water	400,000	4,000	-	4,000
2010 Water Main Replacement - Hartford	Water	1,000,000	17,000	-	17,000
2010 Water Main Replacement - Wethersfield	Water	600,000	32,000	-	32,000
2011 Design of Water Main Replacements	Water	1,000,000	58,000	-	58,000
2011 Water Main Replacement - Cottage Grove Road, Bloomfield	Water	1,450,000	10,000	-	10,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	Water	3,250,000	20,000	-	20,000
2011 Water Main Replacement - Hartford	Water	3,600,000	271,000	-	271,000
2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloi	Water	1,700,000	22,000	-	22,000
2011 Water Main Replacement - Simmons Road, East Hartford	Water	450,000	82,000	-	82,000
2011 West Hartford Water Treatment Facility North Storage Tank Installation	Water	4,800,000	7,000	-	7,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	Water	4,000,000	305,000	-	305,000
2012 Dam Safety Improvements-Goodwin and Saville	Water	2,040,000	27,000	-	27,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West H	Water	1,868,000	1,000	-	1,000
2012 General Purpose Water	Water	4,000,000	184,000	-	184,000
2012 Paving Program	Water	5,000,000	18,000	-	18,000
2012 Radio Frequency Automated Meter Reading	Water	5,000,000	225,000	-	225,000
2012 Standpipe & Above Grade Storage Tank Projects	Water	4,224,000	53,000	-	53,000
2012 Water Main Replacement - Retreat Avenue, Hartford	Water	4,094,000	10,000	-	10,000
2012 Water Main Replacement-Farmington Avenue, Hartford	Water	3,500,000	15,000	-	15,000
2012 Water Storage Tank Safety Upgrade	Water	839,000	5,000	-	5,000
2012 Water Treatment Facilities Upgrades	Water	2,500,000	55,000	-	55,000
2013 Asset Management Water Main Replacement	Water	4,460,000	138,000	-	138,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	Water	3,050,000	13,000	-	13,000
2013 Buckingham Water Pump Station, Glastonbury	Water	1,740,000	96,000	-	96,000
2013 CWP-Water Main Replacement Church Street, Hartford	Water	370,000	24,000	-	24,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	Water	4,570,000	3,000	-	3,000
2013 General Purpose Water	Water	3,860,000	3,000	-	3,000
2013 Newington PRV Service Area Upgrades	Water	2,330,000	19,000	-	19,000
2013 Paving Program	Water	4,200,000	137,000	-	137,000
2013 Water Main Replacement Center Street, Hartford	Water	330,000	116,000	-	116,000
2013 Water Main Replacement Franklin 13	Water	2,100,000	502,000	-	502,000
2013 Water Main Replacement Jerome Avenue, Bloomfield	Water	1,400,000	33,000	-	33,000
2013 Water Main Replacement Oakwood Avenue, West Hartford	Water	300,000	173,000	-	173,000
2013 Water Supply Generators	Water	350,000	109,000	-	109,000
2013 Wickham Hill Basins, East Hartford	Water	4,980,000	211,000	-	211,000
2014 Collinsville WTP Emergency Generator Replacement	Water	500,000	465,000	-	465,000
2014 General Purpose Water Program	Water	3,500,000	2,115,000	-	2,115,000
2014 Kilkenny Water	Water	5,000,000	2,536,000	-	2,536,000
2014 Orchard Street Water Pump Station, Glastonbury	Water	3,000,000	2,000,000	-	2,000,000
2014 Paving Program	Water	3,000,000	148,000	-	148,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	Water	3,000,000	-	1,500,000	1,500,000
2014 Renewable Energy Projects - Water Facilities	Water	300,000	138,000	-	138,000
2014 Transmission Main Extension - Newington	Water	3,500,000	-	1,500,000	1,500,000
2014 Various Transmission Main Design & Construction	Water	2,100,000	490,000	-	490,000
2014 Water Main Replacement - Wethersfield	Water	3,000,000	5,000	-	5,000
2014 Water Main Replacement Oakwood Avenue, West Hartford	Water	1,550,000	830,000	-	830,000
2014 Water Main Replacements - West Hartford	Water	1,500,000	4,000	-	4,000
2014 Water Supply Generators	Water	2,800,000	2,800,000	-	2,800,000
2014 Water Treatment Facilities Upgrades	Water	2,300,000	167,000	-	167,000
2014 Wethersfield Water Pump Station, Wethersfield	Water	2,900,000	150,000	-	150,000
2015 Collinsville WTP Emergency Generator Replacement	Water	200,000	35,000	-	35,000
2015 General Purpose Water Program	Water	4,000,000	2,010,000	-	2,010,000
2015 Hydrant Replacement Program	Water	1,600,000	737,000	-	737,000
2015 Paving Program	Water	2,500,000	2,267,000	-	2,267,000
2015 Puddletown Booster Water Pump Station, New Hartford	Water	3,300,000	600,000	-	600,000
2015 Radio Frequency Automated Meter Reading Program	Water	3,000,000	304,000	-	304,000
2015 Renewable Energy Projects - Water Facilities	Water	250,000	56,000	-	56,000
2015 Water Rehabilitation Program	Water	1,000,000	555,000	-	555,000
2015 Water Supply Generators	Water	1,100,000	102,000	-	102,000

CIP Appropriation		Appropriation	Reallocation Amount	New Money	Total Bond Issuance
2015 Water Treatment Facilities Upgrades	Water	1,000,000	5,000	-	5,000
2015 WHWTF Water Storage Improvements	Water	2,900,000	1,316,000	-	1,316,000
2015 WMR Buckingham Street Area, Hartford	Water	600,000	406,000	-	406,000
2008 Facility and Building Improvements	Combined	1,500,000	18,000	-	18,000
2010 Facility & Equipment Improvements Program	Combined	444,000	7,000	-	7,000
2010 Information Systems Improvements #1	Combined	3,600,000	17,000	-	17,000
2011 Headquarter Renovations	Combined	1,500,000	16,000	-	16,000
2011 Information System Improvements	Combined	700,000	24,000	-	24,000
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communication	Combined	1,000,000	15,000	-	15,000
2012 Facility Improvement Program	Combined	2,500,000	14,000	-	14,000
2012 Headquarters Parking Garage Renovations	Combined	3,095,000	1,160,000	-	1,160,000
2012 Information Technology	Combined	3,000,000	5,000	-	5,000
2012 Information Technology Security Improvements	Combined	5,000,000	2,005,000	-	2,005,000
2013 Facilities Improvement Program	Combined	2,000,000	157,000	-	157,000
2013 Fleet Replacement	Combined	1,100,000	14,000	-	14,000
2013 Information Technology - Applications	Combined	5,000,000	23,000	-	23,000
2013 Information Technology- Hardware	Combined	5,000,000	38,000	-	38,000
2013 Information Technology-SAP/Oracle	Combined	5,000,000	548,000	-	548,000
2013 Land Improvements	Combined	1,000,000	81,000	-	81,000
2014 Facilities Improvement Program	Combined	3,000,000	918,000	-	918,000
2014 Land Improvements	Combined	1,000,000	182,000	-	182,000
2015 Administrative Facilities Improvement Program	Combined	1,000,000	10,000	-	10,000
2015 Construction Services	Combined	3,500,000	1,163,000	-	1,163,000
2015 Engineering Services	Combined	2,500,000	844,000	-	844,000
2015 Information Systems - Business Transformation SAP Upgrade	Combined	5,000,000	235,000	-	235,000
2015 Survey & Construction	Combined	5,000,000	1,982,000	-	1,982,000
2015 Technical Services	Combined	4,000,000	1,440,000	-	1,440,000
Total Reallocation			35,000,000	3,000,000	38,000,000

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

Linda King-Corbin exited the meeting at 5:47 PM

BUSINESS TRANSFORMATION UPDATE

Steve Halloran and Chris Levesque briefed the Board of Finance Committee on the District's Business Transformation project.

ADJOURNMENT

The meeting was adjourned at 6:01 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 9, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, November 9, 2015**

PRESENT: Commissioners Luis Caban and Allen Hoffman; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioners William P. Horan and Pasquale J. Salemi (2)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Rob Constable, Director of Finance
Erin Ryan, Director of Human Resources
Kelly Shane, Director of Procurement
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Vice Chairman Mulready called the meeting to order at 5:18 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

***On motion made by Commissioner Caban and duly seconded,
the meeting minutes of the Board of Finance meeting of
October 5, 2015 were approved.***

2015 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on November 9, 2015

The 2015 Metropolitan District operating budget is experiencing a deficit in the Legal and Employee Benefits budgets. The Legal deficit is the result of the increased legal services during the year. The Employee Benefits budget deficit is due increased medical claims in 2015.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 801 – Contingencies	<u>\$409,500.00</u>	<u>\$831,200.00</u>	<u>\$1,240,700.00</u>
Total	<u>\$409,500.00</u>	<u>\$831,200.00</u>	<u>\$1,240,700.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2015 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 801 – Contingencies	<u>\$318,080.00</u>	<u>\$745,920.00</u>	<u>\$1,064,000.00</u>
Total	<u>\$318,080.00</u>	<u>\$745,920.00</u>	<u>\$1,064,400.00</u>

To:	General	Water	Total
Department-141 Legal	\$68,600.00	\$71,400.00	\$140,000.00
Department-701 Employee Benefits	<u>249,480.00</u>	<u>674,520.00</u>	<u>924,000.00</u>
Total	<u>\$318,080.00</u>	<u>\$745,920.00</u>	<u>\$1,064,000.00</u>

Respectfully Submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

CLOSEOUT OF WATER, SEWER AND COMBINED PROGRAMS

WATER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration on November 9, 2015

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CWN0001187	2007 General Purpose Water Program	2007	2207	Various	\$ 1,258,700.00	\$ 1,141,967.21	\$ 116,732.79
	Subtotal BA 2207				\$ 1,258,700.00	\$ 1,141,967.21	\$ 116,732.79
WAT.CW1233.20	2008 GPW WMR REPL. VARIOUS WSFLD	2008	2208	Wethersfield	\$ 210,000.00	\$ -	\$ 210,000.00
WAT.CW1236.01	Canal Road Storage Tank #2 Improve - OLD	2008	2208	West Hartford	\$ 1,600,000.00	\$ 1,117,734.02	\$ 482,265.98
WAT.CW1236.02	Canal Road Storage Tank #2 Improve - NEW	2008	2208	West Hartford	\$ 482,265.98	\$ 158,660.52	\$ 323,605.46
WAT.CW1239.01	2008 Land Acquisition	2008	2208	Various	\$ 550,000.00	\$ 525,785.71	\$ 24,214.29
	Subtotal BA 2208				\$ 2,842,265.98	\$ 1,802,180.25	\$ 1,040,085.73
CWN0001152	Bloomfield Water Treatment Improvements	2005	2311	Bloomfield	\$ 3,600,000.00	\$ 1,978,451.33	\$ 1,621,548.67
	Subtotal BA 2311				\$ 3,600,000.00	\$ 1,978,451.33	\$ 1,621,548.67
CWN0001153	Water Distribution System Improvement	2005	2312	Various	\$ 3,000,000.00	\$ 2,091,771.24	\$ 908,228.76
	Subtotal BA 2312				\$ 3,000,000.00	\$ 2,091,771.24	\$ 908,228.76
WAT.CW1201.01	2008 Radio Frequency Automated Meter Rea	2008	2318	Various	\$ 5,000,000.00	\$ 4,997,726.65	\$ 2,273.35
	Subtotal BA 2318				\$ 5,000,000.00	\$ 4,997,726.65	\$ 2,273.35
CWN0001169	2006 - Water Pump Station Improvement	2006	2319	Various	\$ 1,500,000.00	\$ 1,194,683.35	\$ 305,316.65
	Subtotal BA 2319				\$ 1,500,000.00	\$ 1,194,683.35	\$ 305,316.65
CWN0001194	2006 Water Distribution Imps Oak St. II	2006	2320	West Hartford	\$ 3,800,000.00	\$ 1,986,728.73	\$ 1,813,271.27
	Subtotal BA 2320				\$ 3,800,000.00	\$ 1,986,728.73	\$ 1,813,271.27
CWN0001171	2006- 36" Water Main Replacement	2006	2321	Hartford	\$ 4,700,000.00	\$ 2,964,766.42	\$ 1,735,233.58
	Subtotal BA 2321				\$ 4,700,000.00	\$ 2,964,766.42	\$ 1,735,233.58
CWN0001185	2007 Water Supply Facility Improvements	2007	2324	Barkhamsted	\$ 1,450,000.00	\$ 1,449,894.96	\$ 105.04
	Subtotal BA 2324				\$ 1,450,000.00	\$ 1,449,894.96	\$ 105.04
CWN0001176	2007 Treatment Facility Upgrades	2007	2325	Various	\$ 1,100,000.00	\$ 1,100,000.00	\$ -
	Subtotal BA 2325				\$ 1,100,000.00	\$ 1,100,000.00	\$ -
CWN0001186	2007 Bloomfield Treatment Facility Imps	2007	2327	Bloomfield	\$ 5,000,000.00	\$ 4,776,715.21	\$ 223,284.79
	Subtotal BA 2327				\$ 5,000,000.00	\$ 4,776,715.21	\$ 223,284.79
WAT.CW1229.01	Planning & Testing	2008	2329	Various	\$ 600,000.00	\$ 184,011.80	\$ 415,988.20
	Subtotal BA 2329				\$ 600,000.00	\$ 184,011.80	\$ 415,988.20
WAT.CW1230.01	CSO - Related Assets	2008	2330	Various	\$ 1,540,000.00	\$ 541,506.49	\$ 998,493.51
WAT.CW1234.01	CSO - Related Assets	2008	2330	Various	\$ 188,605.00	\$ 142,321.97	\$ 46,283.03
WAT.CW1287.01	2008 CSO Assets Burton St. WMR	2008	2330	Various	\$ 3,264,326.25	\$ 2,497,156.09	\$ 767,170.16
	Subtotal BA 2330				\$ 4,992,931.25	\$ 3,180,984.55	\$ 1,811,946.70
CWN0001182	2007 A M Non-CSO Buckingham St Htfd	2007	2331	Hartford	\$ 1,030,000.00	\$ 52,637.37	\$ 977,362.63
CWN0001183	2007 A M Non-CSO Church St Htfd	2007	2331	Hartford	\$ 403,000.00	\$ 246,704.22	\$ 156,295.78
WAT.CW1212.01	2008 A M Non-CSO Tunxis Avenue, Bloom	2008	2331	Bloomfield	\$ 1,749,000.00	\$ 1,460,317.31	\$ 288,682.69
WAT.CW1237.01	Cold Spring Drive, Bloomfield	2008	2331	Bloomfield	\$ 1,500,000.00	\$ 522,894.18	\$ 977,105.82
	Subtotal BA 2331				\$ 4,682,000.00	\$ 2,282,553.08	\$ 2,399,446.92
WAT.CW1210.01	2008 East Farmington Water Main Install	2008	2335	Farmington	\$ 3,500,000.00	\$ 1,191,745.13	\$ 2,308,254.87
	Subtotal BA 2335				\$ 3,500,000.00	\$ 1,191,745.13	\$ 2,308,254.87
WAT.CW1196.01	2008 Filtered Water Basin Interconnectio	2008	2336	West Hartford	\$ 2,000,000.00	\$ 1,497,200.82	\$ 502,799.18
	Subtotal BA 2336				\$ 2,000,000.00	\$ 1,497,200.82	\$ 502,799.18
	Total Water Program				\$ 49,025,897.23	\$ 33,821,380.73	\$ 15,204,516.50

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

SEWER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration on November 9, 2015

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CSN0001058	Clsd2003 SEWER REHABILITATION PROJECTS	2003	5103	Various	\$ 260,000.00	\$ 161,156.55	\$ 98,843.45
CSN0001112	Clsd 2003 SRP LaSalle Road West Hartford	2003	5103	West Hartford	\$ 80,000.00	\$ 3,113.73	\$ 76,886.27
	Subtotal BA 5103				\$ 340,000.00	\$ 164,270.28	\$ 175,729.72
CSN0001101	2006 -GPS Reserve	2006	5106	Various	\$ 300,000.00	\$ 195,373.83	\$ 104,626.17
CSN0001107	2006-GPS West Normandy Drive, WH	2006	5106	West Hartford	\$ 215,000.00	\$ 107,122.11	\$ 107,877.89
CSN0001111	2006-GPSP Huyshoppe St. Area Rehab	2006	5106	Hartford	\$ 2,825.00	\$ 2,825.00	\$ -
CSN0001113	Clsd2006 GPS-Backwater Valve Replacement	2006	5106	Various	\$ 300,000.00	\$ 290,500.09	\$ 9,499.91
WWA.CS1189.01	2006 GPS University of Htd PS	2006	5106	Hartford	\$ 347,175.00	\$ 166,224.05	\$ 180,950.95
	Subtotal BA 5106				\$ 1,165,000.00	\$ 762,045.08	\$ 402,954.92
WWA.CS1136.20	2008 GPS Fishfry Pump Station	2008	5108	Hartford	\$ 267,189.00	\$ 189,947.45	\$ 77,241.55
WWA.CS1142.01	2008 Curcombe Street WWPS - Hartford	2008	5108	Hartford	\$ 800,000.00	\$ 12,897.24	\$ 787,102.76
WWA.CS1147.01	Backwater Valve Program	2008	5108	Various	\$ 350,000.00	\$ 238,310.00	\$ 111,690.00
	Subtotal BA 5108				\$ 1,417,189.00	\$ 441,154.69	\$ 976,034.31
LTC0000002	CSO ABATEMENT-(82-2)	2006	5200	Wethersfield	\$ 5,000,000.00	\$ 4,805,057.64	\$ 194,942.36
LTC0000003	Wethersfield Cove	2006	5200	Wethersfield	\$ 5,000,000.00	\$ 4,573,586.47	\$ 426,413.53
	Subtotal BA 5200				\$ 10,000,000.00	\$ 9,378,644.11	\$ 621,355.89
CSA0000406	MOUNTAIN FARMS,WH	1999	5630	West Hartford	\$ 2,257,930.00	\$ 116,749.98	\$ 2,141,180.02
CSA0000417	CLSD 2002 ASSESSABLE SEWER PROGRAM	2002	5630	Various	\$ 87,000.00	\$ 50,869.40	\$ 36,130.60
CSA0000421	2003 TUNXIS ROAD, WEST HARTFORD	2003	5630	West Hartford	\$ 800,000.00	\$ 166,572.39	\$ 633,427.61
CSA0000423	Clsd2004 Assessable Sewer Program	2004	5630	Various	\$ 81,000.00	\$ 9,956.00	\$ 71,044.00
CSA0000430	2000 ASP-RESERVOIR #6-OUTLET SEWER	2000	5630	Bloomfield	\$ 1,165,500.00	\$ 937,387.74	\$ 228,112.26
WWA.CSA440.01	Clsd2008 Assessable Sewer Program Admin.	2008	5630	Various	\$ 300,000.00	\$ 149,916.35	\$ 150,083.65
WWA.CSA441.01	Assessable Sewer Projects	2008	5630	Various	\$ 2,600,000.00	\$ 1,837,665.13	\$ 762,334.87
	Subtotal BA 5630				\$ 7,291,430.00	\$ 3,269,116.99	\$ 4,022,313.01
CSN0001048	SILAS DEANE HIGHWAY WWPS (Executive)	2002	5708	Wethersfield	\$ 2,000,000.00	\$ 580.00	\$ 1,999,420.00
	Subtotal BA 5708				\$ 2,000,000.00	\$ 580.00	\$ 1,999,420.00
CSN0001049	2002 UPPER ALBANY AREA CSO-PHASE I CONST	2002	5709	Hartford	\$ 4,360,000.00	\$ 4,213,417.39	\$ 146,582.61
	Subtotal BA 5709				\$ 4,360,000.00	\$ 4,213,417.39	\$ 146,582.61
CSN0001092	Inflow & Infiltration Master Plan	2005	5720	Various	\$ 5,000,000.00	\$ 4,271,536.92	\$ 728,463.08
	Subtotal BA 5720				\$ 5,000,000.00	\$ 4,271,536.92	\$ 728,463.08
CSN0001104	2006-Airport Rd. Pump Station Imp.	2006	5726	Hartford	\$ 1,000,000.00	\$ 882,500.81	\$ 117,499.19
	Subtotal BA 5726				\$ 1,000,000.00	\$ 882,500.81	\$ 117,499.19
	Total Sewer Program				\$ 32,573,619.00	\$ 23,383,266.27	\$ 9,190,352.73

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

COMBINED CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration on November 9, 2015

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
COM.CFP136.01	2008 Board Room Communication Improvemen	2008	5650	Hartford	\$ 300,000.00	\$ 4,279.00	\$ 295,721.00
	Subtotal BA 5650				\$ 300,000.00	\$ 4,279.00	\$ 295,721.00
COM.CFP133.01	2008 District Facility Improvements	2008	5651	Various	\$ 600,000.00	\$ 590,551.30	\$ 9,448.70
COM.CFP147.01	Upgrade Motor Oil Dispensing System	2008	5651	Various	\$ 400,000.00	\$ 272,054.00	\$ 127,946.00
	Subtotal BA 5651				\$ 1,000,000.00	\$ 862,605.30	\$ 137,394.70
	Total Combined Program				\$ 1,300,000.00	\$ 866,884.30	\$ 433,115.70

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:27 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 16, 2015

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, November 16, 2015**

PRESENT: Commissioners Luis Caban, Allen Hoffman and Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioner William P. Horan and Citizen Member Linda King-Corbin (2)

ALSO

PRESENT: Scott Jellison, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
Rob Constable, Director of Finance
Sue Negrelli, Director of Engineering
Shereese Rodgers, Financial Analyst
John S. Mirtle, District Clerk
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Vice Chairman Mulready called the meeting to order at 4:06 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of the Board of Finance meeting of November 9, 2015 were approved.

APPOINTMENT OF AUDITORS FOR FISCAL YEAR 2015

To: Board of Finance for consideration on November 16, 2015

The financial auditors are appointed annually by the MDC Board of Finance. In 2014, the MDC solicited competitive proposals from qualified auditing firms on the State of Connecticut Department of Administrative Services contract and awarded a one-year contract, with the option for two one year renewals to the firm of Blum, Shapiro & Company P.C.. Following past practice, annually, the Board of Finance has reappointed the incumbent auditor in the interim

years, although this practice is not obligatory. The single-year term has been retained so that performance of the auditor may be evaluated annually; with the option of change should the finding be negative.

Accordingly, it recommended that the Board of Finance appoint the firm of Blum, Shapiro & Company, P.C. to conduct the Fiscal 2015 audit.

If, after discussion, it is the consensus of the Board of Finance that the firm of Blum, Shapiro & Company, P.C. be appointed for fiscal year 2015, it may be

Voted: That the Board of Finance appoints the firm of Blum, Shapiro & Company, P.C., to audit the financial statements of the Metropolitan District for the year ending December 31, 2015, based upon the hourly rate fee schedule contained within State of Connecticut Department of Administrative Services Contract, not to exceed \$125,000.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - CAPITAL IMPROVEMENT BUDGET

To: Board of Finance for consideration on November 16, 2015

It is **RECOMMENDED** that it be

Voted: That the Board of Finance accepts and approves a Capital Improvement Budget for 2016 in the total amount of \$63,750,000 in appropriations to be funded from bonds, note proceeds or other sources as follows:

APPROPRIATIONS

Wastewater

Assessable Sewer Program	\$ 300,000
General Purpose Sewer	500,000
Hartford WPCF - Air Permit Compliance Upgrades	4,500,000
Paving Program	1,400,000
Private Property Inflow Disconnect Program	50,000
Sewer Rehabilitation Program	3,600,000
Various Sewer Pipe Replacement/Rehab – District Wide	1,000,000
WPC Electrical Systems Upgrades	3,300,000
WPC Equipment & Facilities Improvements	2,100,000
WPC Plant Infrastructure Renewal and Replacements	3,200,000

Total Wastewater **\$ 19,950,000**

Water

General Purpose Water Program	\$ 3,000,000
Bloomfield Transmission Main Extension	8,000,000
WMR Simmons Road Area, East Hartford	3,800,000
Paving Program	3,000,000
Radio Frequency Automated Meter Reading Program	1,500,000
WMR Garden Street Area, Wethersfield	1,000,000
Land Acquisition for Water Facilities, Supply and Treatment	500,000
Water Supply Improvements - Raw Water Transmission Mains	500,000

Total Water **\$21,300,000**

Combined

Construction Services	\$ 3,500,000
Engineering Services	2,500,000
Fleet Replacement	1,300,000
Administrative Facilities Improvement Program	1,000,000
Technical Services	3,400,000
Information Systems I/T Upgrades	5,000,000
Underground Storage Tanks - Removal & Replacement	800,000
Survey & Construction	5,000,000

Total Combined **\$ 22,500,000**

Wastewater, Water, Combined Total **\$ 63,750,000**

Further Voted: That the Board of Finance recommends to the District Board passage of the following resolutions:

AUTHORIZATION TO ISSUE GENERAL OBLIGATION BONDS NOT TO EXCEED \$63,750,000

WHEREAS, the District Board has resolved today to appropriate and issue Bonds for those capital improvements projects numbered 1- 26, inclusive; and

WHEREAS, the District Board wishes to determine the form, date or dates, maturities, manner of sale and other details concerning such bonds;

Now THEREFORE BE IT RESOLVED:

Section 1. To meet the appropriations for the projects set forth in the 2016 CIP Resolutions Nos. 1- 26 inclusive (the "Resolutions"), bonds of the District are authorized in the respective amounts set forth in such Resolutions to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 2. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in

fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 3. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder and under the Resolutions ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 4. In connection with the issuance of Authorized Obligations and interim funding obligations in anticipation of project loan obligations and project loan obligations under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Drinking Water Program" ("Drinking Water Obligations") or under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Clean Water Fund Program" ("Clean Water Fund Obligations"), the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 5. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 6. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 7. The Chairman or Vice Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any

agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 8. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Resolution in connection with the Resolutions with the proceeds of Authorized Obligations, Drinking Water Obligations or Clean Water Fund Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman or Vice Chairman and the Treasurer or Deputy Treasurer is each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 9. In connection with the issuance of Authorized Obligations and Drinking Water Obligations or Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

2016 CIP PROJECT RESOLUTION NO. 1

RESOLUTION APPROPRIATING AN ADDITIONAL \$300,000 FOR THE ASSESSABLE SEWER PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$800,000

RESOLVED:

Section 1. An additional sum of \$300,000 is hereby appropriated for the extension of existing sanitary sewers resulting from property owner petitions for public sewer service within the District's sewer service area, including design, construction, project administration, legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Assessable Sewer Program appropriation and bond authorization for \$500,000 (SCS.SR0014.01). The total appropriation for this project will now be \$800,000.

Section 2. To meet said appropriation an additional \$300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 2

RESOLUTION APPROPRIATING AN ADDITIONAL \$500,000 FOR THE GENERAL PURPOSE SEWER PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,000,000

RESOLVED:

Section 1. An additional sum of \$500,000 is hereby appropriated for the General Purpose Sewer Program including planning, design and construction of the replacement and/or rehabilitation of existing sewer mains, pump stations, and any related collection system appurtenances at various locations District wide as a result of infrastructure exceeding its

useful life, and for legal, administrative and other financing costs related thereto. Such Projects may also include electrical, mechanical, or renewable energy upgrades at District facilities. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 General Purpose Sewer Program appropriation and bond authorization for \$2,500,000 (SCS.SR0015.04). The total appropriation for this project will now be \$3,000,000.

Section 2. To meet said appropriation an additional \$500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 3

RESOLUTION APPROPRIATING \$4,500,000 FOR THE HARTFORD WPCF AIR
PERMIT COMPLIANCE AND AUTHORIZING THE ISSUANCE OF \$4,500,000
BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,500,000 is hereby appropriated for the design and construction of facilities to allow the Hartford Water Pollution Control Facility to comply with new Environmental Protection Agency and Connecticut Department of Energy and Environmental Protection air quality regulations, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 4

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,400,000 FOR THE
PAVING PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL

**\$1,400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR
A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,900,000**

RESOLVED:

Section 1. An additional sum of \$1,400,000 is hereby appropriated for pavement restoration of paved and unpaved areas including sidewalks, spoil material disposal and materials from stock, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Paving Program appropriation and bond authorization for \$2,500,000 (SCS.SR0015.08). The total appropriation for this project will now be \$3,900,000.

Section 2. To meet said appropriation an additional \$1,400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 5

RESOLUTION APPROPRIATING AN ADDITIONAL \$50,000 FOR THE PRIVATE PROPERTY INFLOW DISCONNECT PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$50,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$150,000

RESOLVED:

Section 1. An additional sum of \$50,000 is hereby appropriated for the installation of sump pumps to allow for the discharge of water to the outside lawn area and to disconnect footing drains, floor drains and roof leader drains from the sanitary system and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Private Property Inflow Disconnect Program appropriation and bond authorization for \$100,000 (SCS.EQ0014.02). The total appropriation for this project will now be \$150,000.

Section 2. To meet said appropriation an additional \$50,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged

therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 6

RESOLUTION APPROPRIATING \$3,600,000 FOR THE SEWER REHABILITATION PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,600,000 is hereby appropriated for the conduct of sewer system investigations to support the design and construction of rehabilitation and replacement of deteriorating segments of the District's sewer infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by

the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 7

RESOLUTION APPROPRIATING \$1,000,000 FOR VARIOUS SEWER PIPE REPLACEMENT/REHAB – DISTRICT WIDE AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for the design and construction of sewer system upgrades, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure located District-wide including survey, sewer easement clearing, closed caption TV inspection, the replacement, rehabilitation and or upgrade of District infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms,

details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 8

RESOLUTION APPROPRIATING \$3,300,000 FOR WPCF ELECTRICAL UPGRADES AND AUTHORIZING THE ISSUANCE OF \$3,300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,300,000 is hereby appropriated for the design and construction of a variety of renewals and replacements the four water pollution control facilities to modernize existing systems and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date,

final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 9

RESOLUTION APPROPRIATING AN ADDITIONAL \$2,100,000 FOR WPC EQUIPMENT & FACILITIES IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$2,100,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,700,000

RESOLVED:

Section 1. An additional sum of \$2,100,000 is hereby appropriated for the rehabilitation of multiple water pollution control assets to improve operational readiness/reliability, safety posture, increase wastewater processing capabilities and add asset life, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 WPC Equipment & Facilities Improvements appropriation and bond authorization for \$2,600,000 (WPC.FA0015.01). The total appropriation for this project will now be \$4,700,000.

Section 2. To meet said appropriation \$2,100,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements

and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 10

RESOLUTION APPROPRIATING AN ADDITIONAL \$3,200,000 FOR WPC PLANT INFRASTRUCTURE RENEWAL AND REPLACEMENT AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$3,200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,500,000

RESOLVED:

Section 1. An additional sum of \$3,200,000 is hereby appropriated for the design and construction of a variety of renewal and replacements at the four water pollution control facilities to modernize existing systems, including design improvements for the Hartford WPCF BNR III, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 WPC Plant Infrastructure Renewal and Replacements appropriation and bond authorization for \$1,300,000 (WPC.FA0015.02). The total appropriation for this project will now be \$4,500,000.

Section 2. To meet said appropriation an additional \$3,200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq.

of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 11

RESOLUTION APPROPRIATING \$3,000,000 FOR THE GENERAL PURPOSE WATER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,000,000 is hereby appropriated for the design and construction of replacement of existing water mains, system-wide equipment/infrastructure improvements (excluding vehicles), electrical, mechanical and renewable energy upgrades at District facilities, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of

Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 12

RESOLUTION APPROPRIATING \$8,000,000 FOR THE BLOOMFIELD TRANSMISSION MAIN EXTENSION AND AUTHORIZING THE ISSUANCE OF \$8,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$8,000,000 is hereby appropriated for the design, construction, inspection and associated work to extend a transmission main from Burr Road to Woodland Avenue in Bloomfield, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$8,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet

any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 13

RESOLUTION APPROPRIATING \$3,800,000 FOR THE WATER MAIN REPLACEMENT – SIMMONS ROAD AREA, EAST HARTFORD AND AUTHORIZING THE ISSUANCE OF \$3,800,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,800,000 is hereby appropriated for the design, construction, inspection and associated work to replace water mains in the Simmons Road area of East Hartford, including those in Gold Street, Clement Road, Simmons Road, Cumberland Drive, Gould Drive and Gould Circle, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,800,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District

to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 14

RESOLUTION APPROPRIATING \$3,000,000 FOR THE PAVING PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,000,000 is hereby appropriated for pavement restoration of paved and unpaved areas including sidewalks, spoil material disposal and materials from stock, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District

to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 15

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,500,000 FOR THE RADIO FREQUENCY AUTOMATED METER READING PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,500,000

RESOLVED:

Section 1. An additional sum of \$1,500,000 is hereby appropriated for the radio frequency meter program, standardizing and replacing radio frequency meters and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Radio Frequency Automated Meter Reading Program appropriation and bond authorization for \$2,000,000 (WDS.MT0015.01). The total appropriation for this project will now be \$4,500,000.

Section 2. To meet said appropriation an additional \$1,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of

such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 16

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,000,000 FOR WATER MAIN REPLACEMENT – GARDEN STREET AREA, WETHERSFIELD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,000,000

RESOLVED:

Section 1. An additional sum of \$1,000,000 is hereby appropriated for the design and construction of water main replacements in the Garden Street area in Wethersfield, including, but are not limited to water main replacements in Garden Street, Lincoln Road, Garden Court, Dorchester Road, and Deerfield Road and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Water Main Replacement – Garden (WDS.TM0215.01). The total appropriation for this project will now be \$3,000,000.

Section 2. To meet said appropriation an additional \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 17

RESOLUTION APPROPRIATING AN ADDITIONAL \$500,000 FOR LAND ACQUISITION FOR WATER FACILITIES, SUPPLY AND TREATMENT AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$1,500,000

RESOLVED:

Section 1. An additional sum of \$500,000 is hereby appropriated for identification, negotiation and acquisition of land and/or easements to preserve watershed lands or for water treatment, transmission and distribution facilities including but not limited to treatment plants, pump stations, storage tanks, specialty valves and piping within the District's water service

area, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Land Acquisition for Water Facilities, Supply & Treatment appropriation and bond authorization for \$1,000,000 (WDS.LA0015.01). The total appropriation for this project will now be \$1,500,000.

Section 2. To meet said appropriation an additional \$500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 18

RESOLUTION APPROPRIATING \$500,000 FOR WATER SUPPLY IMPROVEMENTS - RAW WATER TRANSMISSION MAINS AND AUTHORIZING THE ISSUANCE OF \$500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$500,000 is hereby appropriated for improvements and upgrades to raw and filter water pipeline and transmission main infrastructure, including improvements to manholes, pipes, valves, gates and supporting structures, the installation of conduits and/or duct bank for controls, various instrumentation and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman, or in his absence the Vice-Chairman, and the Treasurer, or in his absence the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2016 CIP PROJECT RESOLUTION NO. 19

RESOLUTION APPROPRIATING \$3,500,000 FOR CONSTRUCTION SERVICES
AND AUTHORIZING THE ISSUANCE OF \$3,500,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,500,000 is hereby appropriated for the costs of the management of District's capital improvement projects including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 20

RESOLUTION APPROPRIATING \$2,500,000 FOR ENGINEERING SERVICES
AND AUTHORIZING THE ISSUANCE OF \$2,500,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,500,000 is hereby appropriated for developing and designing the District's capital improvement projects, including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 21

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,300,000 FOR FLEET
REPLACEMENT AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL
\$1,300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR
A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$2,100,000

RESOLVED:

Section 1. An additional sum of \$1,300,000 is hereby appropriated for the replacement of transportation and power operated equipment, the purchase of stationary generators, engines and emergency response equipment, and the installation of global positioning systems and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Fleet Replacement appropriation and bond authorization for \$800,000 (COM.VE0015.01). The total appropriation for this project will now be \$2,100,000.

Section 2. To meet said appropriation an additional \$1,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 22

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,000,000 FOR THE ADMINISTRATION FACILITIES IMPROVEMENT PROGRAM AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$2,000,000

RESOLVED:

Section 1. An additional sum of \$1,000,000 is hereby appropriated for the replacement and/or upgrade to the District's deteriorating Administration facilities which include building improvements, site improvements, equipment improvements and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2015 Administration Facilities Improvement Program appropriation and bond authorization for \$1,000,000 (COM.BL0015.01). The total appropriation for this project will now be \$2,000,000.

Section 2. To meet said appropriation an additional \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 23

RESOLUTION APPROPRIATING \$5,000,000 FOR INFORMATION TECHNOLOGY AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the purchase, upgrade and/or replacement of computer related infrastructure and/or software and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 24

RESOLUTION APPROPRIATING \$800,000 FOR UNDERGROUND STORAGE TANKS AND AUTHORIZING THE ISSUANCE OF \$800,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$800,000 is hereby appropriated for the design, installation, construction and remediation for the removal of two existing 10,000 gallon underground storage bulk fuel tanks and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$800,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 25

RESOLUTION APPROPRIATING \$5,000,000 FOR SURVEY & CONSTRUCTION
AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the survey and construction inspection of all water and sewer projects within the District's service area, including projects installed under District contract and developer permit agreements and legal, administrative other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2016 CIP PROJECT RESOLUTION NO. 26

RESOLUTION APPROPRIATING \$3,400,000 FOR TECHNICAL SERVICES AND
AUTHORIZING THE ISSUANCE OF \$3,400,000 BONDS OF THE DISTRICT TO
MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,400,000 is hereby appropriated for technical support to all of the District's capital improvement projects including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - BUDGET EXPENDITURES

To: Board of Finance for consideration on November 16, 2015

It is **RECOMMENDED** that it be:

Voted: That the estimated 2016 budget expenditures in the total amount of \$149,287,400 be referred to the District Board for acceptance and approval as follows:

Budget Appropriations	Water	Sewer	Total
District Board	122,700	117,800	240,500
Executive Office	340,100	326,800	666,900
Administrative Services	110,700	106,300	217,000
Legal	1,000,000	960,700	1,960,700
Human Resources	758,000	728,300	1,486,300
Information Technology	4,743,600	2,336,300	7,079,900
Finance	2,854,400	2,742,400	5,596,800
Environment, Health and Safety	463,700	445,500	909,200
Engineering and Planning	509,800	489,800	999,600
Customer Service	2,531,600	1,304,100	3,835,700
Operating Office	400,400	384,800	785,200
Operations	8,154,600	2,718,200	10,872,800
Laboratory Services	933,100	861,400	1,794,500
Water Pollution Control	-	17,435,400	17,435,400
Maintenance	5,903,300	5,672,000	11,575,300
Water Treatment & Supply	8,724,400	-	8,724,400
Patrol	1,653,100	-	1,653,100
Debt Service	22,708,200	20,865,100	43,573,300
Employee Benefits	13,473,600	4,985,900	18,459,500
General Insurance	2,893,600	1,240,200	4,133,800
Taxes and Fees	3,010,000	-	3,010,000
Special Agreements and Programs	1,954,300	1,272,300	3,226,600
Riverfront Park Systems	1,050,900	-	1,050,900
Total Water and Sewer Budget	84,294,100	64,993,300	149,287,400

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - BUDGET REVENUES

To: Board of Finance for consideration on November 16, 2015

It is **RECOMMENDED** that it be

Voted: That the 2016 Budget Revenues in the total amount of \$149,287,400 be referred to the District Board for acceptance and approval as follows:

Water Revenues

Operating Revenues

Sale of Water	\$74,015,300
Other Operating Revenues	3,663,700
Subtotal Operating Revenues	<u>77,679,000</u>

Non-Operating Revenues	5,581,600
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Other Financing Sources

Contributions from (to Other Funds)	<u>1,033,500</u>
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Total Source of Revenues and Other Financing Sources – Water Operations	<u><u>\$84,294,100</u></u>
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Sewer Revenues

Operating Revenues

Tax on Member Municipalities	\$38,944,300
Revenue from Other Government Agencies	3,963,400
Other Sewer Revenues	11,854,700
Sewer User Charge Revenues	6,348,800
Subtotal Operating Revenues	<u>61,111,200</u>

Other Financing Sources

Contributions/Transfers from Other Funds	<u>3,882,100</u>
Subtotal Other Financing Sources	<u>3,882,100</u>

Total Source of Revenues and Other Financing Sources – Sewer Operations	<u><u>\$64,993,300</u></u>
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Total Source of Revenues and Other Financing Sources – Water and Sewer Operations	\$149,287,400
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Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - HYDROELECTRIC EXPENDITURES AND REVENUES

To: Board of Finance for consideration on November 16, 2015

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board for acceptance and approval an appropriation of \$1,143,700 for the operation of the Hydroelectric Program.

Further Voted: That the Board of Finance recommends to the District Board for acceptance and approval estimated Hydroelectric revenues of \$1,143,700 in support of operations as follows:

Power Sales	\$ 1,139,700
Interest Income	4,000
Designated from Surplus	<u>0</u>
Total Hydroelectric	<u>\$ 1,143,700</u>

Respectfully submitted,

Scott W. Ellison
Chief Executive Officer

On motion made by Citizen Member Cibes and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

REFERRAL OF PROPOSED 2016 BUDGET

To: Board of Finance for consideration on November 16, 2015

Upon disposition of the preceding votes, it is **RECOMMENDED** that it be

Voted: That, in compliance with Section 3-7 of the Charter of The Metropolitan District, the accompanying budget of said District for the fiscal year 2016, as set forth in the tabulations entitled "Budget for The Metropolitan District for the Fiscal Year 2016," after publication as required by law, shall be referred to the District Board at its December meeting, for acceptance and approval.

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and referred to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - TAX ON MEMBER MUNICIPALITIES

To: Board of Finance for consideration on November 16, 2015

A Fiscal Year 2016 Tax Levy on The Metropolitan District's member municipalities in the amount of \$38,944,300 is recommended in support of the proposed 2016 budget. In accordance with the District Board's policy, taxes may be paid in quarterly installments. To coincide with the fiscal year cycle (July 1 – June 30) adhered to by the member municipalities, the quarterly tax payments are unbalanced. The amount of the tax due in the first half of 2016 will be equivalent to 50% of the total 2015 tax levy. This amount (when paid) will be subtracted from the total 2016 tax levy: the balance is the amount due in the second half of the year.

Apportionment of the Fiscal Year 2016 tax among the member municipalities and the amount due on each installment will be as follows:

Tax History by Town	2012	2013	2014	2015	2016
Hartford	\$9,472,000	\$9,955,500	\$10,374,400	\$10,298,600	\$10,174,900
East Hartford	\$3,856,000	\$3,964,500	\$4,213,200	\$4,490,100	\$4,762,000
Newington	\$2,888,200	\$3,014,900	\$3,132,300	\$3,287,300	\$3,508,400
Wethersfield	\$2,682,500	\$2,756,900	\$2,824,400	\$3,022,000	\$3,207,700
Windsor	\$2,956,200	\$3,026,500	\$3,111,900	\$3,222,600	\$3,404,700
Bloomfield	\$2,488,900	\$2,584,900	\$2,612,500	\$2,752,400	\$2,936,000
Rocky Hill	\$1,941,700	\$2,011,100	\$2,089,100	\$2,153,700	\$2,239,700
West Hartford	\$7,207,700	\$7,485,100	\$7,798,800	\$8,219,700	\$8,710,900
Total	\$33,493,200	\$34,799,400	\$36,156,600	\$37,446,400	\$38,944,300

It is therefore **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section 3-12 and 3-13 of the District Charter, a tax on the member municipalities comprising The Metropolitan District, in the sum of \$38,944,300, shall be due and payable in favor of The Metropolitan District in four installments on the following due dates: the first installment, totaling \$9,361,600, shall be due and payable on January 20, 2016; the second installment, totaling \$9,361,600, shall be due and payable on April 20, 2016; the third installment, totaling \$10,110,550, shall be due and payable on July 20, 2016; and the fourth installment, totaling \$10,110,550, shall be due and payable October 19, 2016. Apportionment of the Fiscal Year 2016 tax among the

member municipalities and the amount due on each installment shall be as follows:

Installment Date	1/20/2016	4/20/2016	7/20/2016	10/19/2016	Total
Hartford	\$2,574,650	\$2,574,650	\$2,512,800	\$2,512,800	\$10,174,900
East Hartford	1,122,525	1,122,525	1,258,475	1,258,475	4,762,000
Newington	821,825	821,825	932,375	932,375	3,508,400
Wethersfield	755,500	755,500	848,350	848,350	3,207,700
Windsor	805,650	805,650	896,700	896,700	3,404,700
Bloomfield	688,100	688,100	779,900	779,900	2,936,000
Rocky Hill	538,425	538,425	581,425	581,425	2,239,700
West Hartford	2,054,925	2,054,925	2,300,525	2,300,525	8,710,900
Total	\$9,361,600	\$9,361,600	\$10,110,550	\$10,110,550	\$38,944,300

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Cibes and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2016 - REVISIONS TO DISTRICT SEWER USER CHARGE RATES AND OTHER RELATED CHARGES

To: Board of Finance for consideration on November 16, 2015

In accordance with Section S12j of the District's Ordinances, sewer use unit charge rates shall be determined annually in conjunction with adoption of the District Budget. The 2016 budget in support of sewer operations calls for a sewer user charge rate of \$2.86, which is 4.0% higher than the prior year.

Following the cost trends for the sewer user charge rate, it is recommended the BOD and COD rate be increased to \$0.34 per pound respectively. In addition, the suspended solids strength charge will increase to \$0.28 per pound. These unit charges, which apply to high flow users, low flow/high strength users and non-municipal tax-exempt users, are for the following:

1. Liquid flow charge rate based on sewer flow in hundreds of cubic feet (CCF).
2. a. BOD (biochemical oxygen demand) strength charge rate based on pounds of BOD for the concentration of BOD exceeding 300 milligrams per liter (mg/l).
- b. COD (chemical oxygen demand) strength charge rate based on pounds of COD for that concentration of COD exceeding 700 mg/l.

3. Suspended solids strength charge rate based on pounds of suspended solids for that concentration exceeding 300 mg/l.

In accordance with Section S12p of the District's Ordinances, sewer user charge Late Filing/Sewage Evaluation Fees shall be determined in conjunction with adoption of the District Budget. The 2016 budget in support of sewer operations calls for determining the late filing/sewage evaluation fees as follows:

**Certification Statement
Late Filing Fee**

\$250.00

Sewage Evaluation

**Fee for each sewer discharge point the
District samples and performs laboratory
analyses on the effluents obtained therefrom
due to such default by a user**

**Actual cost
+ overhead**

Additionally, in accordance with Section S12x of the District's Ordinances, the special sewer service charge primarily for payment of principal and interest on certain bonds and loans which proceeds are used to finance the costs associated with the Clean Water Project. The special sewer service charge is set annually in conjunction with adoption of the District Budget. Effective January 1, 2015, said charge shall be \$3.25 per hundred cubic feet (ccf) to be uniformly applied and to be proportional to the quantity of water used by District customers who utilize the District sewer system and are furnished water directly by the Metropolitan District. The special sewer service charge shall appear separately on the water bills of the District.

Sewer Intensification is a fee being required to review and approve buildings already in use but the facility is going to be used in a different capacity such as going from a school to a manufacturing facility. This usage difference could have an impact on the sewer infrastructure in the area.

Remediated Groundwater Charges: A maintenance fee is required as part of the approval from MDC for acceptance of the discharge of remediated groundwater to the sanitary sewer. This fee is associated with the review and analysis of the permit application, location of the discharge and operational requirements to manage the groundwater at the wastewater treatment facility.

FOG Charges: Fees are charged to Class III and IV food service establishments to offset the costs of managing the Fats, Oils and Grease (FOG) program. This program is required by the CT Department of Energy and Environmental Protection General Permit for the Discharge of Wastewater Associated with Food Preparation Establishments.

Sewer Intensification \$1,655.00

Remediated Groundwater \$0.10/gal

FOG Fees

Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00
Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00
Source of sanitary sewer overflow (minimum) - Actual costs will be billed to the facility for time and materials related to the overflow	\$1,000.00

It is **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section S12j of the District Ordinances, Unit Charges For Computing The Sewer User Charge, a sewer user charge rate of two dollars and eighty six cents (\$2.86) per hundred cubic feet of sewer flow be effective for meter readings on and after January 1, 2016 and that, effective January 1, 2016, a BOD strength charge of thirty-four cents (\$0.34) per pound be billed on sewer flow for that concentration of BOD exceeding 300 milligrams per liter; a COD strength charge of twenty-eight cents (\$0.28) per pound be billed on sewer flow for that concentration of COD exceeding 700 milligrams per liter; and a suspended solids strength charge of twenty-eight (\$0.28) per pound be billed on sewer flow for that concentration of suspended solids exceeding 300 milligrams per liter.

Also, in accordance with Section S12p of the District Ordinances, the Late Filing Fee for Certification Statements shall be two hundred and fifty dollars (\$250.00) and the Sewage Evaluation Fee for each sewer discharge point the District samples and performs laboratory analyses on the effluents obtained therefrom due to such default by a user shall be the actual cost to the District plus overhead.

Further

Resolved: In accordance with Section S12x of the District's Ordinances, the rate for the special sewer service charge shall be \$3.25 per ccf commencing January 1, 2016.

Also Voted: That the District Board approve the following schedule of fees effective January 1, 2016.

Sewer Intensification

\$1,655.00

Remediated Groundwater	\$0.10/gal
<u>FOG Fees</u>	
Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00
Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00
Source of sanitary sewer overflow (minimum) - Actual costs will be billed to the facility for time and materials related to the overflow	\$1,000.00

Respectfully submitted,

Scott W. Jellison
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 6:04 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

January 11, 2016

Date of Approval

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To

MINUTES OF THE BOARD OF FINANCE

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