

**JOURNAL
OF
THE BOARD OF FINANCE
OF
THE METROPOLITAN DISTRICT
COMMISSION**

**FOR THE YEAR
2014**

Published by authority of the Commission
And compiled by the
Office of the District Clerk

Membership of the District is made up of the City of Hartford and
The Towns of Bloomfield, Newington, Wethersfield, Windsor,
East Hartford, Rocky Hill and West Hartford

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**MEMBERSHIP
OF
THE BOARD OF FINANCE
2014**

PASQUALE J. SALEMI Chairman, Board of Finance

RICHARD MULREADY Vice Chairman, Board of Finance

LUIS CABAN

WILLIAM CIBES*

ALLEN HOFFMAN

MARTIN B. COURNEEN*

WILLIAM P. HORAN

LINDA KING-CORBIN*

PASQUALE J. SALEMI

RICHARD MULREADY*

RONALD ANGELO*

*Citizen Member; two-year term expires December 31, 2015

MINUTES
OF
MEETINGS OF THE BOARD OF FINANCE
HELD IN 2014

**SPECIAL MEETING
BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, February 3, 2014**

PRESENT: Commissioners Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Richard Mulready, and District Chairman William A. DiBella (6)

ABSENT: Commissioners Luis Caban, William P. Horan; Citizen Members Martin B. Courneen, Linda King-Corbin (4)

ALSO

PRESENT: Commissioner Donald Currey
Charles P. Sheehan, Chief Executive Officer
Scott W. Jellison, Deputy Chief Executive Officer, Engineering & Operations
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, Esq., District Clerk
Stanley Pokora, Manager of Treasury
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 4:10 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of December 9, 2013 were approved.

On motion made by Commissioner Hoffman and without objection, agenda item #4, "Appointment of Auditors for Financial Audit Services for the fiscal year ending December 31, 2013," was postponed to a later date.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING - DWSRF NO. 2014-7026
– Report 5.**

To: Board of Finance for consideration on February 3, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$1,691,378.66 and having an interest rate of 2.00%.

The low interest loan and grant will fund the replacement of the water main and appurtenances in the vicinity of Retreat Avenue in Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$1,824,572.45 in state funding with approximately \$133,193.79 in grants and \$1,691,378.66 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED:

That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$1,691,378.66. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING - DWSRF NO. 2014-7030
– Report 6.**

To: Board of Finance for consideration on February 3, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$1,927,719.67 and having an interest rate of 2.00%.

The low interest loan and grant will fund various process, safety and building improvements to the Reservoir #6 Water Treatment Facility.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$2,079,525.00 in state funding with approximately \$151,805.33 in grants and \$1,927,719.67 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED:

That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$1,927,719.67. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2014-7030 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding

Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

APPROVAL FOR STATE OF CONNECTICUT FINANCING - DWSRF No. 2014-7031 – Report 7.

To: Board of Finance for consideration on February 3, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$3,664,078.74 and having an interest rate of 2.00%.

The low interest loan and grant will fund various improvements and upgrades to the Wickham Hill water storage facility.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$3,952,620.00 in state funding with approximately \$288,541.26 in grants and \$3,664,078.74 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED:

That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project

Loan Obligations in the aggregate amount not to exceed \$3,664,078.74. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2014-7031 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

2013 OPERATING BUDGET TRANSFER – Report 8.

To: Board of Finance for consideration on February 3, 2014.

Staff is seeking authorization to perform a budget transfer from the 2013 Contingency budget line item to address 53rd payroll week, Material from Stock, Police Services, and Electricity in various departments.

Management recommends that the required additional funding for overtime be transferred from Contingency.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department - 801			
Contingency	<u>\$380,000.00</u>	<u>\$210,000.00</u>	<u>\$590,000.00</u>
Total	<u>\$380,000.00</u>	<u>\$210,000.00</u>	<u>\$590,000.00</u>

John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2013 Budget Appropriations be approved as follows:

	General	Water	Total
From:			
Department - 801			
Contingency	<u>\$380,000.00</u>	<u>\$210,000.00</u>	<u>\$590,000.00</u>
Total	<u>\$380,000.00</u>	<u>\$210,000.00</u>	<u>\$590,000.00</u>
To:	General	Water	Total
Department - 304			
Systems Repair	\$33,500.00	\$100,500.00	\$134,000.00
Department - 305			
Systems Maintenance			
& Operations	36,500.00	109,500.00	146,000.00
Department - 402			
Water Pollution Control	<u>310,000.00</u>	<u>-0-</u>	<u>310,000.00</u>
Total	<u>\$380,000.00</u>	<u>\$210,000.00</u>	<u>\$590,000.00</u>

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

DEBT ISSUANCE RESOLUTION FOR BANS - ISSUANCE OF UP TO \$278,221,000 IN BOND ANTICIPATION NOTES – Report 9.

To: Board of Finance for consideration on February 3, 2014.

Staff is seeking authority for the District to issue up to \$278,221,000 in bond anticipation notes that will provide up to \$135,000,000 for the District Clean Water Project and up to \$143,221,000 for CIP projects.

Series A bond anticipation notes in the approximate aggregate principal amount of amount of \$52,762,000 will be dated on or about March 25, 2014 and will mature on August 7, 2014. Series B bond anticipation notes in the approximate aggregate principal amount of amount of \$100,000,000 will be dated on or about March 25, 2014 and will mature on December 5, 2014. Series C bond anticipation notes in the approximate aggregate principal amount of amount of \$90,459,000 will be dated on or about March 25, 2014 and will mature on March 25, 2015. Series D bond anticipation notes in the approximate aggregate principal amount of amount of \$35,000,000 will be dated on or about March 25, 2014 and will mature on March 25, 2015.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$278,221,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$278,221,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached and to refund previously issued bond anticipation notes as shown on Exhibit A. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Series A Notes shall be dated on or about March 25, 2014, and shall mature on August 7, 2014, bear interest payable at maturity and be issued in fully registered form. The Series B Notes shall be dated on or about March 25, 2014, and shall mature on December 5, 2014, bear interest payable at maturity and be issued in fully registered form. The Series C Notes and the Series D Notes shall be dated on or about March 25, 2014, and shall mature on March 25, 2015, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in

the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Angelo and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 4:38 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 10, 2014

Date of Approval

**BOARD OF FINANCE
REGULAR MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, February 10, 2014**

PRESENT: Commissioner Allen Hoffman; Citizen Members Ron Angelo, William Cibes, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (6)

ABSENT: Commissioners Luis Caban, William P. Horan, Pasquale J. Salemi; Citizen Member Martin B. Courneen (4)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, Esq., District Clerk
Carol Fitzgerald, Acting Director of Finance
Stanley Pokora, Manager of Treasury
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Vice-Chairman Mulready called the meeting to order at 5:11 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Commissioner Hoffman and duly seconded, the meeting minutes of February 3, 2014 were approved.

CLOSEOUT OF WATER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration February 10, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CWN0000916	AMR WEST HARTFORD	2000	2090	West Hartford	\$ 5,000,000.00	\$ 988,854.46	\$ 4,011,145.54
CWN0000918	Water Improvements (F105)	2000	2090	Various	\$ 5,000.00	\$ 5,000.00	\$ -
CWN0000932	Windsor/E. Granby Storage Tank (FORM 124	1994	2090	Windsor/East Granby	\$ 1,000,021.12	\$ -	\$ 1,000,021.12
CWN0000943	CLSD-BLFD WATER TREATMENT (FORM. 141)	1996	2090	Bloomfield	\$ 2,038,854.14	\$ 1,350,887.19	\$ 687,966.95
CWN0000944	WEST HTFD WATER TRTMT (FORM. 142)	1996	2090	West Hartford	\$ 285,801.46	\$ 176,221.42	\$ 109,580.04
CWN0000951	SIVL-Elm St. Water Repl (128)	1996	2090	Windsor	\$ 105,802.21	\$ -	\$ 105,802.21
CWN0000953	Rehab Old Res. #6 Washwtr Tank(FORM.170)	1998	2090	Bloomfield	\$ 87,192.00	\$ 87,192.00	\$ -
CWN0000954	SIVL-Bushnell Street (129)	1995	2090	Hartford	\$ 286,570.84	\$ -	\$ 286,570.84
CWN0000957	Goodwin Dam Generator (FORM 131)	1995	2090	New Hartford	\$ 80,000.00	\$ -	\$ 80,000.00
CWN0000958	Kilkenny Rocks Storage Tank(FORM.171)	1998	2090	West Hartford	\$ 555,000.00	\$ 5,298.20	\$ 549,701.80
CWN0000963	Bloomfield Water Filtration Plant (155)	1997	2090	Bloomfield	\$ 457,105.83	\$ 4,062.00	\$ 453,043.83
CWN0000965	Cromwell Ave. Bridge Water Main Rep (157	1997	2090	Hartford	\$ 131,340.72	\$ 114,740.72	\$ 16,600.00
CWN0000982	Central Avenue (FORM.223)	1999	2090	Windsor	\$ 3,667.00	\$ -	\$ 3,667.00
CWN0000993	AMR East Hartford and Hartford(FORM.903)	1996	2090	Various	\$ 3,897,693.40	\$ -	\$ 3,897,693.40
CWN0000995	AMR S.Wind,Glast.,Wtfld,R.H.,(FORM.904)	1996	2090	Various	\$ 3,850,576.20	\$ 17,552.83	\$ 3,833,023.37
CWN0000999	CLSD-Newington Feeder Main,Phase I	1992	2090	Newington	\$ 2,468,143.79	\$ 525,940.66	\$ 1,942,203.13
CWN0001020	SIVL-BUSHENELL ST(FORM 245)	2000	2090	Hartford	\$ 19,441.00	\$ -	\$ 19,441.00
CWN0001023	Pump Station Improvements (FORM 173)	1998	2090	Various	\$ 72,132.71	\$ 72,109.65	\$ 23.06
CWN0001026	System Improv Various Loc(FORM.248)	1999	2090	Various	\$ 772,697.00	\$ -	\$ 772,697.00
CWN0001033	Groundwater Development (FORM 265)	1991	2090	Various	\$ 247,557.21	\$ 12,457.20	\$ 235,100.01
CWN0001060	2002 GPW-Commerce Street Bridge	2002	2090	Hartford	\$ 12,566.62	\$ 12,566.62	\$ -
CWN0001063	2003 South Mill Pump Station	2003	2090	Glastonbury	\$ 183,307.23	\$ 183,307.23	\$ -
CWN0001065	2003 Radio Based Automated Meter Reading	2003	2090	Various	\$ 281,933.37	\$ 281,933.37	\$ -
CWN0001070	2004 GPW-Land Acquisition for Watershed	2004	2090	Various	\$ 7,400.00	\$ 7,400.00	\$ -
CWNSA00002	2000 FARMINGTON AVE WATER MAIN RPLMNT.	2000	2090	Hartford	\$ 2,500,000.00	\$ 2,073,327.26	\$ 426,672.74
CWNSA00004	2000 RES.6 TO RES.5 SUPPLY LINE	2000	2090	Various	\$ 5,000,000.00	\$ -	\$ 5,000,000.00
CWNSA00005	Clsd1999 BLOOMFIELD WTR TREATMNT IMP.197	1999	2090	Bloomfield	\$ 1,632,375.10	\$ 1,632,375.10	\$ -
CWNSA00006	1999 WEST HARTFORD TREATMENT IMP.(f.198)	1999	2090	West Hartford	\$ 1,831,836.99	\$ 1,831,836.99	\$ -
CWNSA00009	2001-Electrical Upgrades, Phase II, WH	2001	2090	West Hartford	\$ 1,790,768.24	\$ 1,790,768.24	\$ -
CWNSA00011	2004 Bloomfield Electrical Upgrades	2004	2090	Bloomfield	\$ 250.00	\$ -	\$ 250.00
CWNSA00013	2004 WH. Chemical Feed System	2004	2090	West Hartford	\$ 19,332.86	\$ 19,332.86	\$ -
	Subtotal BA 2090				\$ 34,624,367.04	\$ 11,193,164.00	\$ 23,431,203.04
CWN0001122	2001-Windsor Ave. Water Replmnt	2001	2201	Windsor	\$ 200,000.00	\$ -	\$ 200,000.00
	Subtotal BA 2201				\$ 200,000.00	\$ -	\$ 200,000.00
CWN0001137	2002 UPLANDS PUMP STATION UPGRADE	2002	2202	Glastonbury	\$ 483,350.10	\$ 320,786.44	\$ 162,563.66
	Subtotal BA 2202				\$ 483,350.10	\$ 320,786.44	\$ 162,563.66
CWN0001143	CLSD 2003 Farmington Ave Water Main Rplmt PH2	2003	2203	Hartford	\$ 1,083,750.00	\$ 1,030,944.45	\$ 52,805.55
	Subtotal BA 2203				\$ 1,083,750.00	\$ 1,030,944.45	\$ 52,805.55
CWN0001127	2004-GPW-Water Facilities Imp.	2004	2204	Various	\$ 1,693,358.76	\$ 1,205,512.32	\$ 487,846.44
CWN0001128	2004 GPW-Reserve	2004	2204	Various	\$ 100,000.00	\$ -	\$ 100,000.00
	Subtotal BA 2204				\$ 1,793,358.76	\$ 1,205,512.32	\$ 587,846.44
CWN0001100	1999 BLOOMFIELD WATER TREATMENT IMP	1999	2302	Bloomfield	\$ 3,367,624.90	\$ 2,528,063.41	\$ 839,561.49
	Subtotal BA 2302				\$ 3,367,624.90	\$ 2,528,063.41	\$ 839,561.49
CWN0001188	CLSD 2007 Radio Based AMR	2007	2318	Various	\$ 5,000,000.00	\$ 3,485,614.38	\$ 1,514,385.62
	Subtotal BA 2318				\$ 5,000,000.00	\$ 3,485,614.38	\$ 1,514,385.62

WAT.CW1320.01	2011 CWP WMR-GRISWOLD ST, HARTFORD	2011	2320	Hartford	\$ 236,000.00	\$ -	\$ 236,000.00
WAT.CW1321.01	2011 CWP WMR-HARWICH ST, HARTFORD	2011	2320	Hartford	\$ 545,000.00	\$ -	\$ 545,000.00
WAT.CW1322.01	2011 CWP WMR-FAIRFIELD AVE, HARTFORD	2011	2320	Hartford	\$ 305,000.00	\$ -	\$ 305,000.00
WAT.CW1323.01	2011 CWP WMR-TORWOOD ST, HARTFORD	2011	2320	Hartford	\$ 435,000.00	\$ -	\$ 435,000.00
WAT.CW1324.01	2011 CWP WMR-MAPLE AVE, HARTFORD	2011	2320	Hartford	\$ 320,000.00	\$ -	\$ 320,000.00
Subtotal BA 2320					\$ 1,841,000.00	\$ -	\$ 1,841,000.00
WAT.CW1198.01	2008 Paving Program	2008	2323	Various	\$ 3,500,000.00	\$ 3,481,998.28	\$ 18,001.72
WAT.CW1215.01	2009 Paving Program	2009	2323	Various	\$ 3,000,000.00	\$ 2,990,217.75	\$ 9,782.25
WAT.CW1261.01	2010 Paving Program	2010	2323	Various	\$ 3,000,000.00	\$ 2,960,949.20	\$ 39,050.80
WAT.CW1306.01	2011 PAVING PROGRAM	2011	2323	Various	\$ 3,000,000.00	\$ 2,944,691.37	\$ 55,308.63
Subtotal BA 2323					\$ 12,500,000.00	\$ 12,377,856.60	\$ 122,143.40
CWN0001184	2007 Capital Equipment Replacement	2007	2326	Hartford	\$ 1,500,000.00	\$ 1,499,949.41	\$ 50.59
Subtotal BA 2326					\$ 1,500,000.00	\$ 1,499,949.41	\$ 50.59
CWN0001175	Clsd 2007 Asset Management Water Admin	2007	2328	Various	\$ 450,000.00	\$ 250,301.61	\$ 199,698.39
Subtotal BA 2328					\$ 450,000.00	\$ 250,301.61	\$ 199,698.39
WAT.CW1286.01	High Street, Hartford Water Main	2008	2332	Hartford	\$ 457,000.00	\$ 418,482.43	\$ 38,517.57
WAT.CW1232.01	System Enhancements	2008	2332	Various	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
WAT.CW1235.01	System Enhancements	2007	2332	Various	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
Subtotal BA 2332					\$ 2,457,000.00	\$ 418,482.43	\$ 2,038,517.57
CWA0000324	Newberry Street-prev CWA0000313	1998	2698	Hartford	\$ 28,197.48	\$ -	\$ 28,197.48
CWA0000325	Unscheduled Water Projects-CWA0000306	1998	2698	Various	\$ 396,500.00	\$ -	\$ 396,500.00
Subtotal BA 2698					\$ 424,697.48	\$ -	\$ 424,697.48
CWA0000326	Clsd 2000 AW PROJECTS -prev CWA0000300	2000	2700	Various	\$ 455,620.00	\$ -	\$ 455,620.00
CWA0000328	WOODS ROAD, BLFD,PRE-CWA0000318	2000	2700	Bloomfield	\$ 4,919.61	\$ -	\$ 4,919.61
Subtotal BA 2700					\$ 460,539.61	\$ -	\$ 460,539.61
CWA0000329	Closed 2001 AW PROJECTS	2001	2701	Various	\$ 199,666.60	\$ -	\$ 199,666.60
Subtotal BA 2701					\$ 199,666.60	\$ -	\$ 199,666.60
CWA0000330	Closed 2002 AW PROJECTS	2002	2702	Various	\$ 200,000.00	\$ -	\$ 200,000.00
CWA0000331	Closed 2002 AW ST REGIS ST EAST HARTFOR	2002	2702	East Hartford	\$ 300,000.00	\$ 242,660.75	\$ 57,339.25
Subtotal BA 2702					\$ 500,000.00	\$ 242,660.75	\$ 257,339.25
CWA0000338	Clsd2003-AWP Chapel Road, South Windsor	2003	2703	South Windsor	\$ 100,000.00	\$ 8,937.44	\$ 91,062.56
Subtotal BA 2703					\$ 100,000.00	\$ 8,937.44	\$ 91,062.56
WAT.CWA342.01	2008-AW Pope Park Hwy Water Main Ext	2008	2708	Hartford	\$ 400,000.00	\$ 347,042.54	\$ 52,957.46
Subtotal BA 2708					\$ 400,000.00	\$ 347,042.54	\$ 52,957.46
Total Water Program					\$ 67,385,354.49	\$ 34,909,315.78	\$ 32,476,038.71

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Chairman DiBella and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

SEWER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration February 10, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project NAME	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CSN0000883	CLOSED GENERAL PURPOSE SEWER 1998	1998	5088	Various	\$ 506,034.25	\$ -	\$ 506,034.25
CSN0000884	GENERAL PURPOSE SEWER 1998	1998	5088	Various	\$ 933,965.75	\$ -	\$ 933,965.75
	<i>Subtotal BA 5088</i>				\$ 1,440,000.00	\$ -	\$ 1,440,000.00
CSN0000425	HWPCF Composting System Improvements	1997	5097	Hartford	\$ 300,000.00	\$ 31,080.00	\$ 268,920.00
CSN0000426	WPC Laboratory Upgrade	1997	5097	Hartford	\$ 115,700.00	\$ 74,160.00	\$ 41,540.00
CSN0000427	WPC Facilities Electrical System Improve	1997	5097	Hartford	\$ 175,000.00	\$ -	\$ 175,000.00
CSN0000428	Newington Sewer System Study	1997	5097	Newington	\$ 682,500.00	\$ 100,033.52	\$ 582,466.48
CSN0000950	Charlotte St. Sewer Replacement	1997	5097	Hartford	\$ 40,861.00	\$ -	\$ 40,861.00
CSN0000953	Install two turbine flow meters	1997	5097	Hartford	\$ 220,000.00	\$ 4,927.00	\$ 215,073.00
CSN0000955	Disposal of 1500 KVA	1997	5097	Hartford	\$ 64,600.00	\$ 2,034.00	\$ 62,566.00
	<i>Subtotal BA 5097</i>				\$ 1,598,661.00	\$ 212,234.52	\$ 1,386,426.48
CSN0000970	Closed Sewer Rehabilitation Projects	1999	5099	Various	\$ 100,000.00	\$ -	\$ 100,000.00
CSN0000975	SRP-Compost Process Improvements, HWPCF	1999	5099	Hartford	\$ 400,000.00	\$ -	\$ 400,000.00
	<i>Subtotal BA 5099</i>				\$ 500,000.00	\$ -	\$ 500,000.00
CSN0001001	Closed-2000 Sewer Rehabilitation Projs	2000	5100	Various	\$ 750,000.00	\$ 91,433.11	\$ 658,566.89
CSN0001002	Closed-Private Property Inflow Program	2000	5100	Various	\$ 100,000.00	\$ 38,521.15	\$ 61,478.85
CSN0001003	Clsd Emergency Response Plan	2000	5100	Various	\$ 150,000.00	\$ -	\$ 150,000.00
CSN0001004	Closed - Consultant Engineering Services	2000	5100	Various	\$ 250,000.00	\$ 218,417.22	\$ 31,582.78
CSN0001006	Closed WPCF Infrastructure Improve Prog	2000	5100	Hartford	\$ 1,350,235.00	\$ 1,350,231.44	\$ 3.56
CSN0001007	Closed - 2000 Reserve	2000	5100	Various	\$ 200,000.00	\$ -	\$ 200,000.00
CSN0001011	clsd HUDSON STREET,HTFD-2000 SWR REHAB	2000	5100	Hartford	\$ 111,000.00	\$ 50,668.00	\$ 60,332.00
CSN0001012	clsd ADAMS ST/MURRAY ST 2000 SWR REHAB	2000	5100	Hartford	\$ 140,000.00	\$ 96,830.66	\$ 43,169.34
CSN0001013	clsd CEDAR ST -2000 SEWER REHAB	2000	5100	Hartford	\$ 390,000.00	\$ 72,989.16	\$ 317,010.84
	<i>Subtotal BA 5100</i>				\$ 3,441,235.00	\$ 1,919,090.74	\$ 1,522,144.26
CSN0001041	clsd 2002 SEWER REHABILITATION PROJECTS	2002	5102	Various	\$ 1,000,000.00	\$ 48,624.61	\$ 951,375.39
CSN0001042	clsd2002 WPCF INFRASTRUCTURE IMPR PROGRAM	2002	5102	Hartford	\$ 1,490,000.00	\$ 1,469,869.09	\$ 20,130.91
CSN0001043	clsd 2002 RESERVE	2002	5102	Various	\$ 100,000.00	\$ 81,372.63	\$ 18,627.37
CSN0001054	clsd02 GPS-Woodpond Pmp Station Imprvmts	2002	5102	Hartford	\$ 10,000.00	\$ 9,950.00	\$ 50.00
CSN0001055	clsd 2002 SRP- Fraser Place	2002	5102	Hartford	\$ 117,814.68	\$ 117,814.68	\$ -
CSN0001056	clsd02 SRP-SOMERSET ST	2002	5102	West Hartford	\$ 46,467.47	\$ 37,950.00	\$ 8,517.47
CSN0001082	clsd 02 SRP-Beaver Rd Sewer	2002	5102	Wethersfield	\$ 95,220.00	\$ 95,220.00	\$ -
CSN0001084	clsd 2002 GPS-Deerfield Ave	2002	5102	Hartford	\$ 690,000.00	\$ 2,100.00	\$ 687,900.00
	<i>Subtotal BA 5102</i>				\$ 3,549,502.15	\$ 1,862,901.01	\$ 1,686,601.14
CSN0001070	clsd04 GPS. Various Sewer Rehabilitation	2004	5104	Various	\$ 160,000.00	\$ 159,914.74	\$ 85.26
CSN0001078	clsd 2004 GPS-Wethersfield/RH I.R.E. D/C	2004	5104	Various	\$ 1,300,000.00	\$ -	\$ 1,300,000.00
CSN0001079	clsd04 GPS-Private Property Inflow Disc.	2004	5104	Various	\$ 160,000.00	\$ -	\$ 160,000.00
CSN0001080	clsd2004 GPS-Backwater Valve Replacement	2004	5104	Various	\$ 300,000.00	\$ 298,578.98	\$ 1,421.02
CSN0001081	clsd 2004 GPS- Reserve	2004	5104	Various	\$ 100,000.00	\$ 84,219.98	\$ 15,780.02
CSN0001083	clsd 2004-GPS Sequin Road	2004	5104	West Hartford	\$ 800,000.00	\$ 587,370.45	\$ 212,629.55
CSN0001106	clsd 2004-SRP Goodrich Street	2004	5104	Hartford	\$ 40,000.00	\$ 31,166.72	\$ 8,833.28
	<i>Subtotal BA 5104</i>				\$ 2,860,000.00	\$ 1,161,250.87	\$ 1,698,749.13
CSN0001087	Closed 2005 Reserve	2005	5105	Various	\$ 80,250.00	\$ 80,250.00	\$ -
	<i>Subtotal BA 5105</i>				\$ 80,250.00	\$ 80,250.00	\$ -
CSN0001120	2007 General Purpose Sewer Program	2007	5107	Various	\$ 9,000.00	\$ -	\$ 9,000.00
	<i>Subtotal BA 5107</i>				\$ 9,000.00	\$ -	\$ 9,000.00
WWA.CS1127.02	2008 GPS Lawrence St. 12" Main Rep	2008	5108	Hartford	\$ 411,250.00	\$ 270,512.27	\$ 140,737.73
	<i>Subtotal BA 5108</i>				\$ 411,250.00	\$ 270,512.27	\$ 140,737.73

WWA.CS1127.02	2008 GPS Lawrence St. 12" Main Rep	2008	5108	Hartford	\$ 411,250.00	\$ 270,512.27	\$ 140,737.73
	<i>Subtotal BA 5108</i>				\$ 411,250.00	\$ 270,512.27	\$ 140,737.73
CSA0000334	58 MAPLES STREET, WETHERSFIELD		5630	Wethersfield	\$ 100,000.00	\$ 74,748.20	\$ 25,251.80
CSA0000389	Clsd Unsch. L&A Projects	1989	5630	Various	\$ 2,000,000.00	\$ -	\$ 2,000,000.00
CSA0000394	Clsd Unsch. L&A Projects	1994	5630	Various	\$ 205,291.00	\$ -	\$ 205,291.00
CSA0000398	Closed Completed Projects	1989	5630	Various	\$ 1,963,475.00	\$ -	\$ 1,963,475.00
CSA0000403	Closed NEWFIELD AVE, HTFD		5630	Hartford	\$ 10,000.00	\$ -	\$ 10,000.00
CSA0000408	Clsd 2000 Assessable Sewer Program	2000	5630	Various	\$ 1,800,000.00	\$ -	\$ 1,800,000.00
CSA0000411	Clsd2001 ASSESSABLE SEWER PROGRAM	2001	5630	Various	\$ 900,000.00	\$ -	\$ 900,000.00
CSA0000415	Clsd2001-ASP-Kennedy Road, Windsor	2001	5630	Windsor	\$ 50,000.00	\$ -	\$ 50,000.00
CSA0000418	Clsd2001-HIGHGATE LANE & WESTMONT W HART	2001	5630	West Hartford	\$ 603,056.40	\$ 447,706.82	\$ 155,349.58
CSA0000419	Closed2001 Clarkridge Road, Wethersfield	2001	5630	Wethersfield	\$ 220,000.00	\$ 205,934.58	\$ 14,065.42
CSA0000420	Closed 2003 Assessable Sewer Program	2003	5630	Various	\$ 800,000.00	\$ -	\$ 800,000.00
CSA0000428	Clsd 2005 Assessable Sewer Program	2005	5630	Various	\$ 300,000.00	\$ 1,884.50	\$ 298,115.50
CSA0000437	Clsd2007 Assessable Sewer Program	2007	5630	Various	\$ 170,000.00	\$ 136,467.55	\$ 33,532.45
WWA.CSA444.01	Assessable Sewer Program	2007	5630	Various	\$ 2,230,000.00	\$ -	\$ 2,230,000.00
	<i>Subtotal BA 5630</i>				\$ 11,351,822.40	\$ 866,741.65	\$ 10,485,080.75
CSN0000021	Clsd Water Pollution Control No 3 Program	1977	5654	Hartford	\$ 804,066.21	\$ -	\$ 804,066.21
CSN0000022	Clsd Water Pollution Control No3 Program	1977	5654	Hartford	\$ 151,324.25	\$ -	\$ 151,324.25
CSN0000023	Clsd Water Pollution Control No 3 Program	1977	5654	Hartford	\$ 1,047.50	\$ -	\$ 1,047.50
CSN0000024	Clsd Water Pollution Control No 3 Program	1977	5654	Hartford	\$ 29,342.99	\$ -	\$ 29,342.99
CSN0000055	Clsd Water Pollution Control No.3 Program	1977	5654	Hartford	\$ 1,801,955.05	\$ -	\$ 1,801,955.05
CSN0000098	Clsd Water Pollution Control No 3 Program	1977	5654	Hartford	\$ 15,816,725.18	\$ -	\$ 15,816,725.18
	<i>Subtotal BA 5654</i>				\$ 18,604,461.18	\$ -	\$ 18,604,461.18
CSN0000501	Closed CSO Program Phase 1	1989	5672	Various	\$ 108,112.14	\$ -	\$ 108,112.14
CSN0000502	Clsd Curcombe Street, Htfd.	1989	5672	Hartford	\$ 302,685.24	\$ -	\$ 302,685.24
CSN0000503	Clsd Monitoring & Surveillance Program	1989	5672	Various	\$ 202,400.00	\$ -	\$ 202,400.00
CSN0000504	Closed Financing	1989	5672	Various	\$ 36,549.10	\$ -	\$ 36,549.10
CSN0000505	Clsd Monitoring & Surveillience Equip Pro	1989	5672	Various	\$ 386,855.85	\$ -	\$ 386,855.85
CSN0000999	Closed CSO PROJECT FORMERLY 500	1989	5672	Various	\$ 640,433.11	\$ -	\$ 640,433.11
	<i>Subtotal BA 5672</i>				\$ 1,677,035.44	\$ -	\$ 1,677,035.44
CSN0000548	Clsd Proj. D: Separation Etc., Weth. Ave	1990	5674	Hartford	\$ 1,500.00	\$ -	\$ 1,500.00
CSN0000563	Clsd Roof Leader Disconnect	1990	5674	Hartford	\$ 44,910.00	\$ -	\$ 44,910.00
CSN0000567	Closed Proj. S: Floatable Controls	1990	5674	Hartford	\$ 10,000.00	\$ -	\$ 10,000.00
CSN0000575	Clsd North Branch Of The Park River	1990	5674	Hartford	\$ 65,000.00	\$ -	\$ 65,000.00
CSN0000687	Clsd Collection System Rehab	1990	5674	East Hartford	\$ 265,143.00	\$ -	\$ 265,143.00
	<i>Subtotal BA 5674</i>				\$ 386,553.00	\$ -	\$ 386,553.00
CSN0000750	clsd CT River Cleanup Program Phase	1991	5676	Hartford	\$ 1,958,690.00	\$ 460,826.38	\$ 1,497,863.62
CSN0001067	clsd CSO Phase IIA Planning	1991	5676	Hartford	\$ 1,283,810.00	\$ 1,221,322.92	\$ 62,487.08
	<i>Subtotal BA 5676</i>				\$ 3,242,500.00	\$ 1,682,149.30	\$ 1,560,350.70
CSN0000760	clsd Wtr Pollution Cntrl Facility Improv	1997	5680	Various	\$ 5,000,000.00	\$ 533,447.62	\$ 4,466,552.38
CSN0001051	clsd 2002 WPC LABORATORY FACILITIES PLAN	2002	5680	Hartford	\$ 100,000.00	\$ 41,104.22	\$ 58,895.78
	<i>Subtotal BA 5680</i>				\$ 5,100,000.00	\$ 574,551.84	\$ 4,525,448.16
CSN0000994	CLSD-Incineration Process Improvements	1998	5683	Hartford	\$ 4,475,000.00	\$ 3,772,107.91	\$ 702,892.09
	<i>Subtotal BA 5683</i>				\$ 4,475,000.00	\$ 3,772,107.91	\$ 702,892.09
CSN0000991	clsd Biosolid Material Handling System039	1998	5685	Hartford	\$ 5,000,000.00	\$ 4,645,998.32	\$ 354,001.68
	<i>Subtotal BA 5685</i>				\$ 5,000,000.00	\$ 4,645,998.32	\$ 354,001.68
CSN0000992	clsd WPC Infrstrctr Improvements/prev40	1998	5686	Hartford	\$ 1,605,754.89	\$ 1,183,758.52	\$ 421,996.37
	<i>Subtotal BA 5686</i>				\$ 1,605,754.89	\$ 1,183,758.52	\$ 421,996.37
CSN0000042	ClsdOverflow Alarm and Gate Repair Prgrm	1998	5688	Various	\$ 4,950,000.00	\$ 4,723,634.73	\$ 226,365.27
	<i>Subtotal BA 5688</i>				\$ 4,950,000.00	\$ 4,723,634.73	\$ 226,365.27
CSN0000048	clsd Process Control Systems Design	1999	5693	Hartford	\$ 1,000,000.00	\$ 987,961.47	\$ 12,038.53
	<i>Subtotal BA 5693</i>				\$ 1,000,000.00	\$ 987,961.47	\$ 12,038.53

CSN0000049	clsd HWPCF Elctrcl System Imrpvmnts Pha	1999	5694	Hartford	\$ 5,000,000.00	\$ 3,772,848.32	\$ 1,227,151.68
	<i>Subtotal BA 5694</i>				\$ 5,000,000.00	\$ 3,772,848.32	\$ 1,227,151.68
CSN0000046	clsd Dissolved Air Floatn Thickening Pro	1999	5695	Hartford	\$ 2,000,000.00	\$ 1,904,574.19	\$ 95,425.81
	<i>Subtotal BA 5695</i>				\$ 2,000,000.00	\$ 1,904,574.19	\$ 95,425.81
CSN0001008	Upper Albany Avenue Sew.Sys.Imp. Phase I	2000	5696	Hartford	\$ 2,225,000.00	\$ 1,590,788.07	\$ 634,211.93
	<i>Subtotal BA 5696</i>				\$ 2,225,000.00	\$ 1,590,788.07	\$ 634,211.93
CSN0001010	clsd HWPCF Electrical Systm Impr Phase 2	2000	5698	Hartford	\$ 1,500,000.00	\$ 1,139,467.98	\$ 360,532.02
	<i>Subtotal BA 5698</i>				\$ 1,500,000.00	\$ 1,139,467.98	\$ 360,532.02
CSN0001031	2001 Safety and Regulatory Upgrades, RH	2001	5699	Rocky Hill	\$ 1,000,000.00	\$ 675,108.04	\$ 324,891.96
CSN0001062	2003 Rocky Hill Headworks & Primary Hydr	2003	5699	Rocky Hill	\$ 4,640,000.00	\$ 4,189,461.56	\$ 450,538.44
CSN0001071	2004 Rocky Hill WPCF Improvements	2004	5699	Rocky Hill	\$ 750,000.00	\$ -	\$ 750,000.00
	<i>Subtotal BA 5699</i>				\$ 6,390,000.00	\$ 4,864,569.60	\$ 1,525,430.40
CSN0001033	2001 Storm Drainage Improvements, WCA	2001	5701	Hartford	\$ 4,341,000.00	\$ 3,857,304.53	\$ 483,695.47
	<i>Subtotal BA 5701</i>				\$ 4,341,000.00	\$ 3,857,304.53	\$ 483,695.47
CSN0001034	2001 Incineration Modifications for RC	2001	5702	Hartford	\$ 3,750,000.00	\$ 2,268,903.58	\$ 1,481,096.42
	<i>Subtotal BA 5702</i>				\$ 3,750,000.00	\$ 2,268,903.58	\$ 1,481,096.42
CSN0001035	clsd 01 HWPCF Electrical S. Imp Phase 3	2001	5703	Hartford	\$ 1,500,000.00	\$ 752,577.62	\$ 747,422.38
CSN0001074	clsd 04 Electrcl Distribution Systm Impr	2004	5703	Hartford	\$ 1,560,000.00	\$ 1,364,883.00	\$ 195,117.00
	<i>Subtotal BA 5703</i>				\$ 3,060,000.00	\$ 2,117,460.62	\$ 942,539.38
CSN0001044	2002 TOWER BROOK REMOVAL/SEPARATION,HTFD	2002	5706	Hartford	\$ 10,000,000.00	\$ 7,631,072.43	\$ 2,368,927.57
	<i>Subtotal BA 5706</i>				\$ 10,000,000.00	\$ 7,631,072.43	\$ 2,368,927.57
CSN0001045	2002 PRIVATE PROPERTY INFLOW REDIRECTION	2002	5707	Various	\$ 300,000.00	\$ 10,523.61	\$ 289,476.39
CSN0001046	Clsd02 BACKWATER VALVE INSTALLATION Pgr	2002	5707	Various	\$ 300,000.00	\$ 295,209.58	\$ 4,790.42
CSN0001047	2002 RAINLEADER DISCONNECTION PROGRAM	2002	5707	Various	\$ 5,000,000.00	\$ -	\$ 5,000,000.00
CSN0001061	2003 Wethersfield Cove CSO Abatements	2003	5707	Wethersfield	\$ 5,000,000.00	\$ 1,700.00	\$ 4,998,300.00
	<i>Subtotal BA 5707</i>				\$ 10,600,000.00	\$ 307,433.19	\$ 10,292,566.81
CSN0001050	2002 UPPER ALBANY AREA CSO-PHASE II DESIGN	2002	5709	Hartford	\$ 250,000.00	\$ 500.00	\$ 249,500.00
CSN0001063	2003 UPPER ALBANY AREA CSO-Burton Street	2003	5709	Hartford	\$ 5,000,000.00	\$ 4,932,557.67	\$ 67,442.33
	<i>Subtotal BA 5709</i>				\$ 5,250,000.00	\$ 4,932,557.67	\$ 316,942.33
CSN0001064	clsd03 HWPCF Chlorine Toxicity Elimntion	2003	5713	Hartford	\$ 165,000.00	\$ 51,957.45	\$ 113,042.55
CSN0001069	clsd04 HWPCFChlorne Toxicity Elimination	2004	5713	Hartford	\$ 400,000.00	\$ -	\$ 400,000.00
	<i>Subtotal BA 5713</i>				\$ 565,000.00	\$ 51,957.45	\$ 513,042.55
CSN0001072	2004 WPCF Infrastructure Improvements	2004	5714	Various	\$ 1,100,000.00	\$ 1,041,777.02	\$ 58,222.98
	<i>Subtotal BA 5714</i>				\$ 1,100,000.00	\$ 1,041,777.02	\$ 58,222.98
CSN0001073	clsd04 Bio-Solids Conveying System	2004	5715	Hartford	\$ 650,000.00	\$ 597,039.85	\$ 52,960.15
	<i>Subtotal BA 5715</i>				\$ 650,000.00	\$ 597,039.85	\$ 52,960.15
CSN0001089	Upper Albany Avenue Rainleader Reloca	2005	5717	Hartford	\$ 4,500,000.00	\$ -	\$ 4,500,000.00
	<i>Subtotal BA 5717</i>				\$ 4,500,000.00	\$ -	\$ 4,500,000.00
CSN0001090	Combined Sewer Separation	2005	5718	Various	\$ 610,800.00	\$ -	\$ 610,800.00
CSN0001096	Farmington Ave Sewer Storm Separation	2005	5718	Hartford	\$ 1,550,000.00	\$ 1,088,050.14	\$ 461,949.86
CSN0001097	Tremont Street Sewer Separation	2005	5718	Hartford	\$ 939,200.00	\$ 867,002.47	\$ 72,197.53
	<i>Subtotal BA 5718</i>				\$ 3,100,000.00	\$ 1,955,052.61	\$ 1,144,947.39
CSN0001091	Combined Sewer LTCP (DEP Appl. 82-1)	2005	5719	Various	\$ 3,500,000.00	\$ 3,435,443.25	\$ 64,556.75
	<i>Subtotal BA 5719</i>				\$ 3,500,000.00	\$ 3,435,443.25	\$ 64,556.75
CSN0001098	SSO Elimination Short Term Action Plan	2005	5720	Various	\$ 43,846.36	\$ 43,846.36	\$ -
CSN0001099	SSO Elimination Sewer Evaluation	2005	5720	Various	\$ 684,381.86	\$ 684,381.86	\$ -
	<i>Subtotal BA 5720</i>				\$ 728,228.22	\$ 728,228.22	\$ -

CSN0001093	Sludge Processing Building Odor Contr	2005	5721	Hartford	\$ 1,700,000.00	\$ 539,029.80	\$ 1,160,970.20
	<i>Subtotal BA 5721</i>				\$ 1,700,000.00	\$ 539,029.80	\$ 1,160,970.20
CSN0001094	Clsd Rocky Hill Facility Upgrade Phase I	2005	5722	Rocky Hill	\$ 500,000.00	\$ -	\$ 500,000.00
	<i>Subtotal BA 5722</i>				\$ 500,000.00	\$ -	\$ 500,000.00
CSN0001102	2006-Wastewater Treatment Facilities	2006	5724	Various	\$ 2,200,000.00	\$ 1,317,836.46	\$ 882,163.54
	<i>Subtotal BA 5724</i>				\$ 2,200,000.00	\$ 1,317,836.46	\$ 882,163.54
CSN0001103	2006-WTF Incinerator Upgrade	2006	5725	Various	\$ 5,000,000.00	\$ 165,326.96	\$ 4,834,673.04
	<i>Subtotal BA 5725</i>				\$ 5,000,000.00	\$ 165,326.96	\$ 4,834,673.04
CSN0001110	2006 Energy Recovery Program	2006	5731	Various	\$ 5,000,000.00	\$ -	\$ 5,000,000.00
	<i>Subtotal BA 5731</i>				\$ 5,000,000.00	\$ -	\$ 5,000,000.00
WWA.CS1141.01	Wastewater Trt Fac Primary Tank Imps	2007	5734	Various	\$ 3,200,000.00	\$ -	\$ 3,200,000.00
	<i>Subtotal BA 5734</i>				\$ 3,200,000.00	\$ -	\$ 3,200,000.00
CSN0001114	2007 Sewer Inspection/CMOM	2007	5735	Various	\$ 2,050,000.00	\$ 2,014,036.31	\$ 35,963.69
CSN0001125	Clsd 2008 CMOM Equipment & Staffing	2008	5735	Various	\$ 100,000.00	\$ 100,000.00	\$ -
WWA.CS1149.01	2010 CMOM Compliance - Staffing	2010	5735	Various	\$ 2,000,000.00	\$ 1,204,741.90	\$ 795,258.10
	<i>Subtotal BA 5735</i>				\$ 4,150,000.00	\$ 3,318,778.21	\$ 831,221.79
CSN0001115	Clsd07 Asset Management Wastewater Admin	2007	5736	Various	\$ 100,000.00	\$ 100,000.00	\$ -
WWA.CS1124.01	Clsd08 Asset Management Wastewater Admin	2008	5736	Various	\$ 400,000.00	\$ 136,806.77	\$ 263,193.23
	<i>Subtotal BA 5736</i>				\$ 500,000.00	\$ 236,806.77	\$ 263,193.23
WWA.CS1138.01	Fats, Oils & Grease WPC Rec. Station	2008	5739	Hartford	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
	<i>Subtotal BA 5739</i>				\$ 1,000,000.00	\$ -	\$ 1,000,000.00
WWA.CS1177.01	2012 Sewer Replacement Ridgebrook Dr WH	2012	5803	Various	\$ 1,035,000.00	\$ -	\$ 1,035,000.00
	<i>Subtotal BA 5803</i>				\$ 1,035,000.00	\$ -	\$ 1,035,000.00
	<i>Total Sewer Program</i>				\$ 163,827,253.28	\$ 71,717,899.93	\$ 92,109,353.35

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

***On motion made by Citizen Member Cibes and duly seconded,
the report was received and resolution adopted by unanimous
vote of those present.***

COMBINED CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration February 10, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	YEAR	BA	Town	Budget	Expended Amount	Remaining Balance
COM.CFP148.01	Communications Systems Planning	2008	5651	Various	\$ 250,000.00	\$ -	\$ 250,000.00
	<i>Subtotal BA 5651</i>				\$ 250,000.00	\$ -	\$ 250,000.00
CFP00000003	Closed-Systems Infrastructure	1995	5677	Various	\$ 1,800,535.17	\$ 1,800,535.17	\$ -
CFP00000004	Closed-Systems Development	1995	5677	Various	\$ 290,000.00	\$ 290,000.00	\$ -
CFP00000005	Closed-Financing	1995	5677	Various	\$ 96,815.00	\$ 96,815.00	\$ -
CFP00000006	Closed-Systems Development	1996	5677	Various	\$ 1,650,000.00	\$ 1,650,000.00	\$ -
CFP00000007	Closed-Financing	1996	5677	Various	\$ 120,000.00	\$ 84,382.00	\$ 35,618.00
CFP00000008	Closed-Systems Development	1997	5677	Various	\$ 3,431,838.00	\$ 1,941,648.39	\$ 1,490,189.61
CFP00000009	Closed-Financing	1997	5677	Various	\$ 148,162.00	\$ 148,162.00	\$ -
CFP00000010	Closed-Systems Development	1998	5677	Various	\$ 1,800,000.00	\$ 1,642,239.78	\$ 157,760.22
CFP00000011	Closed-Financing	1998	5677	Various	\$ 200,000.00	\$ 200,000.00	\$ -
CFP00000041	Closed-Information Systems Development	1999	5677	Various	\$ 4,500,000.00	\$ 4,430,833.49	\$ 69,166.51
CFP0000107	Closed-2000-Information Systems Develop	2000	5677	Various	\$ 1,018,615.56	\$ 1,015,686.13	\$ 2,929.43
CFP0000108	Closed-2001-Information Systems Develop	2001	5677	Various	\$ 500,000.00	\$ 496,352.38	\$ 3,647.62
CFP0000109	Closed-IS PLAN-SUPPORT-2001 CIP	2001	5677	Various	\$ 500,000.00	\$ 499,863.27	\$ 136.73
CFP0000110	Closed-IS MICROSOFT IMPL-2001 CIP	2001	5677	Various	\$ 350,000.00	\$ 349,999.26	\$ 0.74
CFP0000111	Closed-2002 INFORMATION SYSTEMS DEVELOP	2002	5677	Various	\$ 3,000,000.00	\$ 2,999,477.61	\$ 522.39
CFP0000130	2006 Information System Improvements	2006	5677	Various	\$ 1,800,000.00	\$ 1,797,894.49	\$ 2,105.51
	<i>Subtotal BA 5712</i>				\$ 21,205,965.73	\$ 19,443,888.97	\$ 1,762,076.76
CFP0000113	CLOSED-2002 CONSTRUCTION INSPECTION AUTO	2002	5712	Various	\$ 300,000.00	\$ 299,449.37	\$ 550.63
	<i>Subtotal BA 5712</i>				\$ 300,000.00	\$ 299,449.37	\$ 550.63
CFP0000118	2006-Long-Term Strategic Initiatives	2006	5728	Various	\$ 1,100,000.00	\$ 1,100,000.00	\$ -
	<i>Subtotal BA 5728</i>				\$ 1,100,000.00	\$ 1,100,000.00	\$ -
COM.CFP156.01	2010 Interest & Debt Issuance Costs	2010	5801	Various	\$ 2,800,000.00		\$ 2,800,000.00
COM.CFP164.01	2011 Short Term Interest & Debt Costs	2011	5801	Various	\$ 1,800,000.00	\$ -	\$ 1,800,000.00
	<i>Subtotal BA 5801</i>				\$ 4,600,000.00	\$ -	\$ 4,600,000.00
	Total Combined Program				\$ 27,455,965.73	\$ 20,843,338.34	\$ 6,612,627.39

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Commissioner Hoffman and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

APPOINTMENT OF AUDITORS FOR FISCAL YEAR 2013

To: Board of Finance for consideration on February 10, 2014

The auditors are appointed annually by the Board of Finance. As a matter of practice, the District Board has previously solicited competitive proposals from auditing firms with three-to-five-year intervals and has reappointed the incumbent auditor in the interim years, although this is not obligatory. The single-year term has been retained so that performance may be evaluated annually with the option of change should the finding be negative.

Staff has done research and found that the State of Connecticut has a contract that is available to agencies and political subdivisions for the "... government contract accounting and auditing services to state agencies, municipalities and not for profits." Blum Shapiro, our current auditor of record, is on the list under this State of Connecticut contract which is enforced through April 30, 2014. For fiscal year 2013, staff is recommending to the Board of Finance to utilize the State of Connecticut contract to appoint Blum, Shapiro & Company, P.C. for this one year audit period.

If, after discussion, it is the consensus of the Board of Finance that the incumbent auditors be reappointed for fiscal year 2013, it may be

Voted: That the Board of Finance appoints the firm of Blum, Shapiro & Company, P.C., to audit the financial statements of the Metropolitan District for the year ending December 31, 2013, based upon the hourly rate fee schedule contained within State of Connecticut Department of Administrative Services Contract, not to exceed \$125,000.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Chairman DiBella and duly seconded, the report was received and resolution adopted by unanimous vote of those present.

After discussion, it was determined that an RFQ/P will be issued for audit services for fiscal year ending December 31, 2014 and later.

ADJOURNMENT

The meeting was adjourned at 5:49 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 25, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, February 25, 2014**

PRESENT: Commissioner Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Linda King-Corbin, and District Chairman William A. DiBella (7)

ABSENT: Commissioners William P. Horan; Citizen Members Martin B. Courneen and Richard Mulready (3)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
R. Bartley Halloran, District Counsel
John M. Zinarella, Deputy Chief Executive Officer, Business Services
Scott Jellison, Deputy Chief Executive Officer, Engineering & Operations
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Carol Fitzgerald, Acting Director of Finance
Stanley Pokora, Manager of Treasury
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Vice-Chairman Mulready called the meeting to order at 11:59 a.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

*On motion made by Citizen Member Cibes and duly seconded,
the meeting minutes of February 10, 2014 were approved.
Commissioners Salemi and Caban abstained.*

REQUIRED AUDITOR COMMUNICATIONS – RE: FISCAL YEAR 2013 AUDIT

Reed Ristein of Blum Shapiro provided the required auditor communication for the fiscal year 2013 audit. Copies are on file in the Office of the District Clerk.

CAPITAL IMPROVEMENT PROJECTS

To: Board of Finance for consideration on February 25, 2014

It is **RECOMMENDED** that it be

Voted: That the Board of Finance accepts and approves the following capital improvement projects as initially identified in and subsequently deleted from the MDC 2014 Capital Improvement Budget:

- A. Kilkenny Tank Transmission Main;
- B. UConn Farmington Health Center.

Further Voted: That said Board of Finance recommends to the Board of Commissioners favorable action on an agreement between the District and the University of Connecticut for the construction of said improvements and the sharing of costs associated therewith.

Further Voted: That the Board of Finance recommends to the District Board passage of the following resolutions:

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

RESOLUTION APPROPRIATING \$5,000,000 FOR TRANSMISSION WATER MAIN AND APPURTENENCES FOR THE UCONN FARMINGTON HEALTH CENTER AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION AND PENDING THE ISSUANCE THEREOF THE MAKING OF TEMPORARY BORROWINGS FOR SUCH PURPOSE

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for design and construction of the transmission water main and appurtenances for the UCONN Farmington Health Center, including labor costs and associated overhead expenses related thereto, and for legal, administrative and other financing costs related thereto.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of

Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 3. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment

of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 4. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Section 5. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be

issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Section 6. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 7. In connection with the issuance of Authorized Obligations, Clean Water Fund Obligations and Drinking Water Obligations, the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 8. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering

Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 9. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 10. The Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 11. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Resolution in connection with the project with the proceeds of Authorized Obligations, Clean Water Fund Obligations or Drinking Water Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman and the Treasurer or Deputy Treasurer are each individually authorized to pay project expenses in accordance herewith pending the issuance of

the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 12. In connection with the issuance of Authorized Obligations and Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

RESOLUTION APPROPRIATING \$5,000,000 FOR KILKENNY WATER TRANSMISSION MAIN AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION AND PENDING THE ISSUANCE THEREOF THE MAKING OF TEMPORARY BORROWINGS FOR SUCH PURPOSE

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the design and construction of the Kilkenny Water Transmission Main and appurtenances, including labor costs and associated overhead expenses related thereto, and for legal, administrative and other financing costs related thereto.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and

other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 3. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 4. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in

anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Section 5. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Section 6. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder (“Authorized Obligations”), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements (“Credit Facilities”) with one or more financial institutions providing Credit Facilities (“Credit Facility Providers”) to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 7. In connection with the issuance of Authorized Obligations, Clean Water Fund Obligations and Drinking Water Obligations, the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust (“Indentures”) with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman and the Treasurer or Deputy Treasurer (“Trustees”), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 8. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the “Reoffering Agreements”) with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman and the Treasurer or Deputy Treasurer (“Reoffering Agents”), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents’ compensation and the disclosure of the District’s financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 9. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District’s Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by

Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 10. The Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 11. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Resolution in connection with the project with the proceeds of Authorized Obligations, Clean Water Fund Obligations or Drinking Water Obligations . Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman and the Treasurer or Deputy Treasurer are each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 12. In connection with the issuance of Authorized Obligations and Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for

federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

On motion made by Citizen Member Cibes and duly seconded, the resolutions were amended to limit the appropriation for the UConn Farmington Health center to \$4,000,000 of which no more than \$400,000.00 shall be paid through bond authorizations, with the balance of \$3,600,000.00 to be paid by the State of Connecticut and/or the University of Connecticut pursuant to a cost allocation agreement with the District. The resolutions were approved, as amended, by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 1:02 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

April 7, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, April 7, 2014**

PRESENT: Commissioner Allen Hoffman, William P. Horan, Pasquale J. Salemi; Citizen Members Ron Angelo, Linda King-Corbin, and District Chairman William A. DiBella (6)

ABSENT: Commissioners Luis Caban; Citizen Members William Cibes, Martin B. Courneen and Richard Mulready (4)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
Scott Jellison, Deputy Chief Executive Officer, Engineering & Operations
Christopher R. Stone, Assistant District Counsel
Brendan Fox, Assistant District Counsel
John S. Mirtle, District Clerk
Stanley Pokora, Manager of Treasury
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:22 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of February 25, 2014 were approved.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF NO. 2014-7032**

To: Board of Finance for consideration on April 7, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$3,162,716.35 and having an interest rate of 2.00%.

The low interest loan and grant will fund site preparation and associated piping and valves for construction of a 2.5 million gallon water storage basin at the West Hartford Water Treatment Facility.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$3,411,776.00 in state funding with approximately \$249,059.65 in grants and \$3,162,716.35 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$3,162,716.35. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2014-7032 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Chief Executive Officer
Charles P. Sheehan

On motion made by Commissioner Hoffman and duly seconded, the resolution was adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:33 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

May 12, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, May 12, 2014**

PRESENT: Commissioner Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen and Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioner William P. Horan; Citizen Member Linda King-Corbin (2)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
Scott Jellison, Deputy Chief Executive Officer, Engineering & Operations
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Manager of Budgeting and Analysis
Carol Fitzgerald, Acting Director of Finance
Sue Negrelli, Director of Engineering
Kelly Shane, Director of Procurement
Erin Ryan, Director of Human Resources
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:02 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of April 7, 2014 were approved.

Commissioner Caban and Citizen Member Courneen abstained.

APPROVAL FOR STATE OF CONNECTICUT FINANCING
DWSRF No. 2014-7033

To: Board of Finance for consideration on May 12, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and Project Loan Obligation to the State of Connecticut having a principal amount of \$1,471,667.42 and having an interest rate of 2.00%.

The low interest loan and grant will fund pipeline modifications and instrumentation at the West Hartford Water Treatment Facility and the replacement of a water main along Pitkin Street in East Hartford.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$1,587,559.25 in state funding with approximately \$115,891.83 in grants and \$1,471,667.42 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$1,471,667.42. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Subsidy Agreement DWSRF No. 2014-7033 to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Chief Executive Officer
Charles P. Sheehan

On motion made by District Chairman DiBella and duly seconded, the resolution was adopted by unanimous vote of those present.

**CAPITAL IMPROVEMENT PROJECTS
REALLOCATION OF FUNDING & ADDITIONAL APPROPRIATIONS**

To: Board of Finance for consideration on May 12, 2014

The Metropolitan District is eligible to receive grants and loans from the State of Connecticut for certain capital projects through the Department of Public Health (DPH) and/or the Department of Energy and Environmental Protection (DEEP). The DPH provides funding for water related projects and DEEP provides funding for sewer related projects. A number of District projects receive grants and/or loans from both DPH and DEEP for combined sewer and water projects.

Recently, the District was awarded DPH funding for the water main work associated with the Upper Albany clean water projects. Therefore, the split between the sewer and water costs requires the reallocation of funding and due to the change in funding splits additional appropriations for the water projects is required.

At this time, staff recommends the following:

That the 2010 CWP Water Main Rehabilitation – Guilford, Pliny, Mather, Winter, Brook, and Bedford Streets, Hartford appropriation be increased by \$500,000;

Program – CWP Water Main Rehabilitation – Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford

Amount: \$3,107,000 Project # WAT.CW1276.01 Fund 2320

Description

Some of the water mains within the Clean Water Project within the City of Hartford boundaries have been recommended by the Asset Management Program to be replaced; therefore it would be necessary to replace / rehabilitate various portions of District's water infrastructure to assure the serviceability of the delivery system.

Purpose

Water Main Replacement in Guilford St, Pliny St, Mather St, Winter St, Brook St, Bedford St.

Prior Appropriation

This appropriation is an increase to the 2012 CWP Water Main Rehabilitation – Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford (WAT.CW1276.01). Total appropriation for this project will now be \$3,607,000.

At this time it is **RECOMMENDED** that it be:

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLVED: That the appropriation for the 2012 CWP Water Main Rehabilitation – Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford (WAT.CW1276.01) be increased by \$500,000 to \$3,607,000.

Respectfully Submitted,

Chief Executive Officer
Charles P. Sheehan

*On motion made by Commissioner Caban and duly seconded,
the resolution was adopted by unanimous vote of those
present.*

**CAPITAL IMPROVEMENT PROJECTS
REALLOCATION OF FUNDING & ADDITIONAL APPROPRIATIONS**

To: Board of Finance for consideration on May 12, 2014

Bond counsel prepared the following resolutions for consideration by the Board of Finance:

It is therefore **RECOMMENDED** that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

AMENDED AND RESTATED RESOLUTION APPROPRIATING \$3,607,000 FOR WATER MAIN REPLACEMENT – GUILFORD, PLINY, MATHER, WINTERBROOK AND BEDFORD STREETS, HARTFORD AND AUTHORIZING THE ISSUANCE OF \$3,607,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION AND PENDING THE ISSUANCE THEREOF THE MAKING OF TEMPORARY BORROWINGS FOR SUCH PURPOSE

RESOLVED:

Section 1. The sum of \$3,607,000 is hereby appropriated for water main replacement in Guilford, Pliny, Mather, Winter, Brook and Bedford Streets, Hartford and for legal, administrative and other financing costs related thereto.

Section 2. To meet said appropriation \$3,607,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 3. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof,

and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 4. The Chairman and the Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Section 5. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 6. In connection with the issuance of Authorized Obligations and Drinking Water Obligations, the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to

be appointed by the Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 7. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer.

Section 8. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 9. The Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the

Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 10. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and anytime after the date of passage of this Resolution in connection with the project with the proceeds of Authorized Obligations or Drinking Water Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman and the Treasurer or Deputy Treasurer are each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 11. In connection with the issuance of Authorized Obligations and Drinking Water Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

Respectfully submitted,

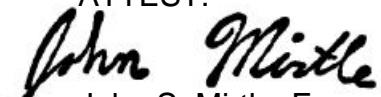
Charles P. Sheehan
Chief Executive Officer

***On motion made by Commissioner Caban and duly seconded,
the resolution was adopted by unanimous vote of those
present.***

ADJOURNMENT

The meeting was adjourned at 5:11 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 2, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, June 2, 2014**

PRESENT: Commissioners Luis Caban, Allen Hoffman, William P. Horan; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen; Linda King-Corbin and Richard Mulready and District Chairman William A. DiBella (9)

ABSENT: Commissioner Pasquale J. Salemi (1)

ALSO

PRESENT: Commissioner Donald M. Currey
Scott Jellison, Deputy Chief Executive Officer, Engineering & Operations
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Mike Curley, Project Manager
Carol Fitzgerald, Acting Director of Finance
Sue Negrelli, Director of Engineering
Stanley Pokora, Manager of Treasury
Kelly Shane, Director of Procurement
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Vice Chairman Mulready called the meeting to order at 5:03 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

*On motion made by Commissioner Caban and duly seconded,
the meeting minutes of May 12, 2014 were approved.*

**DEBT ISSUANCE RESOLUTION FOR GENERAL OBLIGATION BONDS
ISSUANCE OF UP TO \$52,762,000 IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on June 2, 2014.

Staff is seeking authority for the District to issue up to \$52,762,000 in general obligation bonds that will refund \$52,762,000 in existing bond anticipation notes due on August 7, 2014.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$52,762,000 IN GENERAL OBLIGATION BONDS OF THE METROPOLITAN DISTRICT

Not exceeding \$52,762,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued in for capital improvement projects, to currently refund up to \$52,762,000 Bond Anticipation Notes of the District maturing August 7, 2014 (the "Outstanding Notes") and to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on August 1 in each of the years 2015 through 2035, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

August 2014 GO Bond Exhibit A

CIP Appropriation	Issue Amount
2000 Dam Safety Improvements - Reservoir No. 2	151,000
2005 Inflow and Infiltration Master Plan	372,000
2005 Raw Water Bypass Removal	2,000
2005 Water Distribution System Improvements	564,000
2005 Water Supply Facility and Site Improvements	94,000
2006 General Purpose Water	105,000
2006 Wastewater Pump Station Improvements	416,000
2006 Water Distribution Improvements	255,000
2006 Water Treatment Facilities Improvements	10,000
2006 Wethersfield Cove Inflow & Infiltration Reduction	1,555,000
2007 CSO-related Assets	282,000
2007 General Purpose Sewer	165,000
2007 General Purpose Water	291,000
2007 Technology Improvements	39,000
2007 Treatment Facility Upgrades	81,000
2007 Wastewater Administration	40,000
2007 Wastewater Treatment Facility Improvements	231,000
2007 Wastewater Treatment Facility Security and Communication Improvements	155,000
2007 Water Supply Facility Improvements	49,000
2008 Board Room Communications Improvements	57,000
2008 Capacity Management Operation & Maintenance Compliance	1,352,000
2008 CSO-related Assets	2,485,000
2008 Facility and Building Improvements	43,000
2008 Farmington Ave Water Main Replacement	282,000
2008 General Purpose Sewer	930,000
2008 General Purpose Water	523,000
2008 Improvements to SCADA	96,000
2008 Non-CSO-related Assets	2,924,000
2008 Planning and Testing	37,000
2008 Pump Station Alarm Replacements	616,000
2008 Sewer System Capital Equipment and Staffing	129,000
2008 Water Supply Facility Improvements	648,000
2009 Capacity Management Operation & Maintenance Compliance	926,000
2009 Capacity Management Operation & Maintenance Compliance Capital Equipment and Staffing	48,000
2009 CSO Related Assets	3,922,000
2009 Facility & Building Improvements - Headquarters Building	35,000
2009 Farmington Water Main Installation, West Hartford	85,000
2009 General Purpose Sewer	1,078,000
2009 Hartford Odor Control Construction	1,313,000
2009 Long-Term Strategic Initiatives	129,000
2009 Non-CSO Related Assets	1,097,000
2009 Risk Management Initiatives	87,000
2009 Transmission Valve Replacements	100,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	761,000
2010 CMMS-Phase II	163,000
2010 CWP Water Main Replacement - Wethersfield Ave # 3	568,000
2010 CWP Water Main Replacement - Broad Street, Hartford	38,000
2010 CWP Water Main Replacement - Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford	118,000
2010 Dam Safety - Nepaug, Phelps Brook, East Dike	45,000
2010 Facility & Equipment Improvements Program	39,000
2010 General Purpose Sewer	655,000

2010 General Purpose Water	286,000
2010 Headquarters Renovation	83,000
2010 Information Systems Improvements #2	594,000
2010 Paving Program	10,000
2010 Vehicle and Equipment Replacement Program	937,000
2010 Water Facilities Security & Improvement Program	744,000
2010 Water Main Replacement - Bloomfield	57,000
2010 Water Supply Facility Improvements Program	1,438,000
2010 Water Treatment Facility Upgrade Program	878,000
2010 WPC EHWPCF Screen & Grit Replacement Program	41,000
2010 WPC Electrical Systems Modernization Program	432,000
2010 WPC Renewal & Replacements Program	144,000
2011 Capacity Management Operation & Maintenance (CMOM) - Staffing	434,000
2011 Facility & Equipment Improvements	239,000
2011 General Purpose Water	256,000
2011 Geographic Information System (GIS) Enterprise System Development	149,000
2011 Ground Water Development	25,000
2011 Headquarter Renovations	734,000
2011 Information System Improvements	119,000
2011 Paving Program	1,519,000
2011 Pump Station Generators	899,000
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communications	29,000
2011 Sewer Pump Station Rehabilitation	74,000
2011 Survey and Construction Staffing	514,000
2011 Water Main Replacement - Colony Road, West Hartford	32,000
2011 Water Main Replacement - Cottage Grove Road, Bloomfield	44,000
2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	51,000
2011 Water Main Replacement - Pine Street and Auburn Road, West Hartford	37,000
2011 Water Storage Upgrades-Phase I	23,000
2011 Water Treatment Facility Upgrades	135,000
2011 WPC Equipment & Facility Refurbishment	185,000
2011 WPC Renewal & Replacements	34,000
2012 Construction Services	1,951,000
2012 Dam Safety Improvements-Goodwin and Saville	38,000
2012 Engineering Services	1,340,000
2012 Facility Improvement Program	1,024,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West Hartford	49,000
2012 Fleet Replacement	79,000
2012 General Purpose Sewer	9,000
2012 General Purpose Water	62,000
2012 Information Technology	2,663,000
2012 Information Technology Security Improvements	280,000
2012 Paving Program	879,000
2012 Radio Frequency Automated Meter Reading	460,000
2012 Relief Sewer - Four Mile Road, West Hartford	264,000
2012 Sanitary Sewer / Storm Drain Replacement Retreat Ave Htfd	509,000
2012 Sanitary Sewer Replacements District-Wide	205,000
2012 Sewer Rehabilitation Program	967,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	17,000
2012 Survey & Construction	3,200,000
2012 Technical Services	567,000
2012 Water Infrastructure Design District-wide	12,000
2012 Water Main Replacement - Four Mile Road, West Hartford	38,000
2012 Water Main Replacement - Retreat Avenue, Hartford	52,000
2012 Water Main Replacement-Farmington Avenue, Hartford	72,000

2012 Water Rehabilitation Program	300,000
2012 Water Treatment Facilities Upgrades	28,000
2012 WPC Equipment & Facilities Refurbishment	32,000
2013 Sewer Rehabilitation Program	303,000

Total 52,762,000

On motion made by Citizen Member Courneen and duly seconded, the resolution was adopted by unanimous vote of those present.

**DEBT ISSUANCE RESOLUTION FOR BANS
ISSUANCE OF UP TO \$55,000,000 IN BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on June 2, 2014.

Staff is seeking authority for the District to issue up to \$55,000,000 in bond anticipation notes that will provide up to \$15,000,000 for CIP projects and up to \$40,000,000 for the District Clean Water Project.

Series E bond anticipation notes in the approximate aggregate principal amount of amount of \$15,000,000 will be dated on or about June 27, 2014 and will mature on March 23, 2015. Series F bond anticipation notes in the approximate aggregate principal amount of amount of \$40,000,000 will be dated on or about June 27, 2014 and will mature on December 5, 2014.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$55,000,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$55,000,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached and to refund previously issued bond anticipation notes as shown on Exhibit A. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Series E Notes shall be dated on or about June 27, 2014, and shall mature on March 23, 2015, bear interest payable at maturity and be issued in fully

registered form. The Series F Notes shall be dated on or about June 27, 2014, and shall mature on December 5, 2014, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

June 2014 BAN Exhibit A

CIP Appropriation	Issue Amount
2000 Dam Safety Improvements - Reservoir No. 2	90,000
2007 Treatment Facility Upgrades	7,000
2007 Wastewater Treatment Facility Improvements	58,000
2007 Water Supply Facility Improvements	3,000
2008 Capacity Management Operation & Maintenance Compliance	27,000
2008 Farmington Ave Water Main Replacement	7,000
2008 General Purpose Sewer	90,000
2008 General Purpose Water	73,000
2008 Improvements to SCADA	495,000
2008 Sewer System Capital Equipment and Staffing	1,000
2008 Water Supply Facility Improvements	13,000
2008 WPC Infrastructure Replacements and Improvements	117,000
2009 Capacity Management Operation & Maintenance Compliance	8,000
2009 Capacity Management Operation & Maintenance Compliance Capital Equipment and Staffing	15,000
2009 Water Pollution Control Infrastructure Replacements and Improvements	1,626,000
2010 Backwater Valve Program/Private Property Inflow Disconnect Program	8,000
2010 CWP Water Main Replacement - Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford	100,000
2010 Dam Safety - Nepaug, Phelps Brook, East Dike	2,000
2010 Dam Safety Improvements - Res # 1	279,000
2010 Dam Safety Improvements - Res #2	17,000
2010 General Purpose Sewer	4,000
2010 General Purpose Water	48,000
2010 Huyslope Street Sewer Rehabilitation - Hartford	2,000
2010 Hydraulic Computer Modeling	130,000
2010 Sewer Pump Station Improvement Program	40,000
2010 Sewer Pump Station Improvement Program	3,000
2010 Sewer Study - Dividend Brook, Rocky Hill	4,000
2010 Water Facilities Security & Improvement Program	8,000
2010 Water Main Replacement - Windsor	11,000
2010 Water Main Replacement - Bloomfield	4,000
2010 Water Main Replacement - Hartford	25,000
2010 Water Main Replacement - Wethersfield	91,000
2010 Water Pump Station Replacement Upgrade Program	5,000
2010 Water Supply Facility Improvements Program	46,000
2010 WPC EHWPCF Screen & Grit Replacement Program	260,000
2010 WPC Electrical Systems Modernization Program	48,000
2010 WPC Renewal & Replacements Program	19,000
2011 Capacity Management Operation & Maintenance (CMOM) - Compliance	53,000
2011 Dam Safety Improvements - Res #6	120,000
2011 Design of Water Main Replacements	44,000
2011 General Purpose Sewer	14,000
2011 General Purpose Water	48,000
2011 Pump Station Generators	170,000
2011 Sewer Pump Station Rehabilitation	165,000
2011 Water Main Replacement - Colony Road, West Hartford	11,000
2011 Water Pump Station Improvements	79,000
2011 Water Treatment Facility Upgrades	55,000
2011 WPC Electronic Development	7,000
2011 WPC Renewal & Replacements	104,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	36,000
2012 CWF Projects	40,000,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	10,000
2012 Dam Safety Improvements-Goodwin and Saville	2,000
2012 Dike Penetration and Correction Installations	14,000
2012 Farmington Avenue Water Main Installation Trout Brook to Whiting Lane, West Hartford	246,000
2012 General Purpose Sewer	6,000
2012 Hartford WPC Solids Handling & Processing	65,000
2012 Paving Program	248,000

2012 Pump Station Upgrade-Canal Road, West Hartford	141,000
2012 Pump Station Upgrades - Fishfry Street, Hartford	15,000
2012 Pump Station Upgrades - Newington, Newington and Orchard Street, Glastonbury	19,000
2012 Relief Sewer - Four Mile Road, West Hartford	379,000
2012 Sanitary Sewer Replacements District-Wide	87,000
2012 Sewer Gate Replacement Program District-wide	80,000
2012 Sewer Infrastructure Design	4,000
2012 Sewer Pump Station Upgrades - Mohawk Drive East Hartford	2,000
2012 Sewer Pump Station Upgrades - Ridge Street, Windsor	4,000
2012 Sewer Replacement - Montclair Drive, West Hartford	1,000
2012 Sewer Replacement - Woodland Avenue and Peters Road, Bloomfield	8,000
2012 Water Main Replacement-Farmington Avenue, Hartford	39,000
2012 Water Storage Basin Upgrades	9,000
2012 Water Treatment Facilities Upgrades	272,000
2013 Bloomfield Water Treatment Facility Filtered Water Basin Improvements	148,000
2013 Construction Services	33,000
2013 Engineering Services	21,000
2013 Fleet Replacement	400,000
2013 General Purpose Sewer	3,000
2013 Information Technology- Hardware	740,000
2013 Information Technology-SAP/Oracle	140,000
2013 Pump Station Upgrades – Brookside Street, Newington	13,000
2013 Pump Station Upgrades – Chateau Woods/High Path Road, Windsor	20,000
2013 Pump Station Upgrades -- Harvest Lane, Windsor	10,000
2013 Pump Station Upgrades – Meadow Street, Newington	20,000
2013 Pump Station Upgrades – Mohawk Drive, East Hartford	22,000
2013 Pump Station Upgrades – Motts - Wethersfield	15,000
2013 Rocky Hill Interceptor – Relief Sewer/Capacity Improvements	25,000
2013 Sewer Pump Station Upgrades – Ridge Street, Windsor	22,000
2013 Sewer Rehabilitation Program	20,000
2013 Sewer Replacement – Oakwood Ave, West Hartford	10,000
2013 Survey & Construction	40,000
2013 Technical Services	17,000
2013 Various Sewer Pipe Replacement/Rehab – District Wide	475,000
2013 Water Rehabilitation Program	15,000
2013 Water Supply Generators	42,000
2013 Water Treatment Facilities Upgrades	200,000
2013 WPC Equipment & Facilities Refurbishment	250,000
2013 WPC SCADA Upgrades	10,000
2014 Collinsville WTP Emergency Generator Replacement	8,000
2014 Construction Services	1,111,000
2014 Engineering Services	978,000
2014 General Purpose Sewer	5,000
2014 General Purpose Water Program	18,000
2014 Phelps Brook Dam and East Dike Rehabilitation Project	12,000
2014 Renewable Energy Projects – Water Facilities	30,000
2014 Sewer Rehabilitation Program	706,000
2014 Survey & Construction	1,756,000
2014 Technical Services	925,000
2014 Water Rehabilitation Program	169,000
2014 Water Treatment Facilities Upgrades	220,000
2014 WPC Renewal and Replacements	10,000

Total 55,000,000

On motion made by Citizen Member Courneen and duly seconded, the resolution was adopted by unanimous vote of those present.

REALLOCATION OF PROCEEDS OF GENERAL OBLIGATION BOND ANTICIPATION NOTES

To: Board of Finance for consideration on June 2, 2014.

Staff is seeking authority for the District to reallocate proceeds from the District's \$52,762,000 General Obligation Bond Anticipation Notes, Series A dated March 24, 2014 (the "2014 Series A Notes") as follows: (i) \$81,000 comprised of \$5,000 from the 2006 General Purpose Water project, \$33,000 from the 2006 Water Distribution Improvements project, \$8,000 from the 2007 Non-CSO-related Assets project and \$35,000 from the 2011 Paving Program project, to the 2000 Dam Safety Improvements – Reservoir No. 2 project; (ii) \$448,000 from the 2001 Safety and Regulation Upgrades, Rocky Hill Water Pollution Control Facility project to the 2006 Wethersfield Cove Inflow and Infiltration Reduction project ; and (iii) \$8,000 from the 2012 Brainard Road Building Renovations project to the 2011 Pump Station Generators project.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE REALLOCATION OF \$537,000 IN GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

\$81,000 in proceeds from the District's \$52,762,000 General Obligation Bond Anticipation Notes, Series A dated March 24, 2014 (the "2014 Series A Notes"), comprised of \$5,000 from the 2006 General Purpose Water project, \$33,000 from the 2006 Water Distribution Improvements project, \$8,000 from the 2007 Non-CSO-related Assets project and \$35,000 from the 2011 Paving Program project, are hereby reallocated to the 2000 Dam Safety Improvements – Reservoir No. 2 project; \$448,000 in proceeds from the District's 2014 Series A Notes from the 2001 Safety and Regulation Upgrades, Rocky Hill Water Pollution Control Facility project are hereby reallocated to the 2006 Wethersfield Cove Inflow and Infiltration Reduction project; and \$8,000 in proceeds from the District's 2014 Series A Notes from the 2012 Brainard Road Building Renovations project are hereby reallocated to the 2011 Pump Station Generators project.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

***On motion made by Commissioner Caban and duly seconded,
the resolution was adopted by unanimous vote of those
present.***

\$16,500,000 BOND REFUNDING

To: Board of Finance for Consideration on June 2, 2014

Staff is seeking the Board's approval to refinance up to \$16.5 million in general obligation refunding bonds. First Southwest has completed a financial analysis of the District's outstanding debt and recommended that the District refund various General Obligation Bond Issues issued in the years 2003 and 2004. Based on current market conditions, First Southwest is projecting positive net present value savings for the refunding.

This resolution will expire on December 31, 2014.

Bond Counsel has prepared the following resolution for approval by the Board of Finance.

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION WITH RESPECT TO THE AUTHORIZATION, ISSUANCE AND
SALE OF NOT EXCEEDING \$16,500,000 GENERAL OBLIGATION
REFUNDING BONDS OF THE METROPOLITAN DISTRICT**

RESOLVED:

Section 1. Not exceeding \$16,500,000 General Obligation Refunding Bonds (the "Refunding Bonds") of the Metropolitan District (the "District") may be issued in one or more series and in such principal amounts as the Chairman and District Treasurer shall determine to be in the best interests of the District for the purpose of achieving net present value savings and/or to moderate debt service payments. The Refunding Bonds are hereby authorized to refund all or any portion of any one or more series of the District's outstanding General Obligation Bonds (the "Refunded Bonds"). The Refunding Bonds shall be issued and sold either in a negotiated underwriting or a competitive offering, at such time or times as the Chairman and the District Treasurer shall determine to be most opportune for the District. Each series of Refunding Bonds shall mature in such amounts and on such date or dates as shall be determined by the Chairman and District Treasurer provided that no Refunding Bonds shall mature later than the final maturity date of the last maturity of any Refunded Bonds being refunded by such series. The Refunding Bonds shall bear interest payable at such rate or rates as shall be determined by the Chairman and District Treasurer. The Refunding Bonds shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the District Treasurer, bear the District seal or a facsimile

thereof, and be approved as to their legality by Hinckley, Allen & Snyder LLP, and Finn Dixon & Herling LLP, Bond Counsel. The Refunding Bonds shall be general obligations of the District and each of the Refunding Bonds shall recite that every requirement of law relating to its issue has been duly complied with, that such bond is within every debt and other limit prescribed by law, and that the full faith and credit of the District are pledged to the payment of the principal thereof and the interest thereon. The aggregate denominations, form, details, and other particulars thereof, including the terms of any rights of redemption and redemption prices, the designation of the certifying, paying, registrar and transfer agent, shall be subject to the approval of the Chairman and District Treasurer. The net proceeds of the sale of the Refunding Bonds, after payment of underwriter's discount and other costs of issuance, shall be deposited in an irrevocable escrow account in an amount sufficient to pay the principal of, interest and redemption premium, if any, due on the Refunded Bonds to maturity or earlier redemption pursuant to the plan of refunding. The Chairman and District Treasurer are authorized to appoint an escrow agent and other professionals and to execute and deliver any and all escrow, investment and related agreements necessary to provide for such payments on the Refunded Bonds and to provide for the transactions contemplated hereby. The Chairman and District Treasurer, are authorized to prepare and distribute preliminary and final Official Statements of the District for use in connection with the offering and sale of the Refunding Bonds, and they are hereby authorized to execute and deliver on behalf of the District a Bond Purchase Agreement, a Continuing Disclosure Agreement, a Tax Regulatory Agreement, Escrow Agreement and such other documents necessary or desirable for the issuance of the Refunding Bonds and the payment of Refunded Bonds.

Section 2. This resolution shall be effective until December 31, 2014.

Respectfully submitted,

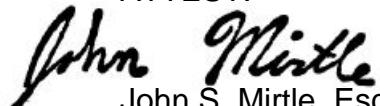
Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the resolution was adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:19 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 9, 2014

Date of Approval

JOINT MEETING OF THE BOARD OF FINANCE**AND AUDIT COMMITTEE****SPECIAL MEETING****The Metropolitan District**

555 Main Street, Hartford

Monday, June 9, 2014

PRESENT: Board of Finance:

Commissioners Luis Caban, Allen Hoffman; Citizen Members Ron Angelo, Martin B. Courneen; and Richard Mulready and District Chairman William A. DiBella (9)

Audit Committee:

Commissioners Mary Ann Charron, Donald Currey, Timothy Curtis, Allen Hoffman, Kathleen J. Kowalyshyn, Alphonse Marotta, Mark Pappa, J. Lawrence Price, Raymond Sweezy, Alvin Taylor and District Chairman William A. DiBella (11)

ABSENT: Commissioner William P. Horan, Pasquale J. Salemi; Citizen Members William Cibes and Linda King-Corbin (4)**ALSO****PRESENT:** John Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Carol Fitzgerald, Acting Director of Finance
Maurice Bafumi, Accounting Administrator
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant
Reed Ristein, Blum Shapiro**CALL TO ORDER**

Commissioner Charron, Chairperson of the Audit Committee, called the meeting to order at 4:05 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Commissioner Price and duly seconded, the meeting minutes of the Audit Committee meeting of May 21, 2014 were approved.

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of the Board of Finance meeting of June 2, 2014 were approved.

Reed Ristein of Blum Shapiro briefed the joint committees on the District's 2014 Comprehensive Annual Financial Report.

ADJOURNMENT

The meeting was adjourned at 5:18 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

June 25, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, June 25, 2014**

PRESENT: Commissioners Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen; and Richard Mulready (6)

ABSENT: Commissioners Luis Caban, William P. Horan and District Chairman William A. DiBella; Citizen Member Linda King-Corbin (4)

ALSO

PRESENT: Commissioner Donald Currey
Charles P. Sheehan, Chief Executive Officer
John Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Carol Fitzgerald, Acting Director of Finance
Kelly Shane, Director of Procurement
Stan Pokora, Manager of Treasury
Rob Constable, Manager of Budgeting and Analysis
Maurice Bafumi, Accounting Administrator
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 4:02 p.m.

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 9, 2014 were approved. Commissioner Salemi abstained from voting.

Reed Risteen of Blum Shapiro presented the required auditor's communications of the closing report for the fiscal year 2013 audit to the committee.

Rob Constable, Manager of Budgeting and Analysis, briefed the committee on projections for the fiscal year 2015 budget.

ADJOURNMENT

The meeting was adjourned at 5:52 P.M.

ATTEST:


John S. Mirtle, Esq.
District Clerk

July 14, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, July 14, 2014**

PRESENT: Commissioners Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen and District Chairman William A. DiBella (6)

ABSENT: Commissioners William P. Horan and Citizen Members William Cibes, Linda King-Corbin and Richard Mulready (4)

ALSO

PRESENT: Commissioner Donald Currey
R. Bartley Halloran, District Counsel
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Carol Fitzgerald, Acting Director of Finance
Stan Pokora, Manager of Treasury
Sue Negrelli, Director of Engineering
Erin Ryan, Director of Human Resources
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:27 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of June 25, 2014 were approved.

**APPROVAL FOR STATE OF CONNECTICUT FINANCING
CWF NO. 652-D**

To: Board of Finance for consideration on July 14, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut having a principal amount of \$20,398,151.71 and having an interest rate of 2.00%.

The low interest loan and grant will fund pipeline modifications and instrumentation at the design of the Wet Weather Expansion Project and the Rocky Hill Treatment Plant upgrade.

The State of Connecticut, through the Drinking Water State Revolving Fund Program, will provide \$31,635,138.00 in state funding with approximately \$11,236,986.29 in grants and \$20,398,151.71 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver any and all Interim Funding Obligations and Project Loan Obligations in the aggregate amount not to exceed \$20,398,151.71. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Project Loan and Project Grant Agreement CWF No. CWF 652-D to be entered into with the State of Connecticut (the "Agreement") and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Chief Executive Officer
Charles P. Sheehan

On motion made by Citizen Member Courneen and duly seconded, the resolution was adopted by vote of those present.

Commissioner Caban abstained.

ADJOURNMENT

The meeting was adjourned at 5:30 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

September 8, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, September 8, 2014**

PRESENT: Commissioners Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, and District Chairman William A. DiBella (6)

ABSENT: Commissioners William P. Horan and Citizen Members Martin B. Courneen, Linda King-Corbin and Richard Mulready (4)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
R. Bartley Halloran, District Counsel
Christopher Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Sue Negrelli, Director of Engineering
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Rob Constable, Manager of Budgeting and Analysis
Mike Curley, Project Manager
Kerry Martin, Assistant to the CEO
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:16 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of July 14, 2014 were approved.

Citizen Member Cibes entered the meeting at 5:20PM

2014 OPERATING BUDGET TRANSFER

To: Board of Finance for consideration on September 8, 2014

Staff is forecasting 2014 Metropolitan District operating budget deficits in the functional areas of a) Taxes and Fees, b) Operations and c) Water Pollution Control budgets. These deficits are the result of higher than expected personal property and real estate taxes versus budget, higher than anticipated repairs and maintenance activities resulting in increased overtime, material costs and safety costs versus budgeted expectations and higher utility expenses versus budget.

Management recommends that the required additional funding for these deficits be transferred from Contingency.

CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
Department 801 – Contingency	<u>\$534,000.00</u>	<u>\$717,300.00</u>	<u>\$1,251,300.00</u>
Total	<u>\$534,000.00</u>	<u>\$717,300.00</u>	<u>\$1,251,300.00</u>



John M. Zinzarella
Chief Financial Officer

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution.

Resolved: That transfers within the 2014 Budget Appropriations be approved as follows:

From:	General	Water	Total
Department 801 – Contingency	<u>\$534,000.00</u>	\$717,300.00	\$1,251,300.00
Total	\$534,000.00	\$717,300.00	\$1,251,300.00
To:	General	Water	Total
Department-731 Taxes & Fees	\$0.00	\$15,300.00	\$15,300.00
Department-30 Operations	234,000.00	702,000.00	936,000.00
Department-40 Water Pollution Control	300,000.00	0.00	300,000.00
Total	\$534,000.00	\$717,300.00	\$1,251,300.00

Respectfully Submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the resolution was adopted by vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:29 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

October 8, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, October 8, 2014**

PRESENT: Commissioners Allen Hoffman, William P. Horan, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioner Luis Caban, and Citizen Member Linda King-Corbin (2)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
Scott W. Jellison, Deputy Chief Executive Officer, Engineering & Operations
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Carol Fitzgerald, Acting Director of Finance
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Rob Constable, Manager of Budgeting and Analysis
Stanley Pokora, Manager of Treasury
Erin Ryan, Director of Human Resources
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Vice-Chairman Mulready called the meeting to order at 4:33 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Angelo and duly seconded, the meeting minutes of the Board of Finance meeting of September 8, 2014 were approved.

At 4:38p.m. Commissioners Salemi and Horan entered the meeting.

**DEBT ISSUANCE RESOLUTION TO REFUND BANS MATURING DECEMBER 5, 2014 FOR
THE CLEAN WATER PROJECT THROUGH REVENUE BONDS
ISSUANCE OF UP TO \$140,000,000 IN REVENUE BONDS**

To: Board of Finance for consideration on October 8, 2014.

Staff is seeking authority for the District to issue up to \$140,000,000 in revenue bonds that will refund \$140,000,000 in existing bond anticipation notes due on December 5, 2014 and provide additional funds for the Clean Water Project.

Revenue Bonds will be dated the date of their delivery and will mature in annual installments of principal through November 1, 2042.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore **RECOMMENDED** that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$140,000,000 CLEAN WATER PROJECT REVENUE BONDS OF THE METROPOLITAN DISTRICT, THE EXECUTION OF DELIVERY OF A SECOND SUPPLEMENTAL INDENTURE TO THE SPECIAL OBLIGATION INDENTURE OF TRUST, AND RELATED AGREEMENTS

WHEREAS, the Metropolitan District (the "District") has entered into a consent decree of the United States District Court of the District of Connecticut, by and between the District, the United States Department of Justice, the U.S. Attorney's Office, the United States Environmental Protection Agency and the State of Connecticut Attorney General (the "U.S. Consent Decree"), and a consent order and a general permit for nitrogen discharges, and existing municipal national pollutant discharge elimination system permits of the State of Connecticut Department of Energy and Environmental Protection, formerly the State of Connecticut Department of Environmental Protection ("CDEP") entered into by and between the District and the Commissioner of the CDEP (the "Connecticut Consent Order" and together with the U.S. Consent Decree, the "Governmental Orders"), including, but not limited to the obligation to provide for (i) the rehabilitation and reconstruction of portions of the District's sanitary sewer systems, (ii) the renovation of the combined sewer system, (iii) improvements to water pollution control facilities, (iv) development of a nitrogen removal program, (v) the separation of sewerage and storm water drainage collection systems, (vi) the construction of additional storage, conveyance and treatment facilities (herein referred to as the "Project");

WHEREAS, the District to date has appropriated \$1.6 billion in capital expenditures for the costs of the Project, and authorized the issuance of \$1.6 billion in its bonds for purposes of financing such capital expenditures;

WHEREAS, the District has issued bond anticipation notes in anticipation of the issuance of the bonds authorized for the Project;

WHEREAS, the District has by ordinance adopted October 1, 2007 adopted Section S12x of its Ordinances Relating to Sewers, which provides for a Special Sewer Service Surcharge for customers of the District who utilize the District's sewer system and are furnished water directly by the District (the "Special Sewer Service Surcharge"), which Special Sewer Service Surcharge shall be established annually through the District's budget approval process, shall be uniformly applied to, and be proportional to the quantity of water used by, such customers, and shall be used exclusively for the payment of principal and interest on certain bonds issued or which may be issued and other loans, including State of Connecticut Clean Water Fund loans, to finance all costs associated with any and all measures necessary to comply with the Governmental Orders, including the costs of the Project;

WHEREAS, Chapter 103 of the General Statutes the Municipal Sewerage Act (the "Municipal Act") provides for the issuance of bonds, notes and other obligations by a municipality, including the District, which may be secured as to both principal and interest by a pledge of revenues to be derived from sewerage system use charges, including the Special Sewer Service Surcharge;

WHEREAS, the District's Charter, as amended by Special Act 90-27 and Special Act 14-21, and as it may be amended from time to time (the "Charter," and together with the Municipal Act, the "Authorizing Acts"), provides for the issuance of bonds, notes and other obligations by the District, and in connection therewith to enter into reimbursement agreements or similar agreements in connection with credit facilities, including, but not limited to, letters of credit or policies of bond insurance, remarketing agreements and agreements for the purpose of moderating interest rate fluctuations;

WHEREAS, the District proposes to issue special obligation bonds to be secured by a pledge of the Special Sewer Service Surcharge pursuant to the Authorizing Acts;

WHEREAS, special obligation bonds issued pursuant to the Authorizing Acts and not secured by the general obligation of the District are not subject to the limit on indebtedness of the District provided for in the Charter;

WHEREAS, the District proposes to issue bonds pursuant to a Special Obligation Indenture (the "Special Obligation Indenture") and a Second Supplemental Indenture thereto the "Second Supplemental Indenture" and together with the Special Obligation Indenture, the "Indenture") with U.S. Bank National Association, to finance the costs of the Project;

WHEREAS, the Indenture provides for the issuance of the Special Obligation Bonds (the "Bonds") of the District, payable solely from the Special Sewer Service Surcharge, and other receipts, funds or moneys pledged under the Indenture, if any, and that such Bonds shall be special obligations of the District, payable solely from Pledged Revenues (as that term is used in the Special Obligation Indenture, and other receipts, funds or moneys pledged under the Indenture, and respective supplemental indentures thereto for each series of Bonds;

WHEREAS, Section 11 of S.A. 14-21 provides:

The state of Connecticut does hereby pledge to and agree with the holders of any bonds, notes and other obligations issued by the Metropolitan District in Hartford County created pursuant to number 511 of the special acts of 1929, as

amended, under the authority of chapter 103 of the general statutes or under section 4 of special act 90-27, as amended by section 6 of public act 93-380 and section 10 of this act, which are payable solely from the income and revenue of a particular facility, system or program or the revenues to be derived from sewerage system use charges, and with those parties who may enter into contracts with the district in respect of the same, that the state will not limit or alter the rights vested in the authority to charge and collect such income, revenues, or sewerage system use charges, or in the holders of any bonds, notes or other obligations of the district until such obligations, together with the interest thereon, are fully met and discharged and such contracts are fully performed on the part of the district, provided nothing contained herein shall preclude such limitation or alteration if and when adequate provision shall be made by law for the protection of the holders of such bonds, notes and other obligations of the district or those entering into contracts with the district. The district is authorized to include this pledge and undertaking for the state in such bonds, notes and other obligations or contracts.

WHEREAS, the Bonds constitute "bonds, notes and other obligations issued by the Metropolitan District in Hartford County created pursuant to number 511 of the special acts of 1929, as amended, under the authority of chapter 103 of the general statutes or under section 4 of special act 90-27, as amended by section 6 of public act 93-380 and section 10 of this act [Special Act 14-21], which are payable solely from the income and revenue of a particular facility, system or program or the revenues to be derived from sewerage system use charges" as described in the aforesaid Section 11, and the District desires to include the aforesaid pledge and undertaking of the State in the Bonds, notes and other obligations or contracts relating to the Bonds by amending the Indenture applicable to the Bonds..

WHEREAS, the District proposes to issue up to \$140,000,000 of its Bonds to be designated to the 2014 Series A Bonds (the "2014 Series A Bonds") to refund bond anticipation notes issued to fund costs of the Project and to fund costs of the Project;

WHEREAS, the District has prepared a preliminary official statement for the purpose of presenting information in connection with the offering and sale of the Bonds.

WHEREAS, the District proposes to issue and sell the 2014 Series A Bonds to underwriters led by J.P. Morgan Securities LLC (or its affiliates), pursuant to a bond purchase agreement (the "Contract for Purchase"), to be negotiated between the District and the underwriters.

WHEREAS, the 2014 Series A Bonds are expected to be issued on terms such that the interest thereon shall be excludable from gross income for federal income tax purposes, and for the purpose of establishing such terms and giving assurance as to future compliance with the Internal Revenue Code of 1986, the District proposes to enter into a Tax Regulatory Agreement.

WHEREAS, pursuant to Rule 15c2-12 promulgated by the U.S. Securities and Exchange Commission under the Securities Exchange Act of 1934, as amended, as part of the offering of the 2014 Series A Bonds the District proposes to enter into a Continuing Disclosure Agreement.

NOW THEREFORE, BE IT RESOLVED by the District Board as follows:

Section 1. The District Board determines it is advisable and in the best interest of the District to authorize, and does hereby authorize, the District to enter into the Second Supplemental Indenture to the Special Obligation Indenture of Trust to provide for the terms of the Bonds proposed to be issued by the District, and to amend the Special Obligation Indenture of Trust to include the pledge and undertaking of the State as authorized by Section 11 of S. A. 14-21, as provided for therein, such agreement to be in the form, or substantially the form, as has been or shall be distributed to the District Board, and authorizes the proper officers of the District to execute and deliver such agreement in such form, with such further changes and additions as such officers shall approve, such approval to be conclusively evidenced by the execution and delivery of such agreements by such officers.

Section 2. The District Board, having reviewed the form of preliminary official statement, authorizes the use of such preliminary official statement in connection with the public offering of the 2014 Series A Bonds, with such further changes and additions as the proper officers shall deem advisable, and authorizes the Chair and the District Treasurer, or in his absence, the Deputy Treasurer, in the name of the District, to deem the preliminary official statement and such supplements thereto when appropriate and execute a final official statement and such supplements, and any further amendment or supplement thereto, in connection with and after the sale of the Bonds.

Section 3. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount, maturities, rate or rates of interest redemption terms, and the other particulars of the 2014 Series A Bonds, and to deliver the 2014 Series A Bonds to the purchaser thereof in accordance with this resolution. The Chairman and the District Treasurer or in his absence the Deputy Treasurer are authorized to execute and deliver a Second Supplemental Indenture setting forth all the terms of the 2014 Series A Bonds so determined, in such form as they shall deem necessary and appropriate. The Chairman and the District Treasurer or in his absence the Deputy Treasurer are authorized to negotiate, execute and deliver a Contract of Purchase setting forth all the terms of sale of the 2014 Series A Bonds, including the underwriters' discount for such sale, in such form as they shall deem necessary and appropriate. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the 2014 Series A Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the 2014 Series A Bonds in accordance with the terms of this resolution. The proper officers of the District are hereby authorized to do and perform such acts, and execute and deliver, in the name of the District, such additional instruments, agreements and certificates as they deem necessary or appropriate to carry into effect the intent of the foregoing resolutions, and as shall not be inconsistent with the foregoing resolutions.

Section 5. The District hereby determines and declares, for purposes of Section 7-263 of the Connecticut General Statutes, that the 2014 Series A Bonds are part of a single plan of finance that also includes all prior borrowings from the State of Connecticut Clean Water State Revolving Fund for the purpose of financing the Project, and all prior general obligation bonds

of the District (but not any bond anticipation notes issued in anticipation of the issuance of such general obligation bonds) issued for purposes of financing the Project.

Section 6. For purposes of this resolution, the “proper officers” of the District shall be the Chairman, the District Treasurer, and the Deputy Treasurer of the District, and each or any of them.

Section 7. This resolution will take effect immediately.

Respectfully Submitted,

Chief Executive Officer
Charles P. Sheehan

On motion made by Citizen Member Mulready and duly seconded, the resolution was adopted by unanimous vote of those present.

CLOSEOUT OF WATER, SEWER, COMBINED & CLEAN WATER CAPITAL PROJECT PROGRAMS

WATER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration October 8, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CWN0001142	CLSD 2003 VARIOUS SYSTEM IMPROVEMENTS	2003	2203	Various	\$ 350,000.00	\$ 293,048.73	\$ 56,951.27
CWN0001145	CLSD 2003 GIS LANDBASE DATABASE DEVEL	2003	2203	MDC	\$ 132,412.54	\$ 61,752.99	\$ 70,659.55
CWN0001147	2003 RESERVE	2003	2203	Various	\$ 113,750.00	\$ 80,368.30	\$ 33,381.70
	<i>Subtotal BA 2203</i>				\$ 596,162.54	\$ 435,170.02	\$ 160,992.52
CWN0001123	CLDS 2004 GPW-Various System Impr	2004	2204	Various	\$ 1,000,000.00	\$ 312,545.66	\$ 687,454.34
CWN0001126	2004 GPW-Radio Based Automated Meter	2004	2204	Various	\$ 499,975.12	\$ 494,372.32	\$ 5,602.80
	<i>Subtotal BA 2204</i>				\$ 1,499,975.12	\$ 806,917.98	\$ 693,057.14
CWN0001148	CLSD Various Water Main Rep	2005	2205	Various	\$ 1,600,000.00	\$ 1,556,278.54	\$ 43,721.46
CWN0001151	CLSD 2005 Reserve	2005	2205	Various	\$ 150,000.00	\$ 129,291.63	\$ 20,708.37
	<i>Subtotal BA 2205</i>				\$ 1,750,000.00	\$ 1,685,570.17	\$ 64,429.83
CWN0001162	2006 GPW-Water Replacement/Rehab.	2006	2206	Various	\$ 1,100,000.00	\$ 862,692.84	\$ 237,307.16
CWN0001164	2006 GPW-Transmission System	2006	2206	Various	\$ 300,000.00	\$ 259,807.74	\$ 40,192.26
CWN0001165	2006 GPW-Land Acquisition	2006	2206	Barkhamsted	\$ 500,000.00	\$ 107,415.78	\$ 392,584.22
CWN0001166	2006 GPW-Reserve	2006	2206	Various	\$ 200,000.00	\$ 66,594.05	\$ 133,405.95
	<i>Subtotal BA 2206</i>				\$ 2,100,000.00	\$ 1,296,510.41	\$ 803,489.59
CWN0001191	2007 GPWP Watershed Road Rehab	2007	2207	Barkhamsted	\$ 150,700.00	\$ 149,804.23	\$ 895.77
CWN0001211	2007 GPWP Park Road Water Main WH	2007	2207	West Hartford	\$ 800,600.00	\$ 550,818.07	\$ 249,781.93
WAT.CW1242.01	Desmond Drive Weth. Water Main Rep	2007	2207	Wethersfield	\$ 250,000.00	\$ 236,149.29	\$ 13,850.71
WAT.CW1243.01	Penwood Lane Weth. Water Main Rep	2007	2207	Wethersfield	\$ 250,000.00	\$ 92,128.28	\$ 157,871.72
	<i>Subtotal BA 2207</i>				\$ 1,451,300.00	\$ 1,028,899.87	\$ 422,400.13
WAT.CW1208.01	2008 GPW Collinsville Roof Replacement	2008	2208	Collinsville	\$ 200,000.00	\$ 177,646.32	\$ 22,353.68
	<i>Subtotal BA 2208</i>				\$ 200,000.00	\$ 177,646.32	\$ 22,353.68
CWN0001091	WATER IMPROVEMENTS	1998	2301	Various	\$ 1,354,755.34	\$ 1,156,234.69	\$ 198,520.65
	<i>Subtotal BA 2301</i>				\$ 1,354,755.34	\$ 1,156,234.69	\$ 198,520.65
CWN0001117	2004 Bloomfield Filter Expansion	2004	2308	Bloomfield	\$ 600,000.00	\$ 582,164.41	\$ 17,835.59
	<i>Subtotal BA 2308</i>				\$ 600,000.00	\$ 582,164.41	\$ 17,835.59
CWN0001113	2004 Simsbury Road W.P.S. Upgrade	2004	2310	Bloomfield	\$ 650,000.00	\$ -	\$ 650,000.00
	<i>Subtotal BA 2310</i>				\$ 650,000.00	\$ -	\$ 650,000.00
CWN0001155	Water Supply Facility and Site Improv	2005	2314	Various	\$ 700,000.00	\$ 700,000.00	\$ -
	<i>Subtotal BA 2314</i>				\$ 700,000.00	\$ 700,000.00	\$ -
CWN0001167	2006 - Water Treatment Facilities Imp	2006	2317	Various	\$ 1,100,000.00	\$ 1,092,745.88	\$ 7,254.12
	<i>Subtotal BA 2317</i>				\$ 1,100,000.00	\$ 1,092,745.88	\$ 7,254.12
CWN0001161	2006 Radio Based Automation Meter Reading	2006	2318	Various	\$ 4,200,000.00	\$ 4,124,243.48	\$ 75,756.52
	<i>Subtotal BA 2318</i>				\$ 4,200,000.00	\$ 4,124,243.48	\$ 75,756.52

WAT.CW1249.01	2010 Water Main Replacement - Rocky Hill	2010	2320	Rocky Hill	\$ 200,000.00	\$ -	\$ 200,000.00
WAT.CW1250.01	2010 Water Main Replacement - Farmington	2010	2320	Farmington	\$ 200,000.00	\$ -	\$ 200,000.00
WAT.CW1252.01	2010 Water Main Replacement - Glastonbury	2010	2320	Glastonbury	\$ 200,000.00	\$ -	\$ 200,000.00
WAT.CW1254.01	2010 Water Main Replacement - Newington	2010	2320	Newington	\$ 400,000.00	\$ -	\$ 400,000.00
WAT.CW1256.01	2010 Water Main Replacement-South Windsor	2010	2320	South Windsor	\$ 100,000.00	\$ -	\$ 100,000.00
WAT.CW1258.01	2010 Water Main Replace Brenway Dr W. Htfd	2010	2320	West Hartford	\$ 77,000.00	\$ -	\$ 77,000.00
WAT.CW1273.01	2010 CWP Water Main Replac Main St Htfd	2010	2320	Hartford	\$ 617,000.00	\$ -	\$ 617,000.00
WAT.CW1274.01	2010 CWP Water Main Replac Park St Htfd	2010	2320	Hartford	\$ 2,416,000.00	\$ -	\$ 2,416,000.00
WAT.CW1277.01	2010 CWP Water Main Repl Milford St Htfd	2010	2320	Hartford	\$ 762,000.00	\$ -	\$ 762,000.00
WAT.CW1278.01	2010 CWP Watr Main Wethersfield Av#2 Htfd	2010	2320	Hartford	\$ 2,950,000.00	\$ -	\$ 2,950,000.00
WAT.CW1284.01	2010 CWP Watr Main Repl Fern St Htfd	2010	2320	Hartford	\$ 100,000.00	\$ -	\$ 100,000.00
WAT.CW1303.02	2012 T/M Replacement-Hamilton&Park PH 2	2012	2320	Hartford	\$ 1,500,000.00	\$ -	\$ 1,500,000.00
	Subtotal BA 2320				\$ 9,522,000.00	\$ -	\$ 9,522,000.00
WAT.CW1202.01	Clsd 2008 Asset Management - Water Admin	2008	2328	MDC	\$ 500,000.00	\$ 322,066.53	\$ 177,933.47
	Subtotal BA 2328				\$ 500,000.00	\$ 322,066.53	\$ 177,933.47
CWN0001177	Clsd 2007 Asset Mgmt Planning & Testing	2007	2329	MDC	\$ 1,300,000.00	\$ 1,284,441.21	\$ 15,558.79
	Subtotal BA 2329				\$ 1,300,000.00	\$ 1,284,441.21	\$ 15,558.79
CWN0001214	2007 CSO AM Portion Farmington Ave Htfd	2007	2330	Hartford	\$ 176,395.00	\$ 140,441.96	\$ 35,953.04
WAT.CW1289.01	08CSO Asset Edgewood St Separation Proj	2008	2330	Hartford	\$ 195,673.75	\$ 153,240.81	\$ 42,432.94
	Subtotal BA 2330				\$ 372,068.75	\$ 293,682.77	\$ 78,385.98
CWN0001178	2007 Asset Mgmt Non- CSO Related Assets	2007	2331	Various	\$ 5,000,000.00	\$ -	\$ 5,000,000.00
WAT.CW1203.01	2008 AM-Non CSO Burnside Ave East Htfd	2008	2331	East Hartford	\$ 378,000.00	\$ 292,344.10	\$ 85,655.90
WAT.CW1209.01	2008 AM-Non CSO Lawrence St, Hartford	2008	2331	Hartford	\$ 350,750.00	\$ 265,077.48	\$ 85,672.52
WAT.CW1231.01	Non-CSO-Related Assets	2008	2331	Various	\$ 1,022,250.00	\$ -	\$ 1,022,250.00
	Subtotal BA 2331				\$ 6,751,000.00	\$ 557,421.58	\$ 6,193,578.42
WAT.CW1223.01	WATER MAIN FARMINGTON AVE HARTFORD	2009	2338	Hartford	\$ 2,070,000.00	\$ -	\$ 2,070,000.00
	Subtotal BA 2338				\$ 2,070,000.00	\$ -	\$ 2,070,000.00
WAT.CW1226.01	2009 Simsbury Road WPS -Bloomfield	2009	2339	Bloomfield	\$ 460,000.00	\$ -	\$ 460,000.00
	Subtotal BA 2339				\$ 460,000.00	\$ -	\$ 460,000.00
WAT.CW1228.01	LAND AQUISITION	2009	2341	Various	\$ 575,000.00	\$ -	\$ 575,000.00
WAT.CW1260.01	2010 Land Acquisition	2010	2341	Various	\$ 600,000.00	\$ -	\$ 600,000.00
	Subtotal BA 2341				\$ 1,175,000.00	\$ -	\$ 1,175,000.00
CWA0000332	2003 ASSESSABLE WATER PROJECTS	2003	2703	Various	\$ 300,000.00	\$ 197,699.50	\$ 102,300.50
	Subtotal BA 2703				\$ 300,000.00	\$ 197,699.50	\$ 102,300.50
CWA0000335	2005 Water Assessable	2005	2705	Various	\$ 300,000.00	\$ -	\$ 300,000.00
	Subtotal BA 2705				\$ 300,000.00	\$ -	\$ 300,000.00
WAT.CWA346.01	Assessable Water Program	2006	2706	Various	\$ 500,000.00	\$ -	\$ 500,000.00
	Subtotal BA 2706				\$ 500,000.00	\$ -	\$ 500,000.00
CWA0000339	Clsd 2007 Water Assessable Program	2007	2707	Various	\$ 1,100,000.00	\$ 73,630.51	\$ 1,026,369.49
	Subtotal BA 2707				\$ 1,100,000.00	\$ 73,630.51	\$ 1,026,369.49
WAT.CWA341.01	Clsd 2008-Assessable Water Administration	2008	2708	Various	\$ 150,000.00	\$ 73,589.67	\$ 76,410.33
WAT.CWA345.01	Assessable Water Program	2008	2708	Various	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
	Subtotal BA 2708				\$ 1,150,000.00	\$ 73,589.67	\$ 1,076,410.33
WAT.CWA343.01	2009 Assessable Water	2009	2709	Various	\$ 1,231,000.00	\$ 88,729.00	\$ 1,142,271.00
WAT.CWA347.01	2010 Assessable Water	2010	2709	Various	\$ 1,584,000.00	\$ -	\$ 1,584,000.00
	Subtotal BA 2709				\$ 2,815,000.00	\$ 88,729.00	\$ 2,726,271.00
	Total Water Program				\$ 44,517,261.75	\$ 15,977,364.00	\$ 28,539,897.75

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

SEWER CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration October 8, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CSN0001059	2003 WPCF INFRASTRUCTURE IMPR. PROGRAM	2003	5103	Various	\$ 600,000.00	\$ 494,109.59	\$ 105,890.41
CSN0001060	2003 Fire Detection Systems	2003	5103	Hartford	\$ 500,000.00	\$ 8,544.25	\$ 491,455.75
	<i>Subtotal BA 5103</i>				\$ 1,100,000.00	\$ 502,653.84	\$ 597,346.16
CSN0001085	Various Sewer Rehabilitation Project	2005	5105	Various	\$ 2,100,000.00	\$ 1,983,015.25	\$ 116,984.75
	<i>Subtotal BA 5105</i>				\$ 2,100,000.00	\$ 1,983,015.25	\$ 116,984.75
CSN0001100	2006-GPS-Various Sewer Rehabilitation	2006	5106	Various	\$ 3,000,000.00	\$ 1,998,712.51	\$ 1,001,287.49
	<i>Subtotal BA 5106</i>				\$ 3,000,000.00	\$ 1,998,712.51	\$ 1,001,287.49
CSN0001117	2007 GPSP Various replacements/rehabs	2007	5107	Various	\$ 526,000.00	\$ 112,448.89	\$ 413,551.11
CSN0001119	2007 GPSP Curcombe St P.S.	2007	5107	Hartford	\$ 124,000.00	\$ 100,243.64	\$ 23,756.36
CSN0001121	2007 GPSP Pleasant St. Windsor	2007	5107	Windsor	\$ 190,000.00	\$ -	\$ 190,000.00
CSN0001122	2007 GPSP Dividend Road Rocky Hill	2007	5107	Rocky Hill	\$ 560,000.00	\$ 9,930.41	\$ 550,069.59
CSN0001123	2007 GPSP Backwater Valve Program (PPID)	2007	5107	Various	\$ 491,000.00	\$ 455,403.80	\$ 35,596.20
	<i>Subtotal BA 5107</i>				\$ 1,891,000.00	\$ 678,026.74	\$ 1,212,973.26
WWA.CS1148.01	Private Property Inflow Disconnect Prog	2008	5108	MDC	\$ 150,000.00	\$ 500.00	\$ 149,500.00
	<i>Subtotal BA 5108</i>				\$ 150,000.00	\$ 500.00	\$ 149,500.00
WWA.CS1146.01	Desmond Drive Weth. Sewer Main Rep	2009	5109	Wethersfield	\$ 500,000.00	\$ 425,205.07	\$ 74,794.93
	<i>Subtotal BA 5109</i>				\$ 500,000.00	\$ 425,205.07	\$ 74,794.93
LTC0000001	2006 Long-Term Control Plan-Project Mgmt	2006	5200	Various	\$ 800,000.00	\$ 716,470.09	\$ 83,529.91
	<i>Subtotal BA 5200</i>				\$ 800,000.00	\$ 716,470.09	\$ 83,529.91
CSA0000433	2006 Assessable Sewer Program	2006	5630	Various	\$ 1,000,000.00	\$ -	\$ 1,000,000.00
CSA0000435	2004 ASP- Cliffmore Rd, WH	2004	5630	West Hartford	\$ 809,000.00	\$ 804,996.70	\$ 4,003.30
CSA0000439	2004 ASP 408 Bloomfield Ave. WH	2004	5630	West Hartford	\$ 110,000.00	\$ 89,428.95	\$ 20,571.05
	<i>Subtotal BA 5630</i>				\$ 1,919,000.00	\$ 894,425.65	\$ 1,024,574.35
CSN0001068	2004 Tower Brook Conduit Extension	2004	5706	Hartford	\$ 2,235,000.00	\$ 13,617.23	\$ 2,221,382.77
	<i>Subtotal BA 5706</i>				\$ 2,235,000.00	\$ 13,617.23	\$ 2,221,382.77
CSN0001105	2006-Sewer Inspection/CMOM	2006	5727	MDC	\$ 5,000,000.00	\$ 4,402,115.83	\$ 597,884.17
	<i>Subtotal BA 5727</i>				\$ 5,000,000.00	\$ 4,402,115.83	\$ 597,884.17
WWA.CS1130.01	Backwater Valve/Private Property Progs 2	2009	5742	MDC	\$ 395,000.00	\$ -	\$ 395,000.00
	<i>Subtotal BA 5742</i>				\$ 395,000.00	\$ -	\$ 395,000.00
SSO0000001	2006 SSO Program-Engineering Design/S	2006	5800	Various	\$ 5,000,000.00	\$ 4,998,295.25	\$ 1,704.75
	<i>Subtotal BA 5800</i>				\$ 5,000,000.00	\$ 4,998,295.25	\$ 1,704.75
WWA.CS1150.01	2010 Backwater Valve/PPID Programs	2010	5803	Various	\$ 530,000.00	\$ 42,730.00	\$ 487,270.00
	<i>Subtotal BA 5803</i>				\$ 530,000.00	\$ 42,730.00	\$ 487,270.00
	<i>Total Sewer Program</i>				\$ 24,620,000.00	\$ 16,655,767.46	\$ 7,964,232.54

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

COMBINED CAPITAL PROJECT PROGRAMS

To: Board of Finance for consideration October 8, 2014

The District has undertaken the task of updating its Capital Improvement Project (CIP) records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Project Number	Project Name	Year	BA	Town	Budget	Expended Amount	Remaining Balance
CFP0000129	2006 Facility and Building Improvements	2006	5650	MDC	\$ 1,000,000.00	\$ 948,519.17	\$ 51,480.83
	<i>Subtotal BA 5650</i>				\$ 1,000,000.00	\$ 948,519.17	\$ 51,480.83
CFP0000123	Clsd 2007 Facility &Building Improvemnts	2007	5651	MDC	\$ 3,450,000.00	\$ 415.44	\$ 3,449,584.56
CFP0000124	2007 Headquarters Building Renovati	2007	5651	MDC	\$ 999,369.00	\$ 995,660.69	\$ 3,708.31
CFP0000125	2007 Improvements for handicapped access	2007	5651	MDC	\$ 350,215.00	\$ 348,962.50	\$ 1,252.50
CFP0000126	2007 Upgrade the fuel dispensing system	2007	5651	MDC	\$ 400,000.00	\$ 340,812.16	\$ 59,187.84
CFP0000128	2007 Upgrade building systems 60 Murphy	2007	5651	MDC	\$ 500,000.00	\$ 479,218.54	\$ 20,781.46
COM.CFP142.01	Facility & Bldg. Imps.- 60 Murphy Road	2009	5651	MDC	\$ 322,000.00	\$ 60,890.43	\$ 261,109.57
	<i>Subtotal BA 5651</i>				\$ 6,021,584.00	\$ 2,225,959.76	\$ 3,795,624.24
COM.CFP138.01	Electronic Equip. Replacement Program -	2009	5652	MDC	\$ 79,500.00	\$ 47,296.66	\$ 32,203.34
	<i>Subtotal BA 5652</i>				\$ 79,500.00	\$ 47,296.66	\$ 32,203.34
CFP0000132	2007 CF - Technology Improvements	2007	5677	MDC	\$ 3,100,000.00	\$ 2,968,192.65	\$ 131,807.35
COM.CFP141.01	Information System Improvements –	2009	5677	MDC	\$ 704,500.00	\$ 690,285.80	\$ 14,214.20
COM.CFP146.01	IT System Equip & Operating Applications	2009	5677	MDC	\$ 521,022.00	\$ 520,696.50	\$ 325.50
	<i>Subtotal BA 5677</i>				\$ 4,325,522.00	\$ 4,179,174.95	\$ 146,347.05
CFP0000119	2006-Emergency Generators	2006	5729	ALL	\$ 300,000.00	\$ 117,019.36	\$ 182,980.64
	<i>Subtotal BA 5729</i>				\$ 300,000.00	\$ 117,019.36	\$ 182,980.64
CFP0000131	Clsd 2007 CF - Document Management	2007	5737	MDC	\$ 1,100,000.00	\$ 1,097,583.58	\$ 2,416.42
	<i>Subtotal BA 5737</i>				\$ 1,100,000.00	\$ 1,097,583.58	\$ 2,416.42
COM.CFP157.01	2010 Survey & Inspection Staffing	2010	5802	MDC	\$ 2,000,000.00	\$ 1,753,969.91	\$ 246,030.09
COM.CFP165.01	2011 Survey & Construction Staffing	2011	5802	MDC	\$ 2,000,000.00	\$ 1,775,470.52	\$ 224,529.48
COM.CFP173.01	2012 Survey & Construction	2012	5802	MDC	\$ 5,000,000.00	\$ 4,678,378.54	\$ 321,621.46
COM.CFP174.01	2012 Engineering Services	2012	5802	MDC	\$ 4,100,000.00	\$ 2,506,834.65	\$ 1,593,165.35
COM.CFP175.01	2012 Construction Services	2012	5802	MDC	\$ 3,500,000.00	\$ 2,717,730.40	\$ 782,269.60
COM.CFP176.01	2012 Technical Services	2012	5802	MDC	\$ 1,500,000.00	\$ 1,123,792.68	\$ 376,207.32
COM.CFP185.02	2013 Survey & Construction	2013	5802	MDC	\$ 5,000,000.00	\$ 4,091,570.77	\$ 908,429.23
COM.CFP186.01	2013 Engineering Services	2013	5802	MDC	\$ 2,400,000.00	\$ 1,986,784.00	\$ 413,216.00
COM.CFP187.01	2013 Construction Services	2013	5802	MDC	\$ 4,000,000.00	\$ 3,256,601.61	\$ 743,398.39
COM.CFP188.01	2013 Technical Services	2013	5802	MDC	\$ 2,000,000.00	\$ 1,433,055.52	\$ 566,944.48
	<i>Subtotal BA 5802</i>				\$ 31,500,000.00	\$ 25,324,188.60	\$ 6,175,811.40
	<i>Total Combined Program</i>				\$ 44,326,606.00	\$ 33,939,742.08	\$ 10,386,863.92

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

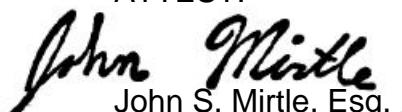
District Chairman DiBella made a motion to consolidate voting of all three resolutions. There was no objection.

On motion made by District Chairman DiBella and duly seconded, the resolutions were adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 4:51 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 12, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Wednesday, November 12, 2014**

PRESENT: Commissioners Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, William Cibes, Martin B. Courneen, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (9)

ABSENT: Commissioner William P. Horan (1)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
John S. Mirtle, District Clerk
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Sue Negrelli, Director of Engineering
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant

CALL TO ORDER

Chairman Salemi called the meeting to order at 3:35 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Mulready and duly seconded, the meeting minutes of the Board of Finance meeting of October 8, 2014 were approved.

DEBT ISSUANCE RESOLUTION FOR BANS ISSUANCE OF UP TO \$23,000,000 IN BOND ANTICIPATION NOTES – Report 4.

To: Board of Finance for consideration on November 12, 2014.

Staff is seeking authority for the District to issue up to \$23,000,000 in bond anticipation notes that will fund CIP projects.

Series G bond anticipation notes in the approximate aggregate principal amount of amount of \$23,000,000 will be dated on or about December 4, 2014 and will mature on March 23, 2015.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$23,000,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Not exceeding \$23,000,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Series G Notes shall be dated on or about December 4, 2014, and shall mature on March 23, 2015, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering and shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery or shall be sold in a negotiated offering, in the discretion of the Treasurer or, in his absence, the Deputy Treasurer. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements

of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the resolution was adopted by unanimous vote of those present.

REALLOCATION OF PROCEEDS OF CERTAIN GENERAL OBLIGATION BOND ANTICIPATION NOTES – Report 5.

To: Board of Finance for consideration on November 12, 2014.

Staff is seeking authority for the District to reallocate proceeds from the District's \$90,459,000 General Obligation Bond Anticipation Notes, Series C dated March 24, 2014 as follows:

- (i) \$51,000 comprised of \$44,000 from the 2006 General Purpose Water project and \$7,000 from the 2006 Water Distribution Improvements project to the 2008 General Purpose Water project;
- (ii) \$156,000 comprised of \$138,000 from the 2009 CSO Related Assets project, \$10,000 from the 2011 Paving Program and \$8,000 from the 2008 CSO – Related Assets project to the 2012 Water Main Replacement – Farmington Avenue project;
- (iii) \$26,000 comprised of \$21,000 from the 2011 CWP Water Main Replacement – Warner Street, Wethersfield Ave/Mannz Street, Hartford project and \$5,000 from the 2011 Water Main Replacement – Pine Street and Auburn Road, West Hartford project to the 2013 Water Main Replacement Oakwood Avenue, West Hartford project;
- (iv) \$1,743,000 from the 2013 Paving Program project, \$151,000 to the 2012 Pump Station Program – Canal Road, West Hartford, and \$1,592,000 to the 2013 General Purpose Water project;
- (v) \$50,000 from the 2006 Sanitary Sewer Overflow (SSO) - Windsor project to the 2008 General Purpose Sewer project;
- (vi) \$91,000 comprised of \$90,000 from the 2008 Sewer System Capital Equipment and Staffing project and \$1,000 from the 2009 Hartford Odor Control Construction project to the 2010 WPC Renewal & Replacements project;

- (vii) \$12,000 comprised of \$8,000 from the 2010 Headquarters Renovation project and \$4,000 from the 2010 Information Systems Improvements #1 project to the 2011 Information Systems Improvements project; and
- (vi) \$1,373,000 comprised of \$995,000 from the 2012 Brainard Rd Building Renovation project, \$283,000 from the 2013 231 Brainard Rd Building Renovation project and \$95,000 from the 2013 Information Technology - Applications project to the 2013 Information Technology – SAP Oracle project.

Staff is also seeking authority for the District to reallocate proceeds from the District's \$15,000,000 General Obligation Bond Anticipation Notes, Series E dated June 27, 2014 as follows:

- (i) \$11,000 from the 2011 Water Main Replacement – Colony Road, West Hartford project to the 2012 Water Main Replacement – Farmington Avenue project;
- (ii) \$14,000 from the 2012 Dike Penetration and Improvements project to the 2013 Water Main Replacement Oakwood Avenue, West Hartford project; and
- (iii) \$5,000 from the 2011 WPC Electronic Development project to the 2012 Sewer Pump Station Upgrades – Ridge Street, Windsor project.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE REALLOCATION OF \$3,381,000 IN GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT

Proceeds from the District's \$90,459,000 General Obligation Bond Anticipation Notes, Series C dated March 24, 2014 (the "2014 Series C Notes") are hereby reallocated as follows:

- (i) \$51,000 comprised of \$44,000 from the 2006 General Purpose Water project and \$7,000 from the 2006 Water Distribution Improvements project to the 2008 General Purpose Water project;
- (ii) \$156,000 comprised of \$138,000 from the 2009 CSO Related Assets project, \$10,000 from the 2011 Paving Program and \$8,000 from the 2008 CSO – Related Assets project to the 2012 Water Main Replacement – Farmington Avenue project;
- (iii) \$26,000 comprised of \$21,000 from the 2011 CWP Water Main Replacement – Warner Street, Wethersfield Ave/Mannz Street, Hartford project and \$5,000 from the 2011 Water Main Replacement – Pine

Street and Auburn Road, West Hartford project to the 2013 Water Main Replacement Oakwood Avenue, West Hartford project;

- (iv) \$1,743,000 from the 2013 Paving Program project, \$151,000 to the 2012 Pump Station Program – Canal Road, West Hartford, and \$1,592,000 to the 2013 General Purpose Water project;
- (v) \$50,000 from the 2006 Sanitary Sewer Overflow (SSO) - Windsor project to the 2008 General Purpose Water project;
- (vi) \$91,000 comprised of \$90,000 from the 2008 Sewer System Capital Equipment and Staffing project and \$1,000 from the 2009 Hartford Odor Control Construction project to the 2010 WPC Renewal & Replacements project;
- (vii) \$12,000 comprised of \$8,000 from the 2010 Headquarters Renovation project and \$4,000 from the 2010 Information Systems Improvements #1 project to the 2011 Information Systems Improvements project; and

(vi) \$1,373,000 comprised of \$995,000 from the 2012 Brainard Rd Building Renovation project, \$283,000 from the 2013 231 Brainard Rd Building Renovation project and \$95,000 from the 2013 Information Technology - Applications project to the 2013 Information Technology – SAP Oracle project.

Proceeds from the District's \$15,000,000 General Obligation Bond Anticipation Notes, Series E dated June 27, 2014 (the "2014 Series C Notes") are hereby reallocated as follows:

- (i) \$11,000 from the 2011 Water Main Replacement – Colony Road, West Hartford project to the 2012 Water Main Replacement – Farmington Avenue project;
- (ii) \$14,000 from the 2012 Dike Penetration and Correction Installations project to the 2013 Water Main Replacement Oakwood Avenue, West Hartford project; and
- (iii) \$5,000 from the 2011 WPC Electronic Development project to the 2012 Sewer Pump Station Upgrades – Ridge Street, Windsor project.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the resolution was adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 3:41 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

November 17, 2014

Date of Approval

BOARD OF FINANCE
The Metropolitan District
555 Main Street, Hartford
Monday, November 17, 2014

PRESENT: Commissioners Luis Caban, Allen Hoffman, Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin, Richard Mulready and District Chairman William A. DiBella (8)

ABSENT: Commissioner William P. Horan and Citizen Member William Cibes (2)

ALSO

PRESENT: John M. Zinzarella, Deputy Chief Executive Officer, Business Services
Christopher R. Stone, Assistant District Counsel
R. Bartley Halloran, District Counsel
John S. Mirtle, District Clerk
Gerald J. Lukowski, Director of Operations
Erin Ryan, Director of Human Resources
Kelly Shane, Director of Procurement
Carol Fitzgerald, Acting Director of Finance
Stanley Pokora, Manager of Treasury
Sue Negrelli, Director of Engineering
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:04 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of November 12, 2014 were approved.

APPOINTMENT OF AUDITORS FOR FISCAL YEAR 2014

To: Board of Finance for consideration on November 17, 2014

The financial auditors are appointed annually by the MDC Board of Finance. The MDC has previously solicited competitive proposals from auditing firms with three-to five-year contract periods and has reappointed the incumbent auditor in the interim years, although this practice is not obligatory. The single-year term has been retained so that performance of the auditor may be evaluated annually; with the option of change should the finding be negative.

At the direction of the Audit Committee, a selection panel consisting of MDC staff issued a Request for Services (RFS hereafter) to each of the firms listed as prequalified auditing firms on the State of Connecticut contract. Each of the three prequalified firms submitted proposals to the MDC for consideration and evaluation. Based upon the evaluation criteria contained within the RFS, the selection panel has interviewed each prequalified firm and concluded its evaluation of the submitted written proposals. It is the recommendation of the panel that the firm of Blum, Shapiro & Company be awarded a one-year contract with two one-year options for renewal. Accordingly, it is also recommended that the Board of Finance appoint the firm of Blum, Shapiro & Company, P.C. to conduct the Fiscal 2014 audit.

If, after discussion, it is the consensus of the Board of Finance that the firm of Blum, Shapiro & Company, P.C. be appointed for fiscal year 2014, it may be

Voted: That the Board of Finance appoints the firm of Blum, Shapiro & Company, P.C., to audit the financial statements of the Metropolitan District for the year ending December 31, 2014, based upon the hourly rate fee schedule contained within State of Connecticut Department of Administrative Services Contract, not to exceed \$125,000.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Mulready and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

FISCAL YEAR 2015-CAPITAL IMPROVEMENT BUDGET

To: Board of Finance for consideration on November 17, 2014

It is **RECOMMENDED** that it be

Voted: That the Board of Finance accepts and approves a Capital Improvement Budget for 2015 in the total amount of \$138,950,000 in appropriations to be funded from bonds, note proceeds or other sources as follows:

APPROPRIATIONS**Wastewater**

Bond Street Area Sewer Rehabilitation, Hartford	\$ 1,400,000
CCTV Generated Sewer Contracts	1,200,000
East Hartford WPCF Compressor, DO Control & SCADA Upgrade	5,000,000
Folly Brook Sewershed, Wethersfield I&I Lining and Rehabilitation	5,000,000
General Purpose Sewer	2,000,000
Hartford WPCF DAFT 1 & 2 (SPB Solids)	4,600,000
Hartford WPCF FOG Receiving Facility (GT Solids)	3,600,000
Hartford WPCF Odor Control Construction (GT Solids)	5,000,000
Hartford WPCF SPB Electrical Upgrades (SPB Solids)	4,600,000
Paving Program	2,500,000
Poquonock WPCF Primary & Secondary Tanks	3,200,000
Pump Station Replacement – Rainbow Trunk, Windsor	2,300,000
Pump Station Upgrades – Burnside Avenue, East Hartford	600,000
Renewable Energy Projects – Sewer Facilities	250,000
Sanitary Sewer Easements Acquisitions & Improvements	3,600,000
Sewer Extension – Marsh Street, Wethersfield	3,200,000
Sewer Rehabilitation Program	5,000,000
Various Sewer Pipe Replacement/Rehab – District Wide	5,000,000
WPC Equipment & Facilities Improvements	2,600,000
WPC Plant Infrastructure Renewal and Replacements	1,300,000
Total Wastewater	\$61,950,000

Water

Collinsville WTP Emergency Generator Replacement	\$ 200,000
General Purpose Water Program	4,000,000
Hydrant Replacement Program	1,600,000
Land Acquisition for Water Facilities, Supply and Treatment	1,000,000
Orchard Street Water Pump Station, Glastonbury	1,000,000
Paving Program	2,500,000
Puddletown Booster Water Pump Station, New Hartford	3,300,000
Radio Frequency Automated Meter Reading Program	3,000,000
Raw Water Pipeline Improvements Phase 2	5,000,000
Renewable Energy Projects - Water Facilities	250,000
Reservoir #6 Filter Basin Improvements	1,000,000
Various Transmission Main Design & Construction	2,000,000
Water Main Replacements - Wethersfield	700,000
Water Rehabilitation Program	1,000,000
Water Supply Generators	1,100,000
Water Supply Improvements	3,000,000
Water Treatment Facilities Upgrades	1,000,000
Wethersfield Water Pump Station, Wethersfield	1,100,000
WHTW Water Storage Improvements	2,900,000
WMR Bond Street Area, Hartford	4,400,000
WMR Buckingham Street Area, Hartford	600,000
WMR Cottage Grove & Talcottview Road, Bloomfield	950,000
WMR Garden Street Area, Wethersfield	2,000,000

Total Water**\$43,600,000****Combined**

Construction Services	\$ 3,500,000
Engineering Services	2,500,000
Administrative Facilities Improvement Program	1,000,000
Fleet Replacement	800,000
Information Systems - Finance Modules	5,000,000
Information Systems - Operational Modules	5,000,000
Information Systems - Business Transformation SAP Upgrade	5,000,000
Member Towns New GIS Base Map Development	1,600,000
Survey & Construction	5,000,000
Technical Services	4,000,000

Total Combined**\$ 33,400,000****Wastewater, Water, Combined Total****\$138,950,000**

Further Voted: That the Board of Finance recommends to the District Board passage of the following resolutions:

AUTHORIZATION TO ISSUE GENERAL OBLIGATION BONDS NOT TO EXCEED \$138,950,000

WHEREAS, the District Board has resolved today to appropriate and issue Bonds for those capital improvements projects numbered 1- 53, inclusive; and

WHEREAS, the District Board wishes to determine the form, date or dates, maturities, manner of sale and other details concerning such bonds;

Now THEREFORE BE IT RESOLVED:

Section 1. To meet the appropriations for the projects set forth in the 2015 CIP Resolutions Nos. 1- 53 inclusive (the "Resolutions"), bonds of the District are authorized in the respective amounts set forth in such Resolutions to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by competitive bid, a notice of sale, or summary thereof, shall be published at least five (5) days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

Section 2. The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as shall be determined by said Officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals

thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 3. In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder and under the Resolutions ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements, or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 4. In connection with the issuance of Authorized Obligations and interim funding obligations in anticipation of project loan obligations and project loan obligations under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Drinking Water Program" ("Drinking Water Obligations") or under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Clean Water Fund Program" ("Clean Water Fund Obligations"), the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 5. In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the "Reoffering Agreements") with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Reoffering Agents"), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents' compensation and the disclosure of the District's financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

Section 6. In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District's Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain, an interest rate swap agreement in the form of the International Swaps and Derivatives Association, Inc. (ISDA) Master Agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, call or similar arrangements, or such other agreements permitted by Connecticut laws and the District's Charter ("Swap Agreements"), with one or more counterparties to be selected by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the "Swap Providers"), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

Section 7. The Chairman or Vice Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 8. The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures paid sixty days prior to and any time after the date of passage of this Resolution in connection with the Resolutions with the proceeds of Authorized Obligations, Drinking Water Obligations or Clean Water Fund Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the date of the expenditure or such later date the Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman or Vice Chairman and the Treasurer or Deputy Treasurer is each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

Section 9. In connection with the issuance of Authorized Obligations and Drinking Water Obligations or Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render

its opinions as to the validity of the said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

2015 CIP PROJECT RESOLUTION NO. 1

RESOLUTION APPROPRIATING \$1,400,000 FOR BOND STREET AREA SEWER REHABILITATION, HARTFORD AND AUTHORIZING THE ISSUANCE OF \$1,400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,400,000 is hereby appropriated for the design and construction of sewer system rehabilitation in the Bond Street area in Hartford, including, but not limited to sewer system rehabilitation in Bond Street, Redding Street, Preston Street, Wayland Street, and Olds Place and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of

Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 2

RESOLUTION APPROPRIATING \$1,200,000 FOR CCTV GENERATED SEWER CONTRACTS AND AUTHORIZING THE ISSUANCE OF \$1,200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,200,000 is hereby appropriated for the design for the replacement and rehabilitation of various sanitary sewers which have been identified as deficient from review of routine CCTV inspections and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund

Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 3

RESOLUTION APPROPRIATING \$5,000,000 FOR THE EAST HARTFORD WPCF COMPRESSOR, DO CONTROL & SCADA UPGRADES AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the replacement of one existing compressor, the addition one new compressor, the installation of a dissolved oxygen (DO) controls system similar to the one at the Hartford WPCF, an increase in the plant's SCADA system to allow for increased automation and improved nitrogen removal, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in

accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 4

RESOLUTION APPROPRIATING \$5,000,000 FOR THE FOLLY BROOK SEWERSHED, WETHERSFIELD – I & I LINING AND REHABILITATION AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the implementation of the Sewer System Evaluation Study recommendations in the Folly Brook sewershed, in Wethersfield and portions of Hartford, including a combination of construction, replacement, and/or rehabilitation of sanitary sewers, laterals, and manholes, with the goal of removing inflow and/or infiltration, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the

District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 5

RESOLUTION APPROPRIATING \$2,000,000 FOR THE GENERAL PURPOSE SEWER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the General Purpose Sewer Program including planning, design and construction of the replacement and/or rehabilitation of existing sewer mains, pump stations, and any related collection system appurtenances at various locations District wide as a result of infrastructure exceeding its useful life, and for legal, administrative and other financing costs related thereto. Such Projects may also include electrical, mechanical, or renewable energy upgrades at District facilities. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund

Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 6

RESOLUTION APPROPRIATING \$4,600,000 FOR THE HARTFORD WPCF DAFT 1 & 2 (SPB SOLIDS) AND AUTHORIZING THE ISSUANCE OF \$4,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,600,000 is hereby appropriated for the design and construction of all new equipment (pumps, air compressors, air mix tanks, chain, flights, etc.) and controls associated with dissolved air flotation thickening (DAFT) tanks 1 & 2, as well as complete rehabilitation of the concrete tanks, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of

Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 7

RESOLUTION APPROPRIATING \$3,600,000 FOR THE HARTFORD WPCF FOG RECEIVING FACILITY (GT SOLIDS) AND AUTHORIZING THE ISSUANCE OF \$3,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,600,000 is hereby appropriated for the construction of a fats, oil & grease (FOG) receiving facility at the existing Regional Solids Receiving Facility, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in

accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 8

RESOLUTION APPROPRIATING \$5,000,000 FOR THE HARTFORD WPCF ODOR CONTROL CONSTRUCTION (GT SOLIDS) AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the construction of two biofilter odor control bays onto the odor control system for the new headworks facility, and for legal, administrative and other financing costs related thereto. These two bays will service the new Fats, Oils & Grease (FOG) receiving facility, existing Regional Solids Receiving Facility (RSRF) and Sludge Processing Building (SPB) odor control needs that are not currently fully met. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the

District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 9

RESOLUTION APPROPRIATING \$4,600,000 FOR THE HARTFORD WPCF SPB ELECTRICAL UPGRADES (SPB SOLIDS) AND AUTHORIZING THE ISSUANCE OF \$4,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,600,000 is hereby appropriated for the construction of all new electrical distribution equipment for the existing Sludge Processing Building (SPB), and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in

accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 10

RESOLUTION APPROPRIATING \$2,500,000 FOR THE PAVING PROGRAM AND AUTHORIZING THE ISSUANCE OF \$2,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,500,000 is hereby appropriated for pavement restoration of paved and unpaved areas including sidewalks, spoil material disposal and materials from stock, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 11

RESOLUTION APPROPRIATING \$3,200,000 FOR THE POQUONOCK WPCF
PRIMARY & SECONDARY TANKS AND AUTHORIZING THE ISSUANCE OF
\$3,200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,200,000 is hereby appropriated for the design and replacement of all existing primary and secondary settling tank equipment (gates, valves, chain, flights, pumps, etc.), the rehabilitation of failing concrete, safety improvements, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 12

RESOLUTION APPROPRIATING \$2,300,000 FOR PUMP STATION
REPLACEMENT – RAINBOW TRUNK, WINDSOR AND AUTHORIZING THE
ISSUANCE OF \$2,300,000 BONDS OF THE DISTRICT TO MEET SAID
APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,300,000 is hereby appropriated for replacement of the Rainbow Trunk, Windsor pump station, to include, but not be limited to, updates to structures, mechanical, electrical, HVAC, piping and plumbing, controls and instrumentation, site work, security, upgrades and improvements to inlet gravity sewers, force mains and electric utility work, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 13

RESOLUTION APPROPRIATING AN ADDITIONAL \$600,000 FOR PUMP STATION UPGRADES - BURNSIDE AVENUE, EAST HARTFORD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,900,000

RESOLVED:

Section 1. An additional sum of \$600,000 is hereby appropriated for the design and construction of upgrades to the Burnside Avenue, East Hartford pump station to include but not be limited to, updates to structures, mechanical, electrical, HVAC, piping and plumbing, controls and instrumentation, site work, security, upgrades to utility power and gas feeds, road work restoration, bypass pumping setup and operation during construction, hazardous material remediation, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Pump Station Upgrades – Burnside Avenue, East Hartford appropriation and bond authorization for \$3,300,000 (SCS.PS0714.01). The total appropriation for this project will now be \$3,900,000.

Section 2. To meet said appropriation an additional \$600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the

District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 14

RESOLUTION APPROPRIATING AN ADDITIONAL \$250,000 FOR RENEWABLE ENERGY PROJECTS – SEWER FACILITIES AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$250,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$550,000

RESOLVED:

Section 1. An additional sum of \$250,000 is hereby appropriated for the design and construction of renewable energy projects to be installed at various sewer facilities to supply power year round, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Renewable Energy Projects – Sewer Facilities appropriation and bond authorization for \$300,000 (SCS.BL0014.01). The total appropriation for this project will now be \$550,000.

Section 2. To meet said appropriation an additional \$250,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund

Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 15

RESOLUTION APPROPRIATING \$3,600,000 FOR SANITARY SEWER EASEMENT ACQUISITIONS & IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$3,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,600,000 is hereby appropriated for the design and construction of the improvement and/or acquisition of existing sewer main easements in the member towns in order to facilitate sanitary sewer replacement and/or rehabilitation, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund

Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 16

RESOLUTION APPROPRIATING AN ADDITIONAL \$3,200,000 FOR SEWER EXTENSION – MARSH STREET, WETHERSFIELD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$3,200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,600,000

RESOLVED:

Section 1. An additional sum of \$3,200,000 is hereby appropriated for the design and construction of a main sewer extension to eliminate the need for Marsh Street Pump Station, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Sewer Extension – Marsh Street, Wethersfield appropriation and bond authorization for \$400,000 (SCS.SR0214.01). Total appropriation for this project will now be \$3,600,000.

Section 2. To meet said appropriation an additional \$3,200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate

or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 17

RESOLUTION APPROPRIATING \$5,000,000 FOR THE SEWER REHABILITATION PROGRAM AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the conduct of sewer system investigations to support the design and construction of rehabilitation and replacement of deteriorating segments of the District's sewer infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund

Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 18

RESOLUTION APPROPRIATING \$5,000,000 FOR VARIOUS SEWER PIPE REPLACEMENT/REHAB – DISTRICT WIDE AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the design and construction of sewer system upgrades, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure located District-wide including survey, sewer easement clearing, closed caption TV inspection, the replacement, rehabilitation and or upgrade of District infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund

Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 19

RESOLUTION APPROPRIATING \$2,600,000 FOR WPC EQUIPMENT & FACILITIES IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$2,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,600,000 is hereby appropriated for the rehabilitation of multiple water pollution control assets to improve operational readiness/reliability, safety posture, increase wastewater processing capabilities and add asset life, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of

Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 20

RESOLUTION APPROPRIATING \$1,300,000 FOR WPC PLANT INFRASTRUCTURE RENEWAL AND REPLACEMENT AND AUTHORIZING THE ISSUANCE OF \$1,300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,300,000 is hereby appropriated for the design and construction of a variety of renewal and replacements at the four water pollution control facilities to modernize existing systems, including design improvements for the Hartford WPCF BNR III, and for legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-478 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, Project Loan and Grant Agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the

District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 21

RESOLUTION APPROPRIATING AN ADDITIONAL \$200,000 FOR THE COLLINSVILLE WTP EMERGENCY GENERATOR REPLACEMENT AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$200,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$700,000

RESOLVED:

Section 1. An additional sum of \$200,000 is hereby appropriated for the upgrade and replacement of the generator system for the Collinsville Water Treatment Plant, including all supporting equipment, appurtenances, electrical service and facilities, road work, site work, utility work, paving, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Collinsville WTP Emergency Generator Replacement, authorization for \$500,000 (WTF.EQ3114.02). The total appropriation for this project will now be \$700,000.

Section 2. To meet said appropriation an additional \$200,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale

and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 22

RESOLUTION APPROPRIATING \$4,000,000 FOR THE GENERAL PURPOSE
WATER PROGRAM AND AUTHORIZING THE ISSUANCE OF \$4,000,000
BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,000,000 is hereby appropriated for the design and construction of replacement of existing water mains, system-wide equipment/infrastructure improvements (excluding vehicles), electrical, mechanical and renewable energy upgrades at District facilities, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale

and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 23

RESOLUTION APPROPRIATING \$1,600,000 FOR THE HYDRANT REPLACEMENT PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,600,000 is hereby appropriated for the replacement of hydrants throughout the District's water service area which have reached the end of their useful life and/or no longer function adequately, to include labor, equipment, materials, police services, final restoration and all pertinent work on the water main required to replace the hydrants, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be

issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law

2015 CIP PROJECT RESOLUTION NO. 24

RESOLUTION APPROPRIATING \$1,000,000 FOR LAND ACQUISITION FOR WATER FACILITIES, SUPPLY AND TREATMENT AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for identification, negotiation and acquisition of land and/or easements to preserve watershed lands or for water treatment, transmission and distribution facilities including but not limited to treatment plants, pump stations, storage tanks, specialty valves and piping within the District's water service area, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal

or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 25

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,000,000 FOR THE ORCHARD STREET WATER PUMP STATION, GLASTONBURY AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,000,000

RESOLVED:

Section 1. An additional sum of \$1,000,000 is hereby appropriated for the upgrade and replacement of all equipment and structures associated with the Orchard Street, Water Pump Station, Glastonbury, including, but not limited to, electrical, mechanical, structural, instrumentation and controls, electrical service, suction and discharge piping, emergency power generator systems, the building improvements, site security, storm water improvements, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Orchard Street Water Pump Station, Glastonbury appropriation and bond authorization for \$3,000,000 (WDS.PS1214.01). The total appropriation for this project will now be \$4,000,000.

Section 2. To meet said appropriation an additional \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan

obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 26

RESOLUTION APPROPRIATING \$2,500,000 FOR THE PAVING PROGRAM AND AUTHORIZING THE ISSUANCE OF \$2,500,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,500,000 is hereby appropriated for pavement restoration of paved and unpaved areas including sidewalks, spoil material disposal and materials from stock, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan

Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 27

RESOLUTION APPROPRIATING \$3,300,000 FOR THE PUDDLETOWN BOOSTER WATER PUMP STATION, NEW HARTFORD AND AUTHORIZING THE ISSUANCE OF \$3,300,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,300,000 is hereby appropriated for upgrades and replacements of all equipment associated with Puddletown Booster Station, to include electrical, mechanical, structural, instrumentation and controls, electrical service, suction and discharge piping, emergency power generator systems, building improvements, site security, storm water improvements, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,300,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board

shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 28

RESOLUTION APPROPRIATING \$3,000,000 FOR THE RADIO FREQUENCY AUTOMATED METER READING PROGRAM AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,000,000 is hereby appropriated for the radio frequency meter program, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following

recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 29

RESOLUTION APPROPRIATING \$5,000,000 FOR THE RAW WATER PIPELINE IMPROVEMENTS PHASE 2 AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the Phase 2 construction of a replacement 30-inch raw water pipeline from Reservoir 6, Bloomfield to Reservoir 5, West Hartford, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following

recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 30

RESOLUTION APPROPRIATING AN ADDITIONAL \$250,000 FOR RENEWABLE ENERGY PROJECTS – WATER FACILITIES AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$250,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$550,000

RESOLVED:

Section 1. An additional sum of \$250,000 is hereby appropriated for the design and construction of renewable energy projects to be installed at various water facilities to supply power year round at such facilities, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Renewable Energy Projects – Water Facilities appropriation and bond authorization for \$300,000 (WDS.BL0014.01). The total appropriation for this project will now be \$550,000.

Section 2. To meet said appropriation an additional \$250,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness

authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 31

RESOLUTION APPROPRIATING \$1,000,000 FOR RESERVOIR #6 – FILTERED BASIN IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for Phase II improvement projects to the filtered water basins at the Bloomfield Water Treatment Facility based on the cleaning and inspection of such basins in 2012, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness

authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 32

RESOLUTION APPROPRIATING \$2,000,000 FOR VARIOUS TRANSMISSION MAIN DESIGN & CONSTRUCTION AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of various water transmission mains and appurtenances throughout the District, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following

recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 33

RESOLUTION APPROPRIATING AN ADDITIONAL \$700,000 FOR WATER MAIN REPLACEMENTS - WETHERSFIELD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$700,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$3,700,000

RESOLVED:

Section 1. An additional sum of \$700,000 is hereby appropriated for the construction of the replacement of various water mains and appurtenances in Wethersfield as a result of the infrastructure exceeding the life expectancy, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Water Main Replacement – Wethersfield appropriation and bond authorization of \$3,000,000 (WDS.DM0114.01). The total appropriation for this project will now be \$3,700,000.

Section 2. To meet said appropriation an additional \$700,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking

Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 34

RESOLUTION APPROPRIATING \$1,000,000 FOR THE WATER REHABILITATION PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for the replacement of the deteriorating segments of the District's water infrastructure, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan

obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 35

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,100,000 FOR WATER SUPPLY GENERATORS AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,100,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,250,000

RESOLVED:

Section 1. An additional sum of \$1,100,000 is hereby appropriated for the design and construction for the upgrade and replacement of generator systems at Barkhamsted headquarters, Goodwin Gatehouse, West Branch headquarters, Colebrook and Nepaug Dams and other facilities, including all supporting equipment, appurtenances and electrical service, paving and road work, site work, lighting, site security, utility duct banks, hazardous material abatement, the procurement of a portable generator as emergency backup, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2013 and 2014 Water Supply Generators appropriations and bond authorizations of \$350,000 (WAT.CW1363.01) and \$2,800,000 (WAT.CW1363.02) respectively. The total appropriation for this project will now be \$4,250,000.

Section 2. To meet said appropriation an additional \$1,100,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 36

RESOLUTION APPROPRIATING \$3,000,000 FOR WATER SUPPLY IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$3,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,000,000 is hereby appropriated for various projects at dams, reservoirs and pipelines, including but not limited to the inspection, design and construction of the following: Goodwin Dam sluicegate replacement; Goodwin dam spillway channel rock stabilization; Nepaug Weir slope stabilization; Richard's Corner Dam diversion channel and spillway upgrades; Saville Dam Lower Gatehouse and Diversion Conduit structural and pipeline rehabilitation; service road reconstruction and watershed land improvement; and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such

authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 37

RESOLUTION APPROPRIATING \$1,000,000 FOR WATER TREATMENT FACILITIES UPGRADES AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for various projects at West Hartford, Reservoir #6 and Collinsville Water Treatment Facilities, including but not limited to the inspection, design and construction of the following: Res. #6 Water Treatment Facility: plant water booster station overhaul – replace pumps, valves, pipe, motors, painting; intake house – (4) electric valve operators for the intake sluice gates; aeration basin – (4) electric valve operators capable of remote operation; rapid mix chamber – (4) electric valve operators for both the influent and effluent sluice gates; raw water vault – replace original electric valve operators on the east and west raw water lines; flocculation – replace original motor and gear boxes on 7.5 and 15 HP floc drives; sedimentation – rebuild and or replace (4) mud valves and operating shafts; West Hartford Water Treatment Facility, Administration Building – replace loading dock and add controls; chemical plant – replace loading dock and controls; filter houses – replace manually operated valves and add electric valve operators capable of remote operation; and legal, administrative and other financing costs related thereto. District

forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 38

RESOLUTION APPROPRIATING AN ADDITIONAL \$1,100,000 FOR THE WETHERSFIELD WATER PUMP STATION, WETHERSFIELD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$1,100,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$4,000,000

RESOLVED:

Section 1. An additional sum of \$1,100,000 is hereby appropriated for the upgrade and replacement of all equipment and structures associated with the Wethersfield Water Pump

Station, Wethersfield, including but not limited to electrical, mechanical, structural, instrumentation and controls, electrical service, suction and discharge piping, emergency power generator systems, building improvements, site security, storm water improvements and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2014 Wethersfield Pump Station Improvements appropriation and bond authorization of \$2,900,000 (WDS.PS0214.01). The total appropriation for this project will now be \$4,000,000.

Section 2. To meet said appropriation an additional \$1,100,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 39

RESOLUTION APPROPRIATING \$2,900,000 FOR WHWTF WATER STORAGE IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF \$2,900,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,900,000 is hereby appropriated for the construction of a 2.5 million gallon concrete finished water storage tank at the West Hartford Water Treatment Facility and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,900,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 40

RESOLUTION APPROPRIATING \$4,400,000 FOR WATER MAIN REPLACEMENT – BOND STREET AREA, HARTFORD AND AUTHORIZING THE ISSUANCE OF \$4,400,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,400,000 is hereby appropriated for the design and construction of water main and service replacements in the Bond Street Area in Hartford. Streets including, but not limited to water mains and service in Bond Street, Redding Street, Preston Street, Wayland Street and Olds Place and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,400,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 41

RESOLUTION APPROPRIATING \$600,000 FOR WATER MAIN REPLACEMENT
– BUCKINGHAM STREET AREA, HARTFORD AND AUTHORIZING THE

ISSUANCE OF \$600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$600,000 is hereby appropriated for the design and construction of water main replacements in the Buckingham Street area in Hartford, including, but are not limited to, water main replacements in Buckingham Street, Hudson Street, Hungerford Street, Clinton Street, West Street and Whitman Court and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

RESOLUTION APPROPRIATING AN ADDITIONAL \$950,000 FOR WATER MAIN REPLACEMENT – COTTAGE GROVE ROAD & TALCOTTVIEW ROAD, BLOOMFIELD AND AUTHORIZING THE ISSUANCE OF AN ADDITIONAL \$950,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION FOR A TOTAL APPROPRIATION AND BOND AUTHORIZATION OF \$2,400,000

RESOLVED:

Section 1. An additional sum of \$950,000 is hereby appropriated for the design and construction of water main replacements in Cottage Grove Road and Talcottview Road, Bloomfield and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead. This appropriation is an increase to the 2011 Water Main Replacement – Cottage Grove Road, Bloomfield appropriation and bond authorization of \$1,450,000 (WAT.CW1296.01). The total appropriation for this project will now be \$2,400,000.

Section 2. To meet said appropriation an additional \$950,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Drinking Water Obligations shall recite that every requirement of law relating to its issue

has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 43

RESOLUTION APPROPRIATING \$2,000,000 FOR WATER MAIN REPLACEMENT – GARDEN STREET AREA, WETHERSFIELD AND AUTHORIZING THE ISSUANCE OF \$2,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,000,000 is hereby appropriated for the design and construction of water main replacements in the Garden Street area in Wethersfield, including, but are not limited to water main replacements in Garden Street, Lincoln Road, Garden Court, Dorchester Road, and Deerfield Road and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Section 3. The Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection and the State of Connecticut Department of Public Health to be eligible for funding under Section 22a-475 *et seq.* of the General Statutes of Connecticut, Revision of 1958, as amended (the "Drinking Water Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations and project loan obligations ("Drinking Water Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Drinking Water Obligations, Project Loan Agreements and any other instruments, agreements or certificates under the Drinking Water Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Drinking Water Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Drinking Water Obligations, subject to the provisions of the Drinking Water Program, shall be determined by the District Board, following recommendation of the Board of Finance. Drinking Water Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged therefore by the District Board in accordance with Connecticut laws and the District's Charter. Each of

the Drinking Water Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

2015 CIP PROJECT RESOLUTION NO. 44

RESOLUTION APPROPRIATING \$3,500,000 FOR CONSTRUCTION SERVICES
AND AUTHORIZING THE ISSUANCE OF \$3,500,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$3,500,000 is hereby appropriated for the costs of the management of District's capital improvement projects including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$3,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 45

RESOLUTION APPROPRIATING \$2,500,000 FOR CONSTRUCTION SERVICES
AND AUTHORIZING THE ISSUANCE OF \$2,500,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$2,500,000 is hereby appropriated for developing and designing the District's capital improvement projects, including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$2,500,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut

laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 46

RESOLUTION APPROPRIATING \$1,000,000 FOR THE ADMINISTRATION FACILITIES IMPROVEMENT PROGRAM AND AUTHORIZING THE ISSUANCE OF \$1,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,000,000 is hereby appropriated for the replacement and/or upgrade to the District's deteriorating Administration facilities which include building improvements, site improvements, equipment improvements and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 47

RESOLUTION APPROPRIATING \$800,000 FOR FLEET REPLACEMENT AND AUTHORIZING THE ISSUANCE OF \$800,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$800,000 is hereby appropriated for the replacement of transportation and power operated equipment, the purchase of stationary generators, engines and emergency response equipment, and the installation of global positioning systems and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$800,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 48

RESOLUTION APPROPRIATING \$5,000,000 FOR INFORMATION TECHNOLOGY – FINANCE MODULES AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the implementation of new finance modules within the SAP system, including but not limited to new general ledger, budget and CO modules and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 49

RESOLUTION APPROPRIATING \$5,000,000 FOR INFORMATION TECHNOLOGY – OPERATIONAL MODULES AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the implementation of new operational modules within the SAP system, including, but not limited to new material management, plant maintenance and project systems modules and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 50

RESOLUTION APPROPRIATING \$5,000,000 FOR INFORMATION TECHNOLOGY – BUSINESS TRANSFORMATION SAP UPGRADES AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the cost of technical support for the District's information technology programs, including, but not limited to SAP upgrades, and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 51

RESOLUTION APPROPRIATING \$1,600,000 FOR MEMBER TOWNS NEW GIS BASE MAP DEVELOPMENT AND AUTHORIZING THE ISSUANCE OF \$1,600,000 BONDS OF THE DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$1,600,000 is hereby appropriated for the use of photogrammetric services to fly over the 8 member towns, capturing high resolution digital images that will be used to compile new topographic elevation features, and new planimetric features (such as roads, buildings, water bodies, vegetation, sidewalks, etc.) and 4 band digital orthophoto images for use as a replacement to the existing land base map in the District's enterprise GIS geodatabase; and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$1,600,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 52

RESOLUTION APPROPRIATING \$5,000,000 FOR SURVEY & CONSTRUCTION
AND AUTHORIZING THE ISSUANCE OF \$5,000,000 BONDS OF THE
DISTRICT TO MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$5,000,000 is hereby appropriated for the survey and construction inspection of all water and sewer projects within the District's service area, including projects installed under District contract and developer permit agreements and legal, administrative other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$5,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

2015 CIP PROJECT RESOLUTION NO. 53

RESOLUTION APPROPRIATING \$4,000,000 FOR TECHNICAL SERVICES AND
AUTHORIZING THE ISSUANCE OF \$4,000,000 BONDS OF THE DISTRICT TO
MEET SAID APPROPRIATION

RESOLVED:

Section 1. The sum of \$4,000,000 is hereby appropriated for technical support to all of the District's capital improvement projects and clean water project including improvements to and expansion of the District's water distribution and sewer collection systems and related work on water and sewage treatment plants and legal, administrative and other financing costs related thereto. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

Section 2. To meet said appropriation \$4,000,000 bonds of the District are authorized to be issued in one or more series in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Commissioner Caban and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

On motion made by District Chairman DiBella and duly seconded, agenda items 5B Budget Expenditures, 5C Budget Revenues, 5D Hydroelectric Expenditures and Revenues and 5E Referral of Proposed 2015 Budget were consolidated to be voted on together.

FISCAL YEAR 2015 - BUDGET EXPENDITURES

To: Board of Finance for consideration on November 17, 2014

It is **RECOMMENDED** that it be:

Voted: That the estimated 2015 budget expenditures in the total amount of \$143,453,400 be referred to the District Board for acceptance and approval as follows:

Budget Appropriations	Water	Sewer	Total
District Board	122,700	117,800	240,500
Executive Office	359,200	345,100	704,300
Administrative Services	169,500	162,800	332,300
Legal	884,600	850,000	1,734,600
Human Resources	743,800	714,600	1,458,400
Information Technology	4,106,600	2,022,700	6,129,300
Finance	2,836,400	2,725,000	5,561,400
Environment, Health and Safety	580,600	557,900	1,138,500
Engineering and Planning	722,900	694,700	1,417,600
Customer Service	3,353,900	1,727,700	5,081,600
Operating Office	582,100	559,300	1,141,400
Operations	7,491,300	2,497,200	9,988,500
Laboratory Services	906,400	836,700	1,743,100
Water Pollution Control	-	16,539,200	16,539,200
Maintenance	5,750,400	5,524,800	11,275,200
Water Treatment & Supply	9,051,800	-	9,051,800
Patrol	1,767,900	-	1,767,900
Debt Service	17,321,500	19,728,000	37,049,500
Employee Benefits	13,816,300	4,277,600	18,093,900
General Insurance	2,858,600	1,225,100	4,083,700
Taxes and Fees	3,143,800	-	3,143,800
Special Agreements and Programs	2,580,800	671,000	3,251,800
Contingencies	831,200	622,000	1,453,200
Riverfront Park Systems	1,071,900	-	1,071,900
Total Water and Sewer Budget	81,054,200	62,399,200	143,453,400

FISCAL YEAR 2015 - BUDGET REVENUES

To: Board of Finance for consideration on November 17, 2014

It is **RECOMMENDED** that it be

Voted: That the 2015 Budget Revenues in the total amount of \$143,453,400 be referred to the District Board for acceptance and approval as follows:

WATER REVENUES

Sale of Water and Other Operating Revenue	\$76,092,200
Non-Operating Revenues	<u>3,516,000</u>
Sub-Total - Water Revenues	\$79,608,200

OTHER FINANCING SOURCES

Contribution from Working Funds	\$1,446,000
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Total Source of Revenues and Other Financing

Sources – Water Operations	<u>\$81,054,200</u>
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SEWER REVENUES

Tax on Member Municipalities	\$37,946,400
Revenue from Other Government Agencies	3,063,400
Other Sewer Revenues	11,782,200
Sewer User Charge Revenue	<u>6,002,100</u>
Sub-Total - Sewer Revenues	\$58,794,100

OTHER FINANCING SOURCES

Contributions/Transfers from Other Funds	\$3,605,100
Revenue Surplus Designated from Prior Year	<u>0</u>
Sub-Total - Other Financing Sources	\$3,605,100

Total Source of Revenues and Other Financing Sources – Sewer Operations

\$62,399,200

TOTAL REVENUE AND OTHER FINANCING SOURCES - WATER AND SEWER OPERATIONS

\$143,453,400

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

FISCAL YEAR 2015 - HYDROELECTRIC EXPENDITURES AND REVENUES

To: Board of Finance for consideration on November 17, 2014

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board for acceptance and approval an appropriation of \$963,900 for the operation of the Hydroelectric Program.

Further

Voted: That the Board of Finance recommends to the District Board for acceptance and approval estimated Hydroelectric revenues of \$963,900 in support of operations as follows:

Power Sales	\$ 959,900
Interest Income	4,000
Designated from Surplus	<u>0</u>

Total Hydroelectric	<u>\$ 963,900</u>
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Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

REFERRAL OF PROPOSED 2015 BUDGET

To: Board of Finance for consideration on November 17, 2014.

Upon disposition of the preceding votes, it is **RECOMMENDED** that it be

Voted: That, in compliance with Section 3-7 of the Charter of The Metropolitan District, the accompanying budget of said District for the fiscal year 2015, as set forth in the tabulations entitled "Budget for The Metropolitan District for the Fiscal Year 2015," after publication as required by law, shall be referred to the District Board at its December meeting, for acceptance and approval.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, agenda items 5B Budget Expenditures, 5C Budget Revenues, 5D Hydroelectric Expenditures and Revenues and 5E Referral of Proposed 2015 Budget were received and the resolutions recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2015 - TAX ON MEMBER MUNICIPALITIES

To: Board of Finance for consideration on November 17, 2014

A Fiscal Year 2015 Tax Levy on The Metropolitan District's member municipalities in the amount of \$37,946,400 is recommended in support of the proposed 2015 budget. In accordance with the District Board's policy, taxes may be paid in quarterly installments. To coincide with the fiscal year cycle (July 1 – June 30) adhered to by the member municipalities, the quarterly tax payments are unbalanced. The amount of the tax due in the first half of 2015 will be equivalent to 50% of the total 2014 tax levy. This amount (when paid) will be subtracted from the total 2015 tax levy: the balance is the amount due in the second half of the year.

Apportionment of the Fiscal Year 2015 tax among the member municipalities and the amount due on each installment will be as follows:

Tax History by Town	2011	2012	2013	2014	2015
Hartford	\$9,046,600	\$9,472,000	\$9,955,500	\$10,394,800	\$10,436,300
East Hartford	\$3,769,700	\$3,856,000	\$3,964,500	\$4,209,900	\$4,550,000
Newington	\$2,794,700	\$2,888,200	\$3,014,900	\$3,129,800	\$3,331,200
Wethersfield	\$2,619,900	\$2,682,500	\$2,756,900	\$2,822,100	\$3,062,300
Windsor	\$2,893,400	\$2,956,200	\$3,026,500	\$3,109,400	\$3,265,700
Bloomfield	\$2,399,000	\$2,488,900	\$2,584,900	\$2,610,500	\$2,789,100
Rocky Hill	\$1,869,100	\$1,941,700	\$2,011,100	\$2,087,400	\$2,182,400
West Hartford	\$6,968,100	\$7,207,700	\$7,485,100	\$7,792,700	\$8,329,400
Total	\$32,360,500	\$33,493,200	\$34,799,400	\$36,156,600	\$37,946,400

It is therefore **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section 3-12 and 3-13 of the District Charter, a tax on the member municipalities comprising The Metropolitan District, in the sum of \$37,946,400, shall be due and payable in favor of The Metropolitan District in four installments on the following due dates: the first installment, totaling \$9,039,150, shall be due and payable on January 21, 2015; the second installment, totaling \$9,039,150, shall be due and payable on April 15, 2015; the third installment, totaling \$9,934,050, shall be due and payable on July 15, 2015; and the fourth installment, totaling \$9,934,050, shall be due and payable October 21, 2015. Apportionment of the Fiscal Year 2015 tax among the member municipalities and the amount due on each installment shall be as follows:

Installment Date	1/21/2015	4/15/2015	7/15/2015	10/21/2015	Total
Hartford	\$2,598,700	\$2,598,700	\$2,619,450	\$2,619,450	\$10,436,300
East Hartford	1,052,475	1,052,475	1,222,525	1,222,525	4,550,000
Newington	782,450	782,450	883,150	883,150	3,331,200
Wethersfield	705,525	705,525	825,625	825,625	3,062,300
Windsor	777,350	777,350	855,500	855,500	3,265,700
Bloomfield	652,625	652,625	741,925	741,925	2,789,100
Rocky Hill	521,850	521,850	569,350	569,350	2,182,400
West Hartford	1,948,175	1,948,175	2,216,525	2,216,525	8,329,400
Total	\$9,039,150	\$9,039,150	\$9,934,050	\$9,934,050	\$37,946,400

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

FISCAL YEAR 2015 - REVISIONS TO DISTRICT SEWER USER CHARGE RATES AND OTHER RELATED CHARGES

To: Board of Finance for consideration on November 17, 2014

In accordance with Section S12j of the District's Ordinances, sewer use unit charge rates shall be determined annually in conjunction with adoption of the District Budget. The 2015 budget in support of sewer operations calls for a sewer user charge rate of \$2.75, which is 4.96% higher than the prior year.

Following the cost trends for the sewer user charge rate, it is recommended the BOD and COD rate be increased to \$0.33 per pound respectively. In addition, the suspended solids strength charge will increase to \$0.27 per pound. These unit charges, which apply to high flow users, low flow/high strength users and non-municipal tax-exempt users, are for the following:

1. Liquid flow charge rate based on sewer flow in hundreds of cubic feet (CCF).
2. a. BOD (biochemical oxygen demand) strength charge rate based on pounds of BOD for the concentration of BOD exceeding 300 milligrams per liter (mg/l).
b. COD (chemical oxygen demand) strength charge rate based on pounds of COD for that concentration of COD exceeding 700 mg/l.
3. Suspended solids strength charge rate based on pounds of suspended solids for that concentration exceeding 300 mg/l.

In accordance with Section S12p of the District's Ordinances, sewer user charge Late Filing/Sewage Evaluation Fees shall be determined in conjunction with adoption of the District Budget. The 2015 budget in support of sewer operations calls for determining the late filing/sewage evaluation fees as follows:

Certification Statement
Late Filing Fee

\$250.00

Sewage Evaluation
Fee for each sewer discharge point the
District samples and performs laboratory
analyses on the effluents obtained therefrom
due to such default by a user

Actual cost
+ overhead

Additionally, in accordance with Section S12x of the District's Ordinances, the special sewer service charge primarily for payment of principal and interest on certain bonds and loans which proceeds are used to finance the costs associated with the Clean Water Project. The special sewer service charge is set annually in conjunction with adoption of the District Budget. Effective January 1, 2015, said charge shall be \$2.90 per hundred cubic feet (ccf) to be uniformly applied and to be proportional to the quantity of water used by District customers who utilize the District sewer system and are furnished water directly by the Metropolitan District. The special sewer service charge shall appear separately on the water bills of the District.

Remediated Groundwater Charges: A maintenance fee is required as part of the approval from MDC for acceptance of the discharge of remediated groundwater to the sanitary sewer. This fee is associated with the review and analysis of the permit application, location of the discharge and operational requirements to manage the groundwater at the wastewater treatment facility.

FOG Charges: Fees are charged to Class III and IV food service establishments to offset the costs of managing the Fats, Oils and Grease (FOG) program. This program is required by the CT Department of Energy and Environmental Protection General Permit for the Discharge of Wastewater Associated with Food Preparation Establishments.

Remediated Groundwater	\$0.10/gal
<u>FOG Fees</u>	
Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00
Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00
Source of sanitary sewer overflow (minimum) - Actual costs will be billed to the facility for time and materials related to the overflow	\$1,000.00

It is **RECOMMENDED** that it be

Voted: That the District Board approve the following resolution:

Resolved: That, in accordance with Section S12j of the District Ordinances, Unit Charges For Computing The Sewer User Charge, a sewer user charge rate of two dollars and seventy-five cents (\$2.75) per hundred cubic feet of sewer flow be effective for meter readings on and after January 1, 2015 and that, effective January 1, 2015, a BOD strength charge of thirty-three cents (\$0.33) per pound be billed on sewer flow for that concentration of BOD exceeding 300 milligrams per liter; a

COD strength charge of twenty-seven cents (\$0.27) per pound be billed on sewer flow for that concentration of COD exceeding 700 milligrams per liter; and a suspended solids strength charge of twenty-seven (\$0.27) per pound be billed on sewer flow for that concentration of suspended solids exceeding 300 milligrams per liter.

Also, in accordance with Section S12p of the District Ordinances, the Late Filing Fee for Certification Statements shall be two hundred and fifty dollars (\$250.00) and the Sewage Evaluation Fee for each sewer discharge point the District samples and performs laboratory analyses on the effluents obtained therefrom due to such default by a user shall be the actual cost to the District plus overhead.

Further

Resolved: In accordance with Section S12x of the District's Ordinances, the rate for the special sewer service charge shall be \$2.90 per ccf commencing January 1, 2015.

Also Voted: That the District Board approve the following schedule of fees effective January 1, 2015.

Remediated Groundwater	\$0.10/gal
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FOG Fees

Initial Registration Application	\$80.00
Initial Variance Application	\$80.00
Annual FOG Fee	\$80.00
Failure to submit Registration or Variance Applications	\$100.00
Disallow Inspection	\$100.00
Failure to maintain records in proper order	\$100.00
Failure to maintain outdoor or indoor grease removal devices in properly working order	\$200.00
Failure to clean outdoor or indoor grease removal devices quarterly or when 25% of the depth of the trap is filled with food solids and FOG, whichever comes first.	\$200.00
Failure to properly dispose of brown and/or yellow grease	\$200.00
Source of sanitary sewer overflow (minimum) - Actual costs will be billed to the facility for time and materials related to the overflow	\$1,000.00

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution recommended to the District Board by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 6:07 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

December 1, 2014

Date of Approval

**BOARD OF FINANCE
SPECIAL MEETING
The Metropolitan District
555 Main Street, Hartford
Monday, December 1, 2014**

PRESENT: Commissioners Allen Hoffman, William P. Horan Pasquale J. Salemi; Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin, and District Chairman William A. DiBella (7)

ABSENT: Commissioner Luis Caban and Citizen Members William Cibes and Richard Mulready (3)

ALSO

PRESENT: Charles P. Sheehan, Chief Executive Officer
John M. Zinzarella, Deputy Chief Executive Officer, Business Services
R. Bartley Halloran, District Counsel
Brendan Fox, Assistant District Counsel
John S. Mirtle, District Clerk
Rob Constable, Manager of Budgeting and Analysis
Gerald J. Lukowski, Director of Operations
Kelly Shane, Director of Procurement
Stanley Pokora, Manager of Treasury
Sue Negrelli, Director of Engineering
Kerry E. Martin, Assistant to the Chief Executive Officer
Cynthia A. Nadolny, Executive Assistant
Joe Vitale, Bond Counsel

CALL TO ORDER

Chairman Salemi called the meeting to order at 5:24 PM

PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS

No one from the public appeared to be heard.

APPROVAL OF MINUTES

On motion made by Citizen Member Courneen and duly seconded, the meeting minutes of the Board of Finance meeting of November 17, 2014 were approved.

APPROVAL FOR STATE OF CONNECTICUT FINANCING – CWF NO. 652-C

To: Board of Finance for consideration on December 1, 2014

Staff seeks approval from your Board to execute and deliver the Interim Funding Obligation and/or Project Loan Obligation to the State of Connecticut for CWF No. 652-C having a principal amount of \$77,808,705.43 and having an interest rate of 2.00%.

The low interest loan and grant will fund the construction of new headworks and primary treatment, including wet weather capabilities, odor control and dry ash at the Hartford wastewater treatment plant.

The State of Connecticut, through the Clean Water Fund Program, will provide \$117,747,821.60 in state funding with approximately \$39,939,116.17 in grants and \$77,808,705.43 in low interest loans at 2.00% to fund the expenses associated with this agreement.

Bond Counsel prepared the following resolution for your approval.

It is therefore RECOMMENDED that it be

VOTED: That the Board of Finance recommends to the District Board passage of the following resolution from Bond Counsel

RESOLVED:

Section 1. The Chairman and the District Treasurer or Deputy Treasurer are authorized to execute and deliver the Project Loan and Project Grant Agreement CWF No. 652-C to be entered into with the State of Connecticut (the "Agreement") and any and all Interim Funding Obligations and Project Loan Obligations for CWF No. 652-C in the aggregate amount not to exceed \$77,808,705.43. Such Interim Funding Obligations shall be dated as of their date of issue, shall mature within six months of the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum, shall be payable as to principal and interest as provided in the Agreement and, to the extent not paid prior to maturity from The Metropolitan District funds, may be renewed by the issuance of Interim Funding Obligations or Project Loan Obligations, all as provided in the Agreement. Capitalized terms used herein and not defined shall have the meanings ascribed to them in the Agreement.

Section 2. The Project Loan Obligations shall be dated as of their date of issue, shall mature no later than twenty years from the Scheduled Completion Date, shall bear interest at the rate of two percent (2.00%) per annum and shall be payable as to principal and interest as provided in the Agreement.

Respectfully Submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

**DEBT ISSUANCE RESOLUTION TO REFUND BANS MATURING
DECEMBER 5, 2014 FOR THE CLEAN WATER PROJECT**

THROUGH REVENUE BONDS

Issuance of Up To \$140,000,000 in Revenue Bonds

To: Board of Finance for consideration on December 1, 2014.

Staff is seeking authority for the District to issue up to \$140,000,000 in revenue bonds that will refund \$140,000,000 in existing bond anticipation notes due on December 5, 2014 and provide additional funds for the Clean Water Project.

Revenue Bonds will be dated the date of their delivery and will mature in annual installments of principal through November 1, 2042.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$140,000,000 CLEAN WATER PROJECT REVENUE BONDS OF THE METROPOLITAN DISTRICT, THE EXECUTION OF DELIVERY OF A SECOND SUPPLEMENTAL INDENTURE TO THE SPECIAL OBLIGATION INDENTURE OF TRUST, AND RELATED AGREEMENTS

WHEREAS, the Metropolitan District (the “District”) has entered into a consent decree of the United States District Court of the District of Connecticut, by and between the District, the United States Department of Justice, the U.S. Attorney’s Office, the United States Environmental Protection Agency and the State of Connecticut Attorney General (the “U.S. Consent Decree”), and a consent order and a general permit for nitrogen discharges, and existing municipal national pollutant discharge elimination system permits of the State of Connecticut Department of Energy and Environmental Protection, formerly the State of Connecticut Department of Environmental Protection (“CDEP”) entered into by and between the District and the Commissioner of the CDEP (the “Connecticut Consent Order” and together with the U.S. Consent Decree, the “Governmental Orders”), including, but not limited to the obligation to provide for (i) the rehabilitation and reconstruction of portions of the District’s sanitary sewer systems, (ii) the renovation of the combined sewer system, (iii) improvements to water pollution control facilities, (iv) development of a nitrogen removal program, (v) the separation of sewerage and storm water drainage collection systems, (vi) the construction of additional storage, conveyance and treatment facilities (herein referred to as the “Project”);

WHEREAS, the District to date has appropriated \$1.6 billion in capital expenditures for the costs of the Project, and authorized the issuance of \$1.6 billion in its bonds for purposes of financing such capital expenditures;

WHEREAS, the District has issued bond anticipation notes in anticipation of the issuance of the bonds authorized for the Project;

WHEREAS, the District has by ordinance adopted October 1, 2007 adopted Section S12x of its Ordinances Relating to Sewers, which provides for a Special Sewer Service Surcharge for customers of the District who utilize the District's sewer system and are furnished water directly by the District (the "Special Sewer Service Surcharge"), which Special Sewer Service Surcharge shall be established annually through the District's budget approval process, shall be uniformly applied to, and be proportional to the quantity of water used by, such customers, and shall be used exclusively for the payment of principal and interest on certain bonds issued or which may be issued and other loans, including State of Connecticut Clean Water Fund loans, to finance all costs associated with any and all measures necessary to comply with the Governmental Orders, including the costs of the Project;

WHEREAS, Chapter 103 of the General Statutes the Municipal Sewerage Act (the "Municipal Act") provides for the issuance of bonds, notes and other obligations by a municipality, including the District, which may be secured as to both principal and interest by a pledge of revenues to be derived from sewerage system use charges, including the Special Sewer Service Surcharge;

WHEREAS, the District's Charter, as amended by Special Act 90-27 and Special Act 14-21, and as it may be amended from time to time (the "Charter," and together with the Municipal Act, the "Authorizing Acts"), provides for the issuance of bonds, notes and other obligations by the District, and in connection therewith to enter into reimbursement agreements or similar agreements in connection with credit facilities, including, but not limited to, letters of credit or policies of bond insurance, remarketing agreements and agreements for the purpose of moderating interest rate fluctuations;

WHEREAS, the District proposes to issue special obligation bonds to be secured by a pledge of the Special Sewer Service Surcharge pursuant to the Authorizing Acts;

WHEREAS, special obligation bonds issued pursuant to the Authorizing Acts and not secured by the general obligation of the District are not subject to the limit on indebtedness of the District provided for in the Charter;

WHEREAS, the District proposes to issue bonds pursuant to a Special Obligation Indenture (the "Special Obligation Indenture") and a Second Supplemental Indenture thereto the "Second Supplemental Indenture" and together with the Special Obligation Indenture, the "Indenture") with U.S. Bank National Association, to finance the costs of the Project;

WHEREAS, the Indenture provides for the issuance of the Special Obligation Bonds (the "Bonds") of the District, payable solely from the Special Sewer Service Surcharge, and other receipts, funds or moneys pledged under the Indenture, if any, and that such Bonds shall be special obligations of the District, payable solely from Pledged Revenues (as that term is used

in the Special Obligation Indenture, and other receipts, funds or moneys pledged under the Indenture, and respective supplemental indentures thereto for each series of Bonds;

WHEREAS, Section 11 of S.A. 14-21 provides:

The state of Connecticut does hereby pledge to and agree with the holders of any bonds, notes and other obligations issued by the Metropolitan District in Hartford County created pursuant to number 511 of the special acts of 1929, as amended, under the authority of chapter 103 of the general statutes or under section 4 of special act 90-27, as amended by section 6 of public act 93-380 and section 10 of this act, which are payable solely from the income and revenue of a particular facility, system or program or the revenues to be derived from sewerage system use charges, and with those parties who may enter into contracts with the district in respect of the same, that the state will not limit or alter the rights vested in the authority to charge and collect such income, revenues, or sewerage system use charges, or in the holders of any bonds, notes or other obligations of the district until such obligations, together with the interest thereon, are fully met and discharged and such contracts are fully performed on the part of the district, provided nothing contained herein shall preclude such limitation or alteration if and when adequate provision shall be made by law for the protection of the holders of such bonds, notes and other obligations of the district or those entering into contracts with the district. The district is authorized to include this pledge and undertaking for the state in such bonds, notes and other obligations or contracts.

WHEREAS, the Bonds constitute "bonds, notes and other obligations issued by the Metropolitan District in Hartford County created pursuant to number 511 of the special acts of 1929, as amended, under the authority of chapter 103 of the general statutes or under section 4 of special act 90-27, as amended by section 6 of public act 93-380 and section 10 of this act [Special Act 14-21], which are payable solely from the income and revenue of a particular facility, system or program or the revenues to be derived from sewerage system use charges" as described in the aforesaid Section 11, and the District desires to include the aforesaid pledge and undertaking of the State in the Bonds, notes and other obligations or contracts relating to the Bonds by amending the Indenture applicable to the Bonds..

WHEREAS, the District proposes to issue up to \$140,000,000 of its Bonds to be designated to the 2014 Series A Bonds (the "2014 Series A Bonds") to refund bond anticipation notes issued to fund costs of the Project and to fund costs of the Project;

WHEREAS, the District has prepared a preliminary official statement for the purpose of presenting information in connection with the offering and sale of the Bonds.

WHEREAS, the District proposes to issue and sell the 2014 Series A Bonds to underwriters led by J.P. Morgan Securities LLC (or its affiliates), pursuant to a bond purchase agreement (the "Contract for Purchase"), to be negotiated between the District and the underwriters.

WHEREAS, the 2014 Series A Bonds are expected to be issued on terms such that the interest thereon shall be excludable from gross income for federal income tax purposes, and for the purpose of establishing such terms and giving assurance as to future compliance with

the Internal Revenue Code of 1986, the District proposes to enter into a Tax Regulatory Agreement.

WHEREAS, pursuant to Rule 15c2-12 promulgated by the U.S. Securities and Exchange Commission under the Securities Exchange Act of 1934, as amended, as part of the offering of the 2014 Series A Bonds the District proposes to enter into a Continuing Disclosure Agreement.

NOW THEREFORE, BE IT RESOLVED by the District Board as follows:

Section 1. The District Board determines it is advisable and in the best interest of the District to authorize, and does hereby authorize, the District to enter into the Second Supplemental Indenture to the Special Obligation Indenture of Trust to provide for the terms of the Bonds proposed to be issued by the District, and to amend the Special Obligation Indenture of Trust to include the pledge and undertaking of the State as authorized by Section 11 of S. A. 14-21, as provided for therein, such agreement to be in the form, or substantially the form, as has been or shall be distributed to the District Board, and authorizes the proper officers of the District to execute and deliver such agreement in such form, with such further changes and additions as such officers shall approve, such approval to be conclusively evidenced by the execution and delivery of such agreements by such officers.

Section 2. The District Board, having reviewed the form of preliminary official statement, authorizes the use of such preliminary official statement in connection with the public offering of the 2014 Series A Bonds, with such further changes and additions as the proper officers shall deem advisable, and authorizes the Chair and the District Treasurer, or in his absence, the Deputy Treasurer, in the name of the District, to deem the preliminary official statement and such supplements thereto when appropriate and execute a final official statement and such supplements, and any further amendment or supplement thereto, in connection with and after the sale of the Bonds.

Section 3. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount, maturities, rate or rates of interest redemption terms, and the other particulars of the 2014 Series A Bonds, and to deliver the 2014 Series A Bonds to the purchaser thereof in accordance with this resolution. The Chairman and the District Treasurer or in his absence the Deputy Treasurer are authorized to execute and deliver a Second Supplemental Indenture setting forth all the terms of the 2014 Series A Bonds so determined, in such form as they shall deem necessary and appropriate. The Chairman and the District Treasurer or in his absence the Deputy Treasurer are authorized to negotiate, execute and deliver a Contract of Purchase setting forth all the terms of sale of the 2014 Series A Bonds, including the underwriters' discount for such sale, in such form as they shall deem necessary and appropriate. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement for the 2014 Series A Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the 2014 Series A Bonds in accordance with the terms of this resolution. The proper officers of the District are hereby authorized to do and perform such acts, and execute and deliver, in the name of the District,

such additional instruments, agreements and certificates as they deem necessary or appropriate to carry into effect the intent of the foregoing resolutions, and as shall not be inconsistent with the foregoing resolutions.

Section 5. The District hereby determines and declares, for purposes of Section 7-263 of the Connecticut General Statutes, that the 2014 Series A Bonds are part of a single plan of finance that also includes all prior borrowings from the State of Connecticut Clean Water State Revolving Fund for the purpose of financing the Project, and all prior general obligation and revenue bonds of the District (but not any bond anticipation notes issued in anticipation of the issuance of such general obligation bonds) issued for purposes of financing the Project.

Section 6. For purposes of this resolution, the “proper officers” of the District shall be the Chairman, the District Treasurer, and the Deputy Treasurer of the District, and each or any of them.

Section 7. This resolution will take effect immediately.

Respectfully submitted,

Charles P. Sheehan
Chief Executive Officer

On motion made by Citizen Member Courneen and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.

ADJOURNMENT

The meeting was adjourned at 5:28 PM

ATTEST:


John S. Mirtle, Esq.
District Clerk

February 11, 2015

Date of Approval

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To

MINUTES OF THE BOARD OF FINANCE

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