

**BOARD OF FINANCE  
SPECIAL MEETING  
The Metropolitan District  
555 Main Street  
Hartford, Connecticut 06103  
Wednesday, November 2, 2016**

**PRESENT:** Commissioners Allen Hoffman, William P. Horan and Pasquale J. Salemi;  
Citizen Members Ron Angelo, Martin B. Courneen, Linda King-Corbin and  
District Chairman William A. DiBella (7)

**ABSENT:** Commissioner Luis Caban (1)

**ALSO**

**PRESENT:** Commissioner Donald M. Currey  
Commissioner Whit Osgood  
Scott W. Jellison, Chief Executive Officer  
John M. Zinzarella, Deputy Chief Executive Officer, Business Services  
Christopher R. Stone, Assistant District Counsel  
John S. Mirtle, District Clerk  
Rob Constable, Director of Finance  
Robert Schwarm, Director of Information Technology  
Kelly Shane, Director of Procurement  
Robert Zaik, Interim Director of Human Resources  
Kerry E. Martin, Assistant to the Chief Executive Officer  
Cynthia A. Nadolny, Executive Assistant

**CALL TO ORDER**

Chairman Salemi called the meeting to order at 5:05 PM

**PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS**

No one from the public appeared to be heard.

**APPROVAL OF MINUTES**

*On motion made by Commissioner Hoffman and duly seconded, the meeting minutes of the Board of Finance meeting of October 5, 2016 and October 17, 2016 were approved.*

**AMENDED AND RESTATED DEBT ISSUANCE RESOLUTION  
FOR GENERAL OBLIGATION BONDS  
ISSUANCE OF UP TO \$173,500,000 IN GENERAL OBLIGATION BONDS**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to issue up to \$173,500,000 in general obligation bonds previously authorized by a resolution dated October 5, 2015 on a negotiated basis as well as on a competitive basis in the discretion of the District Treasurer, or in his absence, the Deputy Treasurer.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

**Voted:** That the Board of Finance recommends to the District Board passage of the following resolution:

**AMENDED AND RESTATED RESOLUTION AUTHORIZING THE  
ISSUANCE AND SALE OF NOT EXCEEDING \$173,500,000 IN  
GENERAL OBLIGATION BONDS OF THE METROPOLITAN DISTRICT**

Not exceeding \$173,500,000 Metropolitan District General Obligation Bonds (the "Bonds") are hereby authorized to be issued for capital improvement projects, to currently refund up to \$113,500,000 Bond Anticipation Notes of the District maturing December 1, 2016 (the "Outstanding Notes") and to fund up to \$60,000,000 in new money for Capital Improvement Projects, and pay certain costs of issuance. The Bonds are authorized to be issued to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Bonds not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Bonds shall be dated the date of their delivery, and shall mature on November 1 in each of the years 2017 or 2018 through 2036, bearing interest semi-annually each year until maturity (the "Bonds"). The Bonds shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Bonds. The Bonds shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering, or, in the discretion of the District Treasurer or in his absence, the Deputy Treasurer, in a negotiated offering. If sold in a competitive offering, the Bonds shall be awarded to the bidder or bidders offering to purchase the Bonds at the lowest true interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the principal amount to be issued, the principal amount to mature in each year, optional redemption date(s) and redemption premium(s), if any, the rate or rates of interest on the Bonds, and to deliver the Bonds to the purchaser(s) thereof in accordance with this resolution. If sold in a negotiated offering, the Chairman, or in his absence, the Vice Chairman, and the District Treasurer or in his absence the Deputy Treasurer are authorized to negotiate, execute and deliver a Contract of Purchase setting forth all the terms of sale of the Bonds, including the underwriters' discount for such sale, in such form as they shall deem necessary and appropriate. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to

execute and deliver a Tax Regulatory Agreement for the Bonds on behalf of the District in such form as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Bonds in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison  
Chief Executive Officer

***On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.***

**DEBT ISSUANCE RESOLUTION FOR BANS  
ISSUANCE OF UP TO \$173,500,000 IN BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to issue up to \$173,500,000 to renew bond anticipation notes for CIP projects and to provide up to \$60,000,000 in new money for CIP projects, unless the District issues general obligation bonds for such purposes.

Finance: Bond counsel prepared the following resolution for consideration by the Board of

It is therefore RECOMMENDED that it be:

**Voted:** That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$173,500,000 GENERAL OBLIGATION BOND ANTICIPATION NOTES OF THE METROPOLITAN DISTRICT**

Not exceeding \$173,500,000 Metropolitan District General Obligation Bond Anticipation Notes (the "Notes") are hereby authorized to be issued in anticipation of the issuance of bonds to refund previously issued bond anticipation notes and to fund up to \$60,000,000 in new money for Capital Improvement Projects set forth on Exhibit A hereto attached. The Notes are authorized to be issued to fund such portion of the authorized and unissued balances of the capital appropriations contained in certain bond resolutions adopted to finance capital budget items enacted by the District Board in the years and in the amounts set forth on Exhibit A hereto attached. Proceeds of the Notes not used to refund the Outstanding Notes shall be used on a first-spent basis to temporarily finance the expenditures for any of the purposes or projects set forth on

Exhibit A and for any supplemental purposes or projects the Board of Finance and the District Board may from the date hereof authorize to be financed by the issuance of bonds. The Notes shall be dated on or about November 30, 2016, and shall mature on or about July 28, 2017, bear interest payable at maturity and be issued in fully registered form. The Notes shall be payable at and certified by U.S. Bank National Association, which bank shall also serve as registrar and transfer agent for the Notes. The Notes shall be sold by the District Treasurer, or in his absence, the Deputy Treasurer, in a competitive offering or in a negotiated offering, in the discretion of the District Treasurer, or in his absence, the Deputy Treasurer. If sold in a competitive offering the Notes shall be awarded to the bidder or bidders offering to purchase the Notes at the lowest net interest cost to the District, and in no case for a sum less than par and accrued interest to the date of delivery. The District Treasurer, or in his absence the Deputy Treasurer, is hereby delegated the authority to determine the rate or rates of interest on the Notes, and to deliver the Notes to the purchaser or purchasers thereof in accordance with this resolution. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Tax Regulatory Agreement and, in the case of a negotiated sale, a Note Purchase Agreement for the Notes on behalf of the District in such forms as they shall deem necessary and appropriate, and to rebate to the Federal government such amounts as may be required pursuant to the Tax Regulatory Agreement for the purpose of complying with the requirements of the Internal Revenue Code of 1986, as amended. The Chairman, or in his absence, the Vice Chairman, and the District Treasurer, or in his absence, the Deputy Treasurer, are authorized to execute and deliver a Continuing Disclosure Agreement and any and all agreements and documents necessary to effect the issuance and sale of the Notes in accordance with the terms of this resolution.

Respectfully submitted,

Scott W. Jellison  
Chief Executive Officer

***On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.***

**RESOLUTION  
FOR THE REALLOCATION OF PROCEEDS OF CERTAIN GENERAL OBLIGATION  
BONDS AND GENERAL OBLIGATION BOND ANTICIPATION NOTES**

To: Board of Finance for consideration on November 2, 2016

Staff is seeking authority for the District to reallocate:

(a) Proceeds from the District's \$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated November 3, 2015 from the those capital improvement projects set forth on **Exhibit A-1** attached to those capital improvement projects set forth on **Exhibit A-1**;

(b) Proceeds from the District's \$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated December 4, 2014 from the those capital improvement

projects set forth on **Exhibit A-2** attached to those capital improvement projects set forth on **Exhibit A-2**;

(c) Proceeds from the District's \$81,500,000 General Obligation Bond Anticipation Notes, Series A dated March 19, 2015 from the those capital improvement projects set forth on **Exhibit A-3** attached to those capital improvement projects set forth on **Exhibit A-3**;

(d) Proceeds from the District's \$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated July 28, 2015 from the those capital improvement projects set forth on **Exhibit A-4** attached to those capital improvement projects set forth on **Exhibit A-4**; and

(e) Proceeds from the District's \$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016 from the those capital improvement projects set forth on **Exhibit A-5** attached to those capital improvement projects set forth on **Exhibit A-5**.

Bond counsel prepared the following resolution for consideration by the Board of Finance:

It is therefore RECOMMENDED that it be:

**Voted:** That the Board of Finance recommends to the District Board passage of the following resolution:

**RESOLUTION AUTHORIZING THE REALLOCATION OF PROCEEDS OF CERTAIN  
GENERAL OBLIGATION BONDS AND GENERAL OBLIGATION BOND ANTICIPATION  
NOTES OF THE METROPOLITAN DISTRICT**

Proceeds from certain of the District's General Obligation Bonds and General Obligation Bond Anticipation Notes are hereby reallocated as follows:

(a) Proceeds from the District's \$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated November 3, 2015 from the those capital improvement projects set forth on **Exhibit A-1** attached to those capital improvement projects set forth on **Exhibit A-1**;

(b) Proceeds from the District's \$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated December 4, 2014 from the those capital improvement projects set forth on **Exhibit A-2** attached to those capital improvement projects set forth on **Exhibit A-2**;

(c) Proceeds from the District's \$81,500,000 General Obligation Bond Anticipation Notes, Series A dated March 19, 2015 from the those capital improvement projects set forth on **Exhibit A-3** attached to those capital improvement projects set forth on **Exhibit A-3**;

(d) Proceeds from the District's \$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated July 28, 2015 from the those capital improvement projects set forth on **Exhibit A-4** attached to those capital improvement projects set forth on **Exhibit A-4**; and

(e) Proceeds from the District's \$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016 from the those capital improvement projects set forth on **Exhibit A-5** attached to those capital improvement projects set forth on **Exhibit A-5**.

Respectfully submitted,

Scott W. Jellison  
Chief Executive Officer

**EXHIBIT A-1**

\$36,215,000 General Obligation Bonds, Issue of 2015, Series B dated  
November 3, 2015

	\$
FROM 2015 Technical Services	(81,000)
TO:	
2016 Information Systems I/T Upgrades	14,000
2013 Communications Systems Upgrades	67,000
FROM 2015 WMR Buckingham Street Area, Hartford	(338,000)
TO:	
2009 Farmington Water Main Installation, West Hartford	338,000
FROM 2014 Kilkenny Water	(2,417,000)
TO:	
2009 Assessable Water - Goodrich and South Roads, Farmington	1,000
2015 WMR Bond Street Area, Hartford	19,000
2011 Water Main Replacement - Hamilton Street and Park Terrace, Hartford, Phase II	85,000
2009 Transmission Valve Replacements	188,000
2012 Dam Safety Improvements-Goodwin and Saville	219,000
2010 CWP Water Main Replacement - Farmington Ave, Hartford	258,000
2013 Paving Program	346,000
2013 Water Treatment Facilities Upgrades	370,000
2010 CWP Water Main Replacement - Guilford, Pliny, Mather, Winter, Brook, and Bedford, Hartford	376,000
2012 Radio Frequency Automated Meter Reading	555,000
FROM 2014 Orchard Street Water Pump Station, Glastonbury	(1,906,000)
TO;	
2014 Water Main Replacements – West Hartford	3,000
2009 CSO Related Assets	13,000
2012 Paving Program	65,000
2014 Water Pump Station Improvements	73,000
2015 Paving Program	75,000
2010 Hydraulic Computer Modeling	149,000
2014 Water Treatment Facilities Upgrades	157,000
2010 Assessable Water - Birmja, Acres South Windsor	172,000
2010 Dam Safety Improvements - Res #3	178,000

2012 Water Treatment Facilities Upgrades	186,000
2013 Water Main Replacement Franklin 13	262,000
2010 CWP Water Main Replacement - Cleveland Ave/Main Street, Hartford	279,000
2015 Hydrant Replacement Program	294,000
FROM 2015 General Purpose Water Program	(1,646,000)
TO:	
2010 Water Facilities Security & Improvement Program	136,000
2011 Assessable Water	718,000
2015 Water Supply Improvements	792,000
FROM 2015 Water Rehabilitation Program	(88,000)
TO:	
2008 General Purpose Water	85,000
2014 Paving Program	2,000
2013 CWP-Water Main Replacement Portions of Church Street, Hartford	1,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(1,430,000)
TO:	
2013 General Purpose Water	47,000
2008 General Purpose Water	478,000
2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	905,000
FROM 2015 Puddletown Booster Water Pump Station, New Hartford	(572,000)
TO:	
2013 Newington PRV Service Area Upgrades	1,000
2012 Assessable Water Program	31,000
2000 Dam Safety Improvements - Reservoir No. 2	540,000
FROM 2014 Various Transmission Main Design & Construction	(96,000)
TO:	
2015 Water Treatment Facilities Upgrades	10,000
2014 General Purpose Water Program	29,000
2011 General Purpose Water	57,000
FROM 2014 Collinsville WTP Emergency Generator Replacement	(476,000)
TO:	
2010 General Purpose Water	476,000
FROM 2014 Transmission Main Extension – Newington	(1,430,000)
TO:	
2011 Water Supply Facility and Watershed Land Improvements	145,000
2015 Radio Frequency Automated Meter Reading Program	1,285,000
FROM 2013 Water Supply Generators	(1,311,000)

TO:	
2016 Paving Program	1,150,000
2011 Water Supply Facility and Watershed Land Improvements	161,000
FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(956,000)
TO:	
2008 West Hartford Access and Security Improvements	23,000
2009 Water Main Gate Valve Replacement	931,000
2010 General Purpose Water	2,000
FROM 2014 Wethersfield Water Pump Station, Wethersfield	(143,000)
TO:	
2011 Water Supply Facility and Watershed Land Improvements	143,000
FROM 2014 Renewable Energy Projects – Water Facilities	(127,000)
TO:	
2010 General Purpose Water	9,000
2011 Water Supply Facility and Watershed Land Improvements	118,000
FROM 2013 Wickham Hill Basins, East Hartford	(46,000)
TO:	
2010 General Purpose Water	46,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(13,000)
TO:	
2010 General Purpose Water	13,000
FROM 2011 Water Main Replacement - Cottage Grove Road, Bloomfield	(4,000)
TO:	
2010 General Purpose Water	4,000

**EXHIBIT A-2**

\$23,000,000 General Obligation Bond Anticipation Notes, Series G, dated  
December 4, 2014

FROM 2014 Collinsville WTP Emergency Generator Replacement	(17,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	17,000
FROM 2010 Water Main Replacement - East Hartford	(372,000)
TO:	
2010 Assessable Sewer-2010 1037 Wind Ave	103,000
2008 Assessable Sewer	125,000
2009 Assessable Sewer	144,000
FROM 2013 Wickham Hill Basins, East Hartford	(23,000)
TO:	



2010 Assessable Sewer Back Lane Newington	9,000
2009 Assessable Sewer	14,000
FROM 2013 CWP Water Main Rehabilitation - Fennway Street, Hartford	(11,000)
TO:	
2008 Assessable Sewer	6,000
2010 Assessable Sewer Back Lane Newington	5,000
<b>EXHIBIT A-3</b>	
\$81,500,000 General Obligation Bond Anticipation Notes, Series A dated March 19, 2015	
FROM 2010 CWP Water Main Replacement - Warner Street/Wethersfield Ave/Mannz Street, Hartford	(20,000)
TO:	
2016 WMR Simmons Road Area, East Hartford	20,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(5,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	5,000
FROM 2010 Radio Frequency Automated Meter Reading	(28,000)
TO:	
2009 Assessable Sewer	28,000
FROM 2010 Water Main Replacement - East Hartford	(27,000)
TO:	
2009 Assessable Sewer	27,000
FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(26,000)
TO:	
2009 Assessable Sewer	26,000
FROM 2011 West Hartford Water Treatment Facility North Storage Tank Installation	(8,000)
TO:	
2009 Assessable Sewer	8,000
FROM 2006 Combined Sewer Separation	(7,000)
TO:	
2009 Assessable Sewer	7,000
FROM 2010 Huyshope Street Sewer Rehabilitation - Hartford	(6,000)
TO:	
2009 Assessable Sewer	6,000
FROM 2013 Wickham Hill Basins, East Hartford	(5,000)

TO:	
2009 Assessable Sewer	5,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(2,000)
TO:	
2009 Assessable Sewer	2,000

**EXHIBIT A-4**

\$25,000,000 General Obligation Bond Anticipation Notes, Series C, dated  
July 28, 2015

FROM 2013 Information Technology - Applications	(131,000)
TO:	
2012 Facility Improvement Program	3,000
2011 Facility & Equipment Improvements	5,000
2013 Communications Systems Upgrades	21,000
2009 Long-Term Strategic Initiatives	102,000
FROM 2008 General Purpose Sewer	(1,000)
TO:	
2008 General Purpose Sewer	(1,000)
2015 Paving Program	2,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(324,000)
TO:	
2009 Assessable Sewer	324,000
FROM 2014 Kilkenny Water	(157,000)
TO:	
2009 Assessable Sewer	157,000
FROM 2013 Wickham Hill Basins, East Hartford	(85,000)
TO:	
2009 Assessable Sewer	85,000
FROM 2013 Buckingham Water Pump Station, Glastonbury	(80,000)
TO:	
2009 Assessable Sewer	80,000
FROM 2011 Water Main Replacement - Hartford	(22,000)
TO:	
2009 Assessable Sewer	22,000
FROM 2013 Pump Station Upgrades – Motts - Wethersfield	(1,000)
TO:	
2009 Assessable Sewer	1,000

FROM 2013 Water Main Replacement Center Street, Hartford	(42,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	42,000
FROM 2012 Water Main Replacement-Farmington Avenue, Hartford	(19,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	19,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(1,000)
TO:	
2015 Paving Program	1,000
FROM 2013 Water Main Replacement Oakwood Avenue, West Hartford	(16,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	16,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(7,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	7,000
FROM 2013 Asset Management Water Main Replacement	(5,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	5,000
FROM 2014 Phelps Brook Dam and East Dike Rehabilitation Project	(10,000)
TO:	
2015 Radio Frequency Automated Meter Reading Program	10,000

**EXHIBIT A-5**

\$113,500,000 General Obligation Bond Anticipation Notes, dated February 18, 2016

FROM 2012 Water Main Replacement-Farmington Avenue, Hartford	(2,250,000)
TO:	
2009 Assessable Sewer - Mountain Road, West Hartford	2,250,000
FROM 2016 Paving Program	(1,500,000)
TO:	
2012 Information Technology Security Improvements	1,500,000
FROM 2015 WHWTF Water Storage Improvements	(1,073,000)
TO:	
2013 Information Technology - Applications	1,073,000
FROM 2012 CWP Water Main Replacement-South Maple Ave Area West, Hartford	(905,000)

TO:	
2008 Security System Upgrades	8,000
2016 Information Systems I/T Upgrades	52,000
2009 Assessable Sewer	54,000
2015 Member Towns New GIS Base Map Development	99,000
2014 Land Improvements	181,000
2015 WPC Equipment & Facilities Improvements	511,000
FROM 2011 Water Main Replacement - Hartford	(712,000)
TO:	
2015 Fleet Replacement	712,000
FROM 2009 Water Main Gate Valve Replacement	(547,000)
TO:	
2014 Facilities Improvement Program	547,000
FROM 2015 Radio Frequency Automated Meter Reading Program	(525,000)
TO:	
2014 Hartford WPCF DAFT Sludge Equalization Design	525,000
FROM 2014 Water Main Replacement – Wethersfield	(478,000)
TO:	
2009 Assessable Sewer - Mountain Road, West Hartford	478,000
FROM 2000 Dam Safety Improvements - Reservoir No. 2	(407,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	407,000
FROM 2011 General Purpose Water	(57,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	57,000
FROM 2010 Water Main Replacement - East Hartford	(2,000)
TO:	
2014 WPC Equipment & Facilities Refurbishment	2,000
FROM 2011 Water Main Replacement - Longview Drive, Talcottview and Pheasant Lane, Bloomfield	(314,000)
TO:	
2013 Information Technology - Applications	314,000
FROM 2013 Water Main Replacement Jerome Avenue, Bloomfield	(291,000)
TO:	
2013 Information Technology - Applications	291,000
FROM 2012 Dam Safety Improvements-Goodwin and Saville	(219,000)

TO:	
2013 Information Technology - Applications	219,000
FROM 2011 Water Main Replacement - Cottage Grove Road, Bloomfield	(13,000)
TO: 2013 Information Technology - Applications	13,000
FROM 2013 Paving Program	(157,000)
TO:	
2012 Information Technology	17,000
2016 Construction Services	41,000
2009 Long-Term Strategic Initiatives	46,000
2010 Vehicle and Equipment Replacement Program	53,000
	(376,000)
FROM 2015 Fleet Replacement	88,000
TO:	
2016 Fleet Replacement	288,000
FROM 2010 General Purpose Water	(332,000)
TO:	
2014 Facilities Improvement Program	332,000
FROM 2010 CWP Water Main Replacement - Cleveland Ave/Main Street, Hartford	(279,000)
TO:	
2012 Information Technology Security Improvements	279,000
FROM 2013 Water Main Replacement Franklin 13	(262,000)
TO:	
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	262,000
FROM 2010 CWP Water Main Replacement - Farmington Ave, Hartford	(258,000)
TO:	
2011 Security and Supervisory Control and Data Acquisition (SCADA) Communications	220,000
2014 Hartford WPCF DAFT Sludge Equalization Design	38,000
FROM 2012 General Purpose Water	(223,000)
TO:	
2016 Fleet Replacement	223,000
FROM 2009 Transmission Valve Replacements	(188,000)
TO:	
2012 Information Technology Security Improvements	188,000

FROM 2012 Water Treatment Facilities Upgrades	(186,000)
TO:	
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	186,000
FROM 2010 Dam Safety Improvements - Res #3	(178,000)
TO:	
2016 Fleet Replacement	142,000
2012 Information Technology Security Improvements	36,000
FROM 2010 Hydraulic Computer Modeling	(149,000)
TO:	
2014 Hartford WPCF DAFT Sludge Equalization Design	101,000
2014 Facilities Improvement Program	48,000
FROM 2010 Water Facilities Security & Improvement Program	(136,000)
TO:	
2010 Information Systems Improvements #1	10,000
2016 Engineering Services	17,000
2015 Engineering Services	15,000
2014 Hartford WPCF Sludge Mixing Tank, Sludge Screening, GT & RSRF Upgrades	16,000
2014 Facilities Improvement Program	62,000
2012 Information Technology Security Improvements	16,000
FROM 2013 Water Main Replacement Center Street, Hartford	(106,000)
TO:	
2008 Pump Station Alarm Replacements	1,000
2011 WPC Renewal & Replacements	5,000
2015 Construction Services	29,000
2013 Land Improvements	43,000
2015 Engineering Services	3,000
2009 Assessable Sewer - Mountain Road, West Hartford	25,000

***On motion made by Citizen Member Angelo and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.***

### **2016 OPERATING BUDGET TRANSFER**

To: Board of Finance for consideration on November 2, 2016

The 2016 Metropolitan District operating budget is currently experiencing deficits in Special Agreements & Programs budgets. The Special Agreements & Programs budget has a deficit in the New Britain allotment due to an unanticipated rate increase for the portion of Newington discharging into the New Britain Sewer System.

## CERTIFICATIONS:

In accordance with Section 3-8 of the Charter of The Metropolitan District, I hereby certify that there exists free from encumbrances, in the following appropriation, the amounts listed:

	General	Water	Total
<b>Department 701 – Debt Service</b>	<b><u>\$17,400,100.00</u></b>	<b><u>\$19,101,800.00</u></b>	<b><u>\$36,501,900.00</u></b>
<b>Total</b>	<b><u>\$17,400,100.00</u></b>	<b><u>\$19,101,800.00</u></b>	<b><u>\$36,501,900.00</u></b>

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John M. Zinzarella  
Chief Financial Officer

It is therefore RECOMMENDED that it be:

**Voted:** That the Board of Finance recommends to the District Board passage of the following resolution.

**Resolved:** That transfers within the 2016 Budget Appropriations be approved as follows:

From:	General	Water	Total
<b>Department 701 – Debt Service</b>	<b><u>\$50,000.00</u></b>	<b><u>\$0.00</u></b>	<b><u>\$50,000.00</u></b>
<b>Total</b>	<b><u>\$50,000.00</u></b>	<b><u>\$0.00</u></b>	<b><u>\$50,000.00</u></b>
To:	General	Water	Total
<b>Department-741 Special Agreements &amp; Programs</b>	<b><u>\$50,000.00</u></b>	<b><u>\$0.00</u></b>	<b><u>\$50,000.00</u></b>
<b>Total</b>	<b><u>\$50,000.00</u></b>	<b><u>\$0.00</u></b>	<b><u>\$50,000.00</u></b>

Respectfully Submitted,

Scott W. Jellison  
Chief Executive Officer

***On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.***

## CLOSEOUT OF CLEAN WATER PROGRAMS CLEAN WATER FUND PROJECT PROGRAMS

To: Board of Finance for consideration on November 2, 2016

The District has undertaken the task of updating its Clean Water Fund Program records. The task includes the identification of projects which have been completed, cancelled, or had a change of scope. Based upon the review, District staff now recommends that the following projects be closed.

Proj. def.	YEAR	BA	Project Description	Budget	Expended Amount	Remaining Balance
CW1.CONHOM.00	2007	5300	2007 CWP Homestead	31,044,294.79	31,044,294.79	-
CW1.CONSBR.00	2007	5300	South Branch CSO Con	450,579.73	450,579.73	-
CW1.FSSFAR.04	2007	5300	Farmington Proj #4	658,004.15	658,004.15	-
CW1.FSSFAR.1A	2007	5300	Farm Ave 1A Sew Sep	146,013.41	146,013.41	-
CW1.FSSFAR.71	2007	5300	Farmington Proj #7.1	2,561,258.59	2,561,258.59	-
CW1.FSSFAR.72	2007	5300	Farmington Proj #7.2	3,411,661.60	3,411,661.60	-
CW1.FSSFAR.PD	2007	5300	Farmington Ave PD	640,900.68	640,900.68	-
CW1.MGMPMC.07	2007	5300	Clsd2007 CWP PMC	788,016.54	788,016.54	-
CW1.MGMPMC.08	2007	5300	Clsd08 CWP PMC	3,981,562.16	3,981,562.16	-
CW1.MGMPMC.09	2007	5300	2009 CWP PMC	4,539,195.90	4,539,195.90	-
CW1.MGMPMC.10	2007	5300	2010 CWP PMC	5,414,789.79	5,414,789.79	-
CW1.MGMPMC.11	2007	5300	2011 CWP PMC	8,157,922.51	8,157,922.51	-
CW1.MGMPMC.12	2007	5300	2012 CWP PMC	6,719,698.60	6,719,698.60	-
CW1.MGMPMU.07	2007	5300	2007 CWP PMU	3,255,730.36	3,255,730.36	-
CW1.MGMPMU.08	2007	5300	2008 CWP PMU	3,403,759.44	3,403,759.44	-
CW1.MGMPMU.09	2007	5300	2009 CWP PMU	5,874,757.78	5,874,757.78	-
CW1.MGMPMU.10	2007	5300	2010 CWP PMU	10,922,243.51	10,922,243.51	-
CW1.MGMPMU.11	2007	5300	2011 CWP PMU	11,589,004.93	11,589,004.93	-
CW1.MGMPMU.12	2007	5300	2012 CWP PMU	6,894,906.59	6,894,906.59	-
CW1.MGMPMU.13	2007	5300	2013 CWP PMU	6,838,160.08	6,838,160.08	-
CW1.MGMPMU.14	2007	5300	2014 CWP PMU	242,347.52	242,347.52	-
CW1.NSSGRA.01	2007	5300	Granby Str Outfall 1	350,653.54	350,653.54	-
CW1.NSSGRA.03	2007	5300	Granby Project #3 NE	759,152.57	759,152.57	-
CW1.NSSTOW.01	2007	5300	2007 CWP Tower Ave	7,863,944.77	7,863,944.77	-
CW1.NSSTOW.1A	2007	5300	2011 Tower Ave Bldg	4,427,275.10	4,427,275.10	-
CW1.NSSTOW.FD	2007	5300	Tower Ave Area #1Des	157,819.00	157,819.00	-
CW1.NSSTOW.PD	2007	5300	Tower Ave Sep PD	106,658.86	106,658.86	-
CW1.NSSUAL.02	2007	5300	Upper Albany #2	1,189,367.10	1,189,367.10	-
CW1.NSSUAL.03	2007	5300	Upper Albany Proj #3	777,979.08	777,979.08	-
CW1.NSSUAL.07	2007	5300	Route 44 Storm Drain	898,400.75	898,400.75	-
CW1.NSSUAL.08	2007	5300	Garden Street Htfd	6,893,628.16	6,893,628.16	-
CW1.NSSUAL.E3	2007	5300	Burton St. Sew Sep	8,605,787.57	8,605,787.57	-
CW1.NSSUAL.ES	2007	5300	Upp Albany Edgewood	3,494,428.99	3,494,428.99	-
CW1.NSSUAL.PD	2007	5300	Upper Albany Sep PD	680,471.26	680,471.26	-
CW1.SSOGEN.07	2007	5300	2007 CW SSO Gen Rep	13,583,866.10	13,583,866.10	-
CW1.SSOGEN.08	2007	5300	2008 Clean Water SSO	7,690,639.29	7,690,639.29	-
CW1.SSONWT.06	2007	5300	2012 Newinton Church	4,226,592.60	4,226,592.60	-
CW1.SSOWHA.06	2007	5300	2014 Greenhurst Proj	131,925.19	131,925.19	-
CW1.SSOWTH.07	2007	5300	2012 SSO Exe Sq PS	125,813.20	125,813.20	-
CW1.SSOWTH.08	2007	5300	2012 FOLLY BROOK	1,282,440.20	1,282,440.20	-
CW1.SSSFRA.01	2007	5300	Franklin Proj #1- B	1,496,150.82	1,496,150.82	-
CW1.SSSFRA.02	2007	5300	FranklinAve #7-Pkg A	75,512.19	75,512.19	-
CW1.SSSFRA.04	2007	5300	Franklin Proj #4 - D	2,134,263.04	2,134,263.04	-
CW1.SSSFRA.11	2007	5300	FranklinAve #11-Pk A	319,978.07	319,978.07	-
CW1.SSSFRA.12	2007	5300	Franklin Proj #12 -D	1,556,006.57	1,556,006.57	-
CW1.SSSFRA.16	2007	5300	2012 Building Dis 1	543,137.15	543,137.15	-
CW1.SSSFRA.17	2007	5300	2012 Building Dis 2	400,481.70	400,481.70	-
CW1.SSSFRA.18	2007	5300	2012 Building Dis 3	316,932.80	316,932.80	-
CW1.SSSFRA.1A	2007	5300	Franklin Ave-House D	804,840.39	804,840.39	-
CW1.SSSFRA.PD	2007	5300	Franklin Ave Area PD	2,032,185.44	2,032,185.44	-
CW1.SSSPAR.01	2007	5300	Park River Proj #1	580,483.36	580,483.36	-



Proj. def.	YEAR	BA	Project Description	Budget	Expended Amount	Remaining Balance
CW1.SSSPAR.03	2007	5300	Park River #3	904,041.42	904,041.42	-
CW1.SSSPAR.06	2007	5300	Green Capital Projct	983,689.36	983,689.36	-
CW1.SSSPAR.11	2007	5300	2013 Green Infrastru	144,429.65	144,429.65	-
CW1.SSSPAR.PD	2007	5300	Park River Sep PD	683,914.79	683,914.79	-
CW1.WTFHAR.01	2007	5300	HWPCF Master Plan	9,736,407.51	9,736,407.51	-
CW1.WTFHAR.08	2007	5300	Incinerator #3 Rehab	43,055,158.32	43,055,158.32	-
CW1.WTFHAR.10	2007	5300	HWPCF SCADA	854,591.82	854,591.82	-
CW1.WTFHAR.11	2007	5300	UV Disinfection Proj	19,105,159.61	19,105,159.61	-
CW1.WTFHAR.12	2007	5300	Demo Compost Bldg	1,112,844.65	1,112,844.65	-
CW1.WTFHAR.13	2007	5300	Aeration Settle Tank	39,458,279.26	39,458,279.26	-
CW1.WTFHAR.E1	2007	5300	Clsd07 CWP HWPCF PHI	5,200,006.71	5,200,006.71	-
CW1.WTFRKH.03	2007	5300	Rocky Hill Master Pl	261,359.38	261,359.38	-
		<b>5300 Total</b>		<b>312,541,506.00</b>	<b>312,541,506.00</b>	-
CW2.MGMCWP.13	2009	5400	2013 CWP Admin	505,030.18	505,030.18	-
CW2.WTFHAR.24	2009	5400	2013 231 BRAINARD RD	10,421,490.76	10,421,490.76	-
CW2.MGMCWP.14	2009	5400	2014 CWP Admin.	10,955,011.23	10,955,011.23	-
CW2.SSSPAR.10	2009	5400	2014 PRI CRI Grit Ch	40,684.88	40,684.88	-
		<b>5400 Total</b>		<b>21,922,217.05</b>	<b>21,922,217.05</b>	-

After reviewing the information contained herein

It is **RECOMMENDED** that it be

Voted: That the Board of Finance recommends to the District Board passage of the following resolution:

Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully submitted,

Scott W. Jellison  
Chief Executive Officer

*On motion made by District Chairman DiBella and duly seconded, the report was received and the resolution adopted by unanimous vote of those present.*

**DISCUSSION RE: AD VALOREM**

This item was postponed and taken up at the following District Board Meeting

**ADJOURNMENT**

The meeting was adjourned at 5:20 PM

ATTEST:

John S. Mirtle, Esq.  
District Clerk

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Date of Approval