

**BOARD OF FINANCE  
REGULAR MEETING  
The Metropolitan District  
555 Main Street, Hartford  
Monday, July 10, 2023**

**PRESENT:** Commissioners Donald Currey, Allen Hoffman, Pasquale J. Salemi and District Chairman William DiBella; Citizen Members Linda Russo and Awet Tsegai (6)

**REMOTE ATTENDANCE:** Commissioner Andrew Adil and Citizen Members Drew Iacovazzi and Linda King-Corbin (3)

**ABSENT:** None (0)

**ALSO PRESENT:** Commissioner Jean Holloway  
Commissioner Jackie Mandyck  
Commissioner Dominic Pane  
Commissioner Alvin Taylor  
Commissioner Joan Gentile (Remote Attendance)  
Scott W. Jellison, Chief Executive Officer  
Christopher Stone, District Counsel  
John S. Mirtle, District Clerk  
Robert Barron, Chief Financial Officer  
Chris Levesque, Chief Operating Officer  
Kelly Shane, Chief Administrative Officer  
Susan Negrelli, Director of Engineering  
Robert Schwarm, Director of Information Services  
Thomas Tyler, Director of Facilities  
Dave Ruty, Director of Operations  
Diana Phay, Treasury Manager (Remote Attendance)  
Tra Phan, Controller  
Jason Waterbury, Manager of Engineering Services  
Michael Curley, Manager of Technical Services  
Carrie Blardo, Assistant to the Chief Executive Officer (Remote Attendance)  
Victoria Escoriza, Executive Assistant  
Joseph Szerejko, Independent Consumer Advocate  
Vanessa Rossitto, Clifton Larson Allen LLP  
Jessica Aniskoff, Clifton Larson Allen LLP

**CALL TO ORDER**

Chairperson Salemi called the meeting to order at 4:01 PM

**PUBLIC COMMENTS RELATIVE TO AGENDA ITEMS**

No one from the public appeared to be heard.

**APPROVAL OF MINUTES**

***On motion made by District Chairman DiBella and duly seconded, the meeting minutes of the Board of Finance meeting of May 31, 2023 were approved.***

**REPORT FROM CLIFTON LARSON ALLEN LLP RE 2022 AUDIT**

Vanessa Rossitto and Jessica Aniskoff, of CliftonLarsonAllen LLP, gave a report on the 2022 Audit Governance Communication, the Federal Single Audit Report and the Annual Comprehensive Financial Report.

**CLOSEOUT OF WATER, SEWER, COMBINED & CLEAN WATER PROJECTS**

To: Board of Finance for consideration on July 10, 2023

The following represents 29 Capital Projects and 5 Clean Water Projects for your review and approval to close. Capital projects listed here have been reviewed and staff has concluded that these projects have been completed, cancelled or had a change of scope to the project. As a result, the remaining funds are no longer required and we are now requesting that the funds be deauthorized.

Sewer projects that are deauthorized with a remaining balance will increase our ability to issue more debt by this balance, while water funded projects that are deauthorized do not affect our debt limit.

Clean Water projects have no remaining balances as any remaining balances have been liquidated and transferred to fund other projects.

A brief summary is below:

	Appropriation	Expended Amount	Remaining Balance (Deauthorize)
<b>Water (11)</b>	\$ 35,005,641.29	\$ 30,027,232.07	\$ 4,978,409.22
<b>Sewer (14)</b>	42,658,209.00	24,802,668.28	17,855,540.72
<b>Combined (4)</b>	14,300,000.00	11,493,882.60	2,806,117.40
<b>Total - Capital (29)</b>	<b>\$ 91,963,850.29</b>	<b>\$ 66,323,782.95</b>	<b>\$ 25,640,067.34</b>
<b>Clean Water I (2)</b>	\$ 39,785,790.76	\$ 39,785,790.76	\$ -
<b>Clean Water II (3)</b>	182,840,198.20	182,840,198.20	-
<b>Total - Clean Water (5)</b>	<b>\$ 222,625,988.96</b>	<b>\$ 222,625,988.96</b>	<b>\$ -</b>

Finance has worked diligently with Procurement, Engineering, Facilities & Maintenance, Water Pollution Control and Water Treatment to review all of the following projects for closure.

### WATER CAPITAL PROJECTS

Project Definition	Year	BA	Project Description	Town	Appropriation	Expended Amount	Remaining Balance (Deauthorize)
C-99M12/WAT.CW1329.01	2012	2120	Radio Frequency Automated Meter Reading	Various	\$ 5,000,000.00	\$ 4,242,335.85	\$ 757,664.15
	<b>2012 Total</b>				<b>5,000,000.00</b>	<b>4,242,335.85</b>	<b>757,664.15</b>
C-13002/WAT.CW1359.01	2013	2120	Asset Management Water Main Replacement	Various	4,460,000.00	3,054,136.20	1,405,863.80
C-13003/WAT.CW1362.02	2013	2120	Water Treatment Facilities Upgrades	Various	2,160,000.00	1,461,163.24	698,836.76
C-13010/WAT.CW1385.01	2013	2120	General Purpose Water	Various	3,860,000.00	3,829,950.27	30,049.73
	<b>2013 Total</b>				<b>10,480,000.00</b>	<b>8,345,249.71</b>	<b>2,134,750.29</b>
C-14002/WDS.DM0114.01	2014	2120	Water Main Replacement-Wethersfield	Wethersfield	3,700,000.00	2,683,704.93	1,016,295.07
C-14011/WTF.TP0014.01	2014	2120	Water Treatment Facilities Upgrades	Various	2,300,000.00	2,244,860.24	55,139.76
	<b>2014 Total</b>				<b>6,000,000.00</b>	<b>4,928,565.17</b>	<b>1,071,434.83</b>
C-17000/WDS.DM0017.01	2017	2120	General Purpose Water Program	Various	2,000,000.00	1,991,725.01	8,274.99
	<b>2017 Total</b>				<b>2,000,000.00</b>	<b>1,991,725.01</b>	<b>8,274.99</b>
C-18000/WDS.DM0018.01	2018	2120	General Purpose Water	Various	2,000,000.00	1,986,065.50	13,934.50
	<b>2018 Total</b>				<b>2,000,000.00</b>	<b>1,986,065.50</b>	<b>13,934.50</b>
C-19005/WDS.DM1019.06	2019	2120	Oakwood Avenue Area Water Main Replacement - Phases I & II	West Hartford	3,000,000.00	2,007,649.54	992,350.46
C-99P19	2019	2120	Paving Program & Restoration	Various	5,000,000.00	5,000,000.00	-
	<b>2019 Total</b>				<b>8,000,000.00</b>	<b>7,007,649.54</b>	<b>992,350.46</b>
C-21017	2021	2120	AWMR-Contract#3 Change Order #5	Various	1,525,641.29	1,525,641.29	-
	<b>2021 Total</b>				<b>1,525,641.29</b>	<b>1,525,641.29</b>	<b>-</b>
	<b>Grand Total</b>				<b>\$ 35,005,641.29</b>	<b>\$ 30,027,232.07</b>	<b>\$ 4,978,409.22</b>

**SEWER CAPITAL PROJECTS**

Project Definition	Year	BA	Project Description	Town	Appropriation	Expended Amount	Remaining Balance (Deauthorize)
C-09003/WWA.CS1135.01	2009	2110	Hartford Odor Control Construction	Hartford	\$ 4,888,000.00	\$ 3,396,121.13	\$ 1,491,878.87
	<b>2009 Total</b>				<b>4,888,000.00</b>	<b>3,396,121.13</b>	<b>1,491,878.87</b>
C-11004/WWA.CS1167.01	2011	2110	2011 WPC Renewal & Replacements	Various	2,250,000.00	2,168,835.54	81,164.46
	<b>2011 Total</b>				<b>2,250,000.00</b>	<b>2,168,835.54</b>	<b>81,164.46</b>
C-12004/WWA.CS1175.01	2012	2110	2012 Fishfry Pump St Upgrade	Hartford	1,472,000.00	176,199.45	1,295,800.55
	<b>2012 Total</b>				<b>1,472,000.00</b>	<b>176,199.45</b>	<b>1,295,800.55</b>
C-13008/WWA.CS1219.01	2013	2110	WPC Plant Infrastructure Renewal and Replacements	Various	1,090,000.00	806,727.24	283,272.76
C-13009/WWA.CS1220.01	2013	2110	WPC SCADA Upgrades	Various	1,260,000.00	744,444.70	515,555.30
	<b>2013 Total</b>				<b>2,350,000.00</b>	<b>1,551,171.94</b>	<b>798,828.06</b>
C-14012/SCS.SR0014.04	2014	2110	Various Sewer Pipe Replacement/Rehab District Wide	Various	5,000,000.00	3,955,289.86	1,044,710.14
	<b>2014 Total</b>				<b>5,000,000.00</b>	<b>3,955,289.86</b>	<b>1,044,710.14</b>
C-15013/WPC.FA0115.02	2015	2110	Hartford WPCF FOG Receiving Facility (GT Solids)	Hartford	3,600,000.00	-	3,600,000.00
C-15014/WPC.FA0115.03	2015	2110	Hartford WPCF Odor Control Construction (GT Solids)	Hartford	5,000,000.00	-	5,000,000.00
C-15022/SCS.SR0015.02	2015	2110	CCTV Generated Sewer Contract	Hartford	1,200,000.00	464,835.17	735,164.83
C-15024/SCS.SR0015.05	2015	2110	Sanitary Sewer Easement Acquisitions & Improvements	Various	3,600,000.00	3,298,238.91	301,761.09
C-15028/SCS.SR0015.04	2015	2110	General Purpose Sewer	Various	2,698,209.00	2,619,738.27	78,470.73
	<b>2015 Total</b>				<b>16,098,209.00</b>	<b>6,382,812.35</b>	<b>9,715,396.65</b>
C-19014/SCS.SR1019.05	2019	2110	2019 Oakwood Avenue Area Sewer Replacement - Phase I	West Hartford	7,000,000.00	3,572,238.01	3,427,761.99
C-99P19	2019	2110	2019 Paving Program & Restoration	Various	3,000,000.00	3,000,000.00	-
	<b>2019 Total</b>				<b>10,000,000.00</b>	<b>6,572,238.01</b>	<b>3,427,761.99</b>
C-21000	2021	2110	2021 New Park Avenue Sewer Replacement	West Hartford	600,000.00	600,000.00	-
	<b>2021 Total</b>				<b>600,000.00</b>	<b>600,000.00</b>	<b>-</b>
	<b>Grand Total</b>				<b>\$ 42,658,209.00</b>	<b>\$ 24,802,668.28</b>	<b>\$ 17,855,540.72</b>

**COMBINED CAPITAL PROJECTS**

Project Definition	Year	BA	Project Description	Town	Appropriation	Expended Amount	Remaining Balance (Deauthorize)
C1H02	2022	2130	Survey & Construction	MDC	\$ 2,500,000.00	\$ 1,732,245.06	\$ 767,754.94
C1H03	2022	2130	Engineering Services	MDC	4,800,000.00	3,779,000.44	1,020,999.56
C1H04	2022	2130	Construction Services	MDC	2,000,000.00	1,484,958.37	515,041.63
C1H05	2022	2130	Technical Services	MDC	5,000,000.00	4,497,678.73	502,321.27
	<b>2022 Total</b>				<b>\$ 14,300,000.00</b>	<b>\$ 11,493,882.60</b>	<b>\$ 2,806,117.40</b>

**CLEAN WATER FUND PROJECT PROGRAMS**

Project Definition	Year	BA	Project Description	Town	Appropriation	Expended Amount	Remaining Balance (Deauthorize)
C-15004/CW1.CONSTR.03	2015	2300	Kane Brook Design	Hartford	\$ 1,157,311.77	\$ 1,157,311.77	\$ -
C-15037/CW1.TUNTHS.00	2015	2300	South Hartford Conveyance Tunnel Design & Contract 1	MDC	38,628,478.99	38,628,478.99	-
		<b>2300 Total</b>			<b>39,785,790.76</b>	<b>39,785,790.76</b>	<b>-</b>
C-14000/CW2.WTFHAR.21	2014	2400	Wet Weather Expansion Project #2012-21	Hartford	181,897,496.02	181,897,496.02	-
C-15033/CW2.TUNSTH.04	2015	2400	South Hartford Conveyance Tunnel Contract #4	Hartford	50,000.00	50,000.00	-
C-16012/CW2.NSSGRA.04	2016	2400	Sanitary Sewer Evaluation Bloomfield	Hartford	892,702.18	892,702.18	-
		<b>2400 Total</b>			<b>182,840,198.20</b>	<b>182,840,198.20</b>	<b>-</b>
		<b>Grand Total</b>			<b>\$ 222,625,988.96</b>	<b>\$ 222,625,988.96</b>	<b>\$ -</b>

After reviewing the information contained herein

It is **RECOMMENDED** that it be

- Voted: That the Board of Finance recommends to the District Board passage of the following resolution:
- Resolved: That the District Board approves appropriation closeouts for the projects listed above.

Respectfully Submitted,



Scott W. Jellison  
Chief Executive Officer

***On motion made by Commissioner Hoffman and duly seconded, the report was received and resolution adopted by unanimous vote of those present.***

**SUPPLEMENTAL APPROPRIATION OF \$129,900,000 FOR 2023  
INTEGRATED PLAN AND AUTHORIZATION FOR ISSUANCE OF BONDS OR NOTES NOT  
TO EXCEED \$129,900,000**

To: Board of Finance for consideration on July 10, 2023

The following is a list of the recommended **additional** Integrated Planning programs and Project to be funded through the MDC due to current proposals with DEEP to prioritize Project in North Hartford. Each is considered to be a separate undertaking in accordance with Section 3-11 of the Charter of the Metropolitan District.

**Integrated Plan**

**New authorizations**

Sewer & Lateral Rehabilitation in North Branch Park River Drainage Area	\$ 36,120,000
Sewer & Lateral Rehabilitation in Gully Brook Drainage Area	\$33,600,000
Sewer & Lateral Rehabilitation in North Meadows Drainage Area (NM 5/6/7)	\$17,280,000
Sewer & Lateral Rehabilitation in North Meadows Drainage Area (NM 2/3/4)	\$5,520,000
Expedited Sewer Separation Contracts in North Hartford	\$15,000,000
Backwater Valve Program and Private Property Inflow Disconnections in North Hartford	\$12,200,000
Lateral Repair/Replacement/Rehabilitation Program - District Wide	\$4,000,000

**Increase Existing Authorization**

Program Management Consultant	\$1,180,000
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Various Sewer Pipe Replacement/Rehabilitation – District Wide	\$5,000,000
<b>Additional Integrated Plan Total</b>	<b>\$ 129,900,000</b>

**Program – Sewer & Lateral Rehabilitation in North Branch Park River Drainage Area**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$36,120,000		2600

**Description**

Design and construction of sewers and laterals within the North Branch Park River Drainage area. This Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. Work being completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan as a way to reduce Combined Sewer Overflow volumes through Inflow and Infiltration Removals. District forces may be utilized for this Program. The District costs may include salary, benefits and overhead.

**Purpose**

To rehabilitate, upgrade or replace aging sewer pipelines, laterals and structures within the North Branch Park River Drainage area.

**Future Appropriations**

No additional appropriation requests for this Project are anticipated over the next four years.

**Bond Language**

The sum of \$36,120,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Branch Park River Drainage Area. The PProject will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The Project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the PProject. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

**Program – Sewer & Lateral Rehabilitation in Gully Brook Drainage Area**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$33,600,000		2600

**Description**

Design and construction of sewer and laterals within the Gully Brook Drainage area. This Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. Work being completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan as a way to reduce Combined Sewer Overflow volumes through Inflow and Infiltration Removals. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

**Purpose**

To rehabilitate, upgrade or replace aging sewer pipelines, laterals and structures within the Gully Brook Drainage area.

**Future Appropriations**

No additional appropriation requests for this Project are anticipated over the next four years.

**Bond Language**

The sum of \$33,600,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the Gully Brook Drainage Area. The Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The Project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

**Program – Sewer & Lateral Rehabilitation in North Meadows Drainage Area (NM 5/6/7)**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$17,280,000		2600

**Description**

Design and construction of sewer and laterals within the North Meadows Drainage area in the vicinity of the Combined Sewer Overflows NM-5, NM-6, and NM-7. This Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. Work being completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan as a way to reduce Combined Sewer Overflow volumes through Inflow and Infiltration Removals. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

**Purpose**

To rehabilitate, upgrade or replace aging sewer pipelines, laterals and structures within the North Meadows Drainage area in the vicinity of CSOs NM-5, NM-6 and NM-7 in North Hartford.

**Future Appropriations**

No additional appropriation requests for this Project are anticipated over the next four years.

**Bond Language**

The sum of \$17,280,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Meadows Drainage Area in the vicinity of Combined Sewer Overflows NM-5, NM-6 and NM-7. The Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The Project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

**Program – Sewer & Lateral Rehabilitation in North Meadows Drainage Area (NM 2/3/4)**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$5,520,000		2600

**Description**

Design and construction of sewer and laterals within the North Meadows Drainage area in the vicinity of Combined Sewer Overflows NM-2, NM-3, and NM-4. This Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. Work being completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan as a way to reduce Combined Sewer Overflow volumes through Inflow and Infiltration Removals. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

**Purpose**

To rehabilitate, upgrade or replace aging sewer pipelines, laterals and structures within the North Meadows Drainage area in the vicinity of CSOs NM-2, NM-3 and NM-4 in North Hartford.



**Future Appropriations**

No additional appropriation requests for this Project are anticipated over the next four years.

**Bond Language**

The sum of \$5,520,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Meadows Drainage Area in the vicinity of Combined Sewer Overflows NM-2, NM-3 and NM-4. The Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The Project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

**Program – Expedited Sewer Separation Contracts in North Hartford**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$15,000,000		2600
<b>Description</b>		
Design and construction of new sanitary and/or storm sewers and laterals located within the North Branch Park River Drainage area, Gully Brook Drainage area, and North Meadows Drainage area as necessary to complete sewer separation work. Work being completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan as a way to reduce Combined Sewer Overflow volumes through Inflow and Infiltration Removals and may include costs associated with relocation of adjacent utilities. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.		

**Purpose**

To eliminate and reduce the inflow and infiltration sources in North Hartford.

**Future Appropriations**

Additional appropriation requests for this Project may be anticipated in the future

**Bond Language**

The sum of \$15,000,000 is hereby appropriated for planning, design, and construction of new sanitary and/or storm water sewers and laterals within the North Branch Park River Drainage Area, Gully Brook Drainage Area and North Meadows Drainage Area as necessary to complete sewer separation work. The PProject will be completed in

accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan and may include costs associated with the relocation of adjacent utilities. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

### **Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

### **Program – Backwater Valve Program and Private Property Inflow Disconnections in North Hartford**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$12,200,000		2600

### **Description**

This program will include the inspection of private property sewer laterals in order to identify defects and/or infiltration sources within the North Branch Park River Drainage area, Gully Brook Drainage area, and North Meadows Drainage area. The program will also include the installation and or replacement of backwater, and/or additional work required to remove private inflow sources as needed to protect customers from sewer surcharging. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

### **Purpose**

To reduce or remove private inflow and infiltration sources in the North Branch Park River Drainage area, Gully Brook Drainage area and North Meadows Drainage area.

### **Future Appropriations**

Additional appropriation requests for this Project may be anticipated in the future.

**Bond Language**

The sum of \$12,200,000 is hereby appropriated for the inspection of private property sewer laterals to identify defects and/or infiltration sources within the North Branch Park River Drainage Area, Gully Brook Drainage Area and North Meadows Drainage Area. The program will include the installation and/or replacement of backwater valves and/or work required to remove private inflow sources as needed to protect customers from sewer surcharging. The appropriation may also be expended for inspection, design and construction costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

**Program – Lateral Repair/Replacement/Rehabilitation Program – District Wide**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$4,000,000		2600

**Description**

Design and construction of sewer laterals District-wide (not North Hartford). This Project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The replacement, rehabilitation and or upgrade of District equipment. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

**Purpose**

Replacement, rehabilitation and/or upgrade of sewer laterals District Wide.

**Future Appropriations**

Additional appropriation requests for this Project may be anticipated in the future

**Bond Language**

The sum of \$4,000,000 is hereby appropriated for the design and construction of sewer laterals District-wide. The appropriation may be expended for repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The appropriation may also be expended for design, inspection and construction costs, engineering and professional fees, mechanical, electrical, structural and architectural improvements, instrumentation, materials, equipment, legal fees and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project may be funded from the District's Rate Stabilization fund.

**Program – Program Management Consultant**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$1,180,000		2600

**Description**

To satisfy the Program Management Consultant requirement for Consent Order Compliance, including legal fees, financing costs, professional fees, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead.

**Purpose**

To comply with Consent Order terms.

**Future Appropriations**

Additional appropriation requests for this Project are anticipated over the next four years.

**Bond Language**

The sum of \$1,180,000 is hereby appropriated for Project management consultants to ensure compliance with the Consent Order. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. This appropriation is in addition to the appropriation of \$4,000,000 approved on December 5, 2022 by the District's Board of Directors. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project.

**Funding Sources**

Project may be funded from the District's Rate Stabilization fund.

**Program – Various Sewer Pipe Replacements/Rehabilitations – District Wide**

<u>Amount</u>	<u>Project #</u>	<u>Fund</u>
\$5,000,000		2600

**Description**

Design and construction of sewer system repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure located District-wide. The replacement, rehabilitation and or upgrade of District equipment. District forces may be utilized for this program. The District costs may include salary, benefits and overhead.

**Purpose**

To rehabilitate, upgrade or replace aging sewer pipelines and structures.

**Future Appropriations**

Additional appropriation requests for this Project may be anticipated in the future.

**Bond Language**

The sum of \$5,000,000 is hereby appropriated for the planning, design and construction of the replacement and/or rehabilitation of existing sewer mains, pump stations and any related collection system appurtenances at various locations within the District, including upgrades to District equipment, electrical, mechanical, and renewable energy upgrades in addition to facility upgrades and site work at wastewater treatment facilities. The appropriation may also be expended for water main replacements, construction and inspection costs, engineering and professional fees, materials, the replacement, rehabilitation and upgrade of District's transportation and power operated equipment fleet and related components, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the Project. District resources may be utilized for the Project. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the Project and for the payment of debt service on bonds or notes issued to pay for the Project. This appropriation is in addition to the appropriation of \$8,925,000 approved on December 5, 2022 by the District's Board of Directors.

**Funding Sources**

Project is eligible for a 50% grant from the Connecticut Department of Energy and Environmental Protection (DEEP) and is expected to be made available. The remaining 50% may be funded from a combination of loan under the State of Connecticut Clean Water Fund (subject to priority ranking and fund availability) and the District's Rate Stabilization fund.

It is **RECOMMENDED** that it be

**Voted:** That the Board of Finance recommends to the District Board passage of the following resolutions from Bond Counsel

RESOLUTION MAKING A SUPPLEMENTAL APPROPRIATION OF \$129,900,000 FOR THE DISTRICT'S 2023 INTEGRATED PLAN AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$129,900,000 TO FINANCE SAID APPROPRIATION

WHEREAS, the District Board has resolved to make a supplemental appropriation and issue bonds or notes of the District for those Integrated Plan projects described in Resolutions Nos. 1 through 9 herein; and

WHEREAS, the District Board wishes to determine the form, date or dates, maturities, manner of sale and other details concerning such bonds or notes.

NOW, THEREFORE, BE IT RESOLVED:

**Section 1.** \$129,900,000 is hereby appropriated for the Integrated Plan projects set forth herein in the 2023 Supplemental Integrated Plan Project Resolutions Nos. 1 through 9, inclusive (collectively, referred to herein as the "Resolutions"), and bonds or notes of the District in an amount not to exceed \$129,900,000 are authorized to be issued to finance said appropriation. This appropriation is in addition to the appropriation of \$144,573,750 approved on December 5, 2022 by the District's Board of Commissioners, and represents the aggregate amount of the appropriation and authorizations set forth in the Resolutions. The bonds are authorized to be issued in one or more series in accordance with the applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds shall be hereafter determined by the District Board acting in accordance with the District's Charter. Said bonds shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The bonds may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with and that such bond is within every debt and other limit prescribed by law. The aggregate principal amount of the bonds to be issued, the form of issuance as serial, term or discount bonds, the dated date, final maturity, annual installments of principal, whether interest on the bonds will be fixed or variable, the rate or rates of interest, or method of determining interest rates thereon, whether such interest shall be excluded or included in gross income for federal income tax purposes, denominations, terms of redemption, if any, the date, time of issue and sale and all other terms, details and particulars of such bonds shall be determined by the District Board, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. It is hereby found and determined that the issuance of any such bonds the interest on which is included in gross income for federal income tax purposes is in the public interest. The bonds may be sold by competitive bid or negotiated sale, as determined by the District Board. If sold by negotiated sale, the form and details of the bond purchase agreement for the sale of the bonds shall be determined by the District Board.

**Section 2.** The Treasurer and the Deputy Treasurer are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes or certificates of indebtedness evidencing such borrowings may be sold by competitive bid or negotiated sale, as determined by the Treasurer or Deputy Treasurer, in such manner as

shall be determined by said officers. Said notes or certificates of indebtedness shall be issued in fully registered form, be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer, and bear the District seal or a facsimile thereof. The notes or certificates of indebtedness may be secured by the full faith and credit of the District and/ or by special revenues of the District pledged thereto by the District Board, in accordance with Connecticut laws and the District's Charter. Each of the notes shall recite that every requirement of law relating to its issue has been duly complied with and that such note is within every debt and other limit prescribed by law. The net interest cost on such notes or certificates of indebtedness, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the project. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on such notes or certificates of indebtedness then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

**Section 3.** In connection with the issuance of the bonds, notes or certificates of indebtedness authorized hereunder and pursuant to the Resolutions ("Authorized Obligations"), the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on, the District necessary to obtain standby bond purchase agreements, letters of credit, lines of credit, financial guaranty insurance policies, guarantees of the District or third parties, surety agreements or any similar agreements ("Credit Facilities") with one or more financial institutions providing Credit Facilities ("Credit Facility Providers") to provide for additional security for and the purchase upon tender of the Authorized Obligations, if any, under circumstances set forth in the Indentures (defined herein). Credit Facilities shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

**Section 4.** In connection with the issuance of Authorized Obligations, interim funding obligations and project loan obligations under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Drinking Water Program" ("Drinking Water Obligations") or under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended, the so-called "Clean Water Fund Program" ("Clean Water Fund Obligations"), the District Board is hereby authorized to approve the terms and conditions of indentures of trust or other instruments of trust ("Indentures") with commercial banks or national banking associations with trust powers or trust companies to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer ("Trustees"), which provide for, among other things, the rate of rates of interest, or method of determining interest rates thereon, procedures for conducting auctions in an auction rate mode, the denominations, the tender rights of holders, if any, the rights of redemption and redemption prices, the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District necessary to issue the variable rate bonds, and the execution of various other instruments. Indentures shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer. The Chairman or Vice Chairman and the Treasurer or Deputy Treasurer are authorized to execute and deliver to the State of Connecticut a project loan and project grant agreement and/or project loan and subsidy agreement under the State's Clean Water Fund Program and the State's Drinking

Water Program and apply for and accept or reject any federal, state or other grants-in-aid for the project.

**Section 5.** In connection with the issuance of Authorized Obligations bearing interest at variable interest rates, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to enter into, remarketing agreements, broker-dealer agreements, auction agency agreements and other agreements (the “Reoffering Agreements”) with remarketing agents, investment banking firms or other financial institutions to be appointed by the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer (“Reoffering Agents”), which provide for, among other things, the terms and conditions for reoffering Authorized Obligations bearing interest at variable interest rates, the Reoffering Agents’ compensation and the disclosure of the District’s financial condition. Reoffering Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer.

**Section 6.** In connection with the issuance of Authorized Obligations, if permitted by Connecticut laws and the District’s Charter, the District Board is hereby authorized to approve the terms and conditions of, including necessary covenants, limitations and restrictions on the District necessary to obtain an interest rate swap agreement, together with applicable annexes, schedules and confirmations thereto, contracts to manage interest rate risk, including interest rate caps, options, puts, calls or similar arrangements, or such other agreements permitted by Connecticut laws and the District’s Charter (“Swap Agreements”), with one or more counterparties to be selected by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer, as Swap Provider (the “Swap Providers”), which provides for, among other things, the effective date or dates of the Swap Agreements, the rate of interest to be paid by the District to the Swap Providers on the principal amount of the bonds (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the rate of interest to be received by the District from the Swap Providers (which may be a fixed rate or a variable rate based on an index determined by the Chairman or Vice Chairman and Treasurer or Deputy Treasurer), the payment of certain fees, the imposition of certain covenants, limitations and restrictions on the District and the execution of various other instruments. Swap Agreements shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or Vice Chairman and the Treasurer or Deputy Treasurer. To the extent provided by Connecticut laws, the full faith and credit of the District may be pledged to any and all payments to be made by the District with respect to the Swap Agreements, including, any termination or netting payments to be made by the District.

**Section 7.** The Chairman or Vice Chairman and Treasurer or Deputy Treasurer are hereby authorized, on behalf of the District, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the “MSRB”) or any other information depository, and to provide notices to the MSRB or such depository of material events as enumerated in the Securities and Exchange Commission Securities Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds, notes and certificates of indebtedness authorized by this Resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.



**Section 8.** The District hereby expresses its official intent pursuant to Treasury Regulations Section 1.150-2 to reimburse expenditures of not more than \$129,900,000 paid up to 60 days prior to the date of passage of this Resolution in connection with the Resolutions with the proceeds of Authorized Obligations, Drinking Water Obligations or Clean Water Fund Obligations. Said obligations shall be issued to reimburse such expenditures not later than 18 months after the later of the date of the expenditure or such later date as such Regulations may authorize. The District hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Chairman or Vice Chairman and the Treasurer or Deputy Treasurer is each individually authorized to pay project expenses in accordance herewith pending the issuance of the Authorized Obligations. This Section is included herein solely for purposes of compliance with Treasury Regulations Section 1.150-2 and may not be used or relied on for any other purpose.

**Section 9.** In connection with the issuance of Authorized Obligations, Drinking Water Obligations or Clean Water Fund Obligations, the District Board is hereby authorized to, and if any such action shall heretofore have been taken, such action is hereby ratified and confirmed, (a) publish such notices, hold such hearings, make such representations and agreements, and take such other actions as shall be necessary to enable bond counsel to render its opinions as to the validity of said obligations and the exclusion of the interest thereon, if applicable, from gross income for federal income tax purposes, (b) make, execute and deliver all such additional and supplemental documents, including, but not limited to, any tax compliance agreements, tax certificates, tax forms, investment agreements or assignments, and (c) do and perform such acts and take such actions as may be necessary or required for the consummation of the transactions provided for and contemplated by this Resolution.

**Section 10.** The provisions contained in Sections 1 through 9 of this Resolution shall apply to the 2023 Supplemental Integrated Plan Project Resolutions Nos. 1 through 9, inclusive, herein; and the District Board hereby finds and determines that each project described in Resolutions Nos. 1 through 9 is a single item of capital expense not regularly recurring.

**Section 11.** In accordance with Section 2 of Public Act No. 15-114, the Board of Commissioners anticipates that fifty percent (50%) of the amount appropriated for each individual project specified in Resolutions No. 1 through 6 and Resolution No. 9 shall be funded by grants.

## **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 1**

RESOLUTION APPROPRIATING \$36,120,000 FOR SEWER AND LATERAL REHABILITATION IN THE NORTH BRANCH PARK RIVER DRAINAGE AREA AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$36,120,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$36,120,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Branch Park River Drainage Area. The project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be

expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$36,120,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

## **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 2**

RESOLUTION APPROPRIATING \$33,600,000 FOR SEWER AND LATERAL REHABILITATION IN THE GULLY BROOK DRAINAGE AREA AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$33,600,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$33,600,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the Gully Brook Drainage Area. The project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$33,600,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

**2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 3**

RESOLUTION APPROPRIATING \$17,280,000 FOR SEWER AND LATERAL REHABILITATION IN THE NORTH MEADOWS DRAINAGE AREA (NM-5, NM-6 AND NM-7) AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$17,280,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$17,280,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Meadows Drainage Area in the vicinity of Combined Sewer Overflows NM-5, NM-6 and NM-7. The project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$17,280,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by

the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

#### **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 4**

RESOLUTION APPROPRIATING \$5,520,000 FOR SEWER AND LATERAL REHABILITATION IN THE NORTH MEADOWS DRAINAGE AREA (NM-2, NM-3 AND NM-4) AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$5,520,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$5,520,000 is hereby appropriated for the planning, design and construction of sewers and laterals within the North Meadows Drainage Area in the vicinity of Combined Sewer Overflows NM-2, NM-3 and NM-4. The project will include repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$5,520,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and

the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

## **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 5**

RESOLUTION APPROPRIATING \$15,000,000 FOR EXPEDITED SEWER SEPARATION CONTRACTS IN NORTH HARTFORD AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$15,000,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$15,000,000 is hereby appropriated for planning, design, and construction of new sanitary and/or storm water sewers and laterals within the North Branch Park River Drainage Area, Gully Brook Drainage Area and North Meadows Drainage Area as necessary to complete sewer separation work. The project will be completed in accordance with the Combined Sewer Overflow Long Term Control Plan/Integrated Plan and may include costs associated with the relocation of adjacent utilities. The appropriation may also be expended for construction and inspection costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$15,000,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim

funding obligations in anticipation of project loan obligations (“Clean Water Fund Obligations”) as the District Board shall determine, in accordance with Connecticut laws and the District’s Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District’s Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

### **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 6**

**RESOLUTION APPROPRIATING \$12,200,000 FOR THE BACKWATER VALVE PROGRAM AND PRIVATE PROPERTY INFLOW DISCONNECTIONS IN NORTH HARTFORD AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$12,200,000 TO FINANCE SAID APPROPRIATION**

**Section 1.** The sum of \$12,200,000 is hereby appropriated for the inspection of private property sewer laterals to identify defects and/or infiltration sources within the North Branch Park River Drainage Area, Gully Brook Drainage Area and North Meadows Drainage Area. The program will include the installation and/or replacement of backwater valves and/or work required to remove private inflow sources as needed to protect customers from sewer surcharging. The appropriation may also be expended for inspection, design and construction costs, engineering and professional fees, materials, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the project. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District’s Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$12,200,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, “Connecticut laws”), and the District’s Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District’s Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to

expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

## **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 7**

### **RESOLUTION APPROPRIATING \$4,000,000 FOR THE REPAIR, REPLACEMENT AND REHABILITATION OF SEWER LATERALS IN THE DISTRICT**

**Section 1.** The sum of \$4,000,000 is hereby appropriated for the design and construction of sewer laterals District-wide. The appropriation may be expended for repairs, replacements and rehabilitation measures as a result of aging and deteriorating infrastructure. The appropriation may also be expended for design, inspection and construction costs, engineering and professional fees, mechanical, electrical, structural and architectural improvements, instrumentation, materials, equipment, legal fees and other costs related to the projects. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$4,000,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

## **2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 8**



RESOLUTION APPROPRIATING \$1,180,000 FOR PROJECT MANAGEMENT CONSULTANTS

**Section 1.** The sum of \$1,180,000 is hereby appropriated for project management consultants to ensure compliance with the Consent Order. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. This appropriation is in addition to the appropriation of \$4,000,000 approved on December 5, 2022 by the District's Board of Commissioners. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project.

**Section 2.** To finance said appropriation, \$1,180,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**2023 SUPPLEMENTAL INTEGRATED PLAN PROJECT RESOLUTION NO. 9**

RESOLUTION APPROPRIATING \$5,000,000 FOR VARIOUS SEWER PIPE REPLACEMENTS AND REHABILITATIONS AND AUTHORIZING THE ISSUANCE OF BONDS OR NOTES OF THE DISTRICT IN AN AMOUNT NOT TO EXCEED \$5,000,000 TO FINANCE SAID APPROPRIATION

**Section 1.** The sum of \$5,000,000 is hereby appropriated for the planning, design and construction of the replacement and/or rehabilitation of existing sewer mains, pump stations and any related collection system appurtenances at various locations within the District, including upgrades to District equipment, electrical, mechanical, and renewable energy upgrades in addition to facility upgrades and site work at wastewater treatment facilities. The appropriation may also be expended for water main replacements, construction and inspection costs, engineering and professional fees, materials, the replacement, rehabilitation and upgrade of District's transportation and power operated equipment fleet and related components, equipment, legal fees, financing costs, interest expense on temporary borrowings, and other costs related to the projects. District resources may be utilized for the projects. The District costs may include salary, benefits and overhead. The District's Rate Stabilization Fund may be utilized as a funding source for the project and for the payment of debt service on bonds or notes issued to pay for the project. This appropriation is in addition to the appropriation of \$8,925,000 approved on December 5, 2022 by the District's Board of Commissioners.

**Section 2.** To finance said appropriation, \$5,000,000 of bonds or notes of the District are authorized to be issued in accordance with applicable General Statutes of Connecticut, Revision of 1958, as amended to date and as amended from time to time in the future, public acts of the Connecticut General Assembly, as amended to date and as amended from time to time in the future, and special acts of the Connecticut General Assembly, as amended to

date and as amended from time to time in the future (together, "Connecticut laws"), and the District's Charter. The form, date, maturities and other details of such authorized but unissued bonds or notes shall be hereafter determined by the District Board acting in accordance with the District's Charter.

**Section 3.** The Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer are authorized in the name and on behalf of the District to apply for and accept any and all federal and state loans and/or grants-in-aid for the project and are further authorized to expend said funds in accordance with the terms thereof. To meet any portion of the costs of the project determined by the State of Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the General Statutes of Connecticut, Revision of 1958, as amended (the "Clean Water Fund Program"), the District may issue bonds, notes or certificates of indebtedness authorized hereby in the form of interim funding obligations in anticipation of project loan obligations ("Clean Water Fund Obligations") as the District Board shall determine, in accordance with Connecticut laws and the District's Charter, following recommendation of the Board of Finance. Clean Water Fund Obligations, project loan and project grant agreements and any other instruments, agreements or certificates under the Clean Water Fund Program shall be executed in the name and on behalf of the District by the manual or facsimile signatures of the Chairman or the Vice Chairman and the Treasurer or the Deputy Treasurer, and bear the District seal or a facsimile thereof. The aggregate principal amount of the Clean Water Fund Obligations to be issued, the dated date, final maturity, rate or rates of interest, the date, time of issue and sale and all other terms, details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, shall be determined by the District Board, following recommendation of the Board of Finance. Clean Water Fund Obligations may be secured by the full faith and credit of the District and/or by special revenues of the District pledged thereto by the District Board in accordance with Connecticut laws and the District's Charter. Each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with and that such obligation is within every debt and other limit prescribed by law.

Respectfully submitted,



Scott W. Jellison  
Chief Executive Officer

***On motion made by Citizen member Tsegai and duly seconded, the report was received and resolution adopted by unanimous vote of those present.***

**POTENTIAL REIMBURSEMENT TO PROPERTY OWNERS FOR PRIOR PRIVATE PROPERTY SEWER HOUSE CONNECTION REPLACEMENTS**

Chief Executive Officer, Scott Jellison, led a discussion regarding potential retroactive reimbursement to property owners for prior private property sewer house connection replacements that occurred prior to the pending sewer ordinance changes and Sewer House Connection Program under consideration by the Bureau of Public Works.

**OPPORTUNITY FOR GENERAL PUBLIC COMMENTS**

No one from the public appeared to be heard.

**COMMISSIONER REQUESTS FOR FUTURE AGENDA ITEMS**

Commissioner Hoffman requested a follow-up session regarding the audit for further questions.

**ADJOURNMENT**

The meeting was adjourned at 5:25 PM

ATTEST:

John S. Mirtle, Esq.  
District Clerk

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Date of Approval